

No. 07-290

**In the
Supreme Court of the United States**

DISTRICT OF COLUMBIA AND
ADRIAN M. FENTY, MAYOR OF THE DISTRICT OF COLUMBIA,
Petitioners,

v.

DICK ANTHONY HELLER,
Respondent.

On Writ of Certiorari to the
United States Court of Appeals for the
District of Columbia Circuit

**BRIEF OF THE STATES OF TEXAS, ALABAMA, ALASKA,
ARKANSAS, COLORADO, FLORIDA, GEORGIA, IDAHO,
INDIANA, KANSAS, KENTUCKY, LOUISIANA, MICHIGAN,
MINNESOTA, MISSISSIPPI, MISSOURI, MONTANA, NEBRASKA,
NEW HAMPSHIRE, NEW MEXICO, NORTH DAKOTA, OHIO,
OKLAHOMA, PENNSYLVANIA, SOUTH CAROLINA, SOUTH
DAKOTA, UTAH, VIRGINIA, WASHINGTON, WEST VIRGINIA,
AND WYOMING AS *AMICI CURIAE*
IN SUPPORT OF RESPONDENT**

GREG ABBOTT
Attorney General of Texas

R. TED CRUZ
Solicitor General
Counsel of Record

KENT C. SULLIVAN
First Assistant Attorney
General

SEAN D. JORDAN
Deputy Solicitor General

DAVID S. MORALES
Deputy Attorney General
for Civil Litigation

MICHAEL P. MURPHY
Assistant Solicitor
General

OFFICE OF THE
ATTORNEY GENERAL
P.O. Box 12548
Austin, Texas 78711-2548
(512) 936-1700

[Additional counsel listed on
inside cover]

COUNSEL FOR *AMICI CURIAE*

Troy King
Alabama Attorney General

Talis J. Colberg
Attorney General of Alaska

Dustin McDaniel
Arkansas Attorney General

John W. Suthers
Colorado Attorney General

Attorney General Bill McCollum
Office of the Attorney General
State of Florida

Thurbert E. Baker
Attorney General of Georgia

Lawrence G. Wasden
Idaho Attorney General

Steve Carter
Attorney General
Office of the Indiana Attorney General

Stephen N. Six
Attorney General
State of Kansas

Jack Conway
Attorney General of Kentucky

James D. "Buddy" Caldwell
Attorney General
State of Louisiana

Michael A. Cox
Michigan Attorney General

Lori Swanson
Attorney General of Minnesota

Jim Hood
Mississippi Attorney General

Jeremiah W. (Jay) Nixon
Attorney General of Missouri

Mike McGrath
Attorney General of Montana

Jon Bruning
Attorney General for the State of Nebraska

Kelly A. Ayotte
Attorney General of New Hampshire

Gary K. King
New Mexico Attorney General

Wayne Stenehjem
Attorney General of North Dakota

Marc Dann
Attorney General
State of Ohio

W.A. Drew Edmondson
Attorney General of Oklahoma

Thomas W. Corbett, Jr.
Attorney General of Pennsylvania

Henry McMaster
South Carolina Attorney General

Lawrence E. Long
Attorney General
State of South Dakota

Mark L. Shurtleff
Utah Attorney General

Robert F. McDonnell
Attorney General of Virginia

Robert M. McKenna
Attorney General of Washington

Darrell V. McGraw, Jr.
West Virginia Attorney General

Bruce A. Salzburg
Wyoming Attorney General

QUESTION PRESENTED

Whether the following provisions—D.C. Code §§7-2502.02(a)(4), 22-4504(a), and 7-2507.02—violate the Second Amendment rights of individuals who are not affiliated with any state-regulated militia, but who wish to keep handguns and other firearms for private use in their homes.

TABLE OF CONTENTS

Question Presented i

Index of Authorities v

Interest of Amici 1

Summary of the Argument 2

Argument 5

 I. The Court of Appeals Correctly Held That the
 Second Amendment Guarantees an Individual
 Right to Keep and Bear Arms 5

 A. The Second Amendment’s Text
 Guarantees an Individual Right to Keep
 and Bear Arms 5

 1. The “right of the people” is an
 individual right 6

 2. The District misinterprets the
 meaning of “keep” and “bear
 Arms” 9

 3. The Second Amendment’s
 introductory clause does not
 convert an individual right into
 a “collective” or “quasi-
 collective” right 11

B.	The Court’s Precedent Supports the Principle That the Second Amendment Guarantees an Individual Right	15
C.	The Weight of Scholarly Commentary Also Supports the Conclusion That the Second Amendment Guarantees an Individual Right to Keep and Bear Arms	18
D.	The Second Amendment’s History Demonstrates That It Guarantees an Individual Right to Arms	21
II.	The Court of Appeals Correctly Held That the District of Columbia’s Firearms Regulations Are Unconstitutional	24
A.	The Court of Appeals’s Decision Should Be Affirmed Because Statutes Effectively Prohibiting Any Citizen From Keeping and Bearing “Arms” Are Unconstitutional	26
1.	The D.C. Code provisions concern “Arms” protected under the Second Amendment	26
2.	The court of appeals correctly concluded that the District’s statutes are unconstitutional . . .	28

B.	The Court of Appeals’s Decision Should Be Affirmed Because the District’s Firearms Prohibitions Also Cannot Withstand Scrutiny Under the Standard of Review Recommended by the United States	30
C.	The Unreasonableness of the District’s Statutory Scheme Is Further Evidenced by the Fact That It Runs Counter to the Regulatory Approach of All Fifty States	33
III.	None of the Federal Firearms Regulations Discussed in the United States’s Brief Is Jeopardized by the Court of Appeals’s Decision	34
	Conclusion	36

INDEX OF AUTHORITIES

Cases

<i>Benton v. Maryland</i> , 395 U.S. 784 (1969)	23
<i>Burdick v. Takushi</i> , 504 U.S. 428 (1992)	31, 32
<i>Dist. of Columbia v. Heller</i> , 128 S.Ct. 645 (2007) (mem.)	24
<i>District of Columbia v. John R. Thompson Co.</i> , 346 U.S. 100 (1953)	23
<i>Duncan v. Louisiana</i> , 391 U.S. 145 (1968)	23
<i>Eldred v. Ashcroft</i> , 537 U.S. 186 (2003)	12-13
<i>Icicle Seafoods, Inc. v. Worthington</i> , 475 U.S. 709 (1986)	30
<i>Johnson v. Eisentrager</i> , 339 U.S. 763 (1950)	16, 17
<i>Konigsberg v. State Bar of Cal.</i> , 366 U.S. 36 (1961)	17
<i>Loving v. United States</i> , 517 U.S. 748 (1996)	21

<i>McCleskey v. Zant</i> , 499 U.S. 467 (1991)	30
<i>McIntyre v. Ohio Elections Comm'n</i> , 514 U.S. 334 (1995)	31, 32
<i>Minnesota v. Carter</i> , 525 U.S. 83 (1998)	7
<i>Moore v. City of East Cleveland</i> , 431 U.S. 494 (1977) (plurality op.)	17
<i>Muscarello v. United States</i> , 524 U.S. 125 (1998)	11
<i>Palko v. Connecticut</i> , 302 U.S. 319 (1937)	23
<i>Planned Parenthood of Se. Pa. v. Casey</i> , 505 U.S. 833 (1992)	17, 30
<i>Pollock v. Farmers' Loan & Trust Co.</i> , 158 U.S. 601 (1895)	5
<i>Presser v. Illinois</i> , 116 U.S. 252 (1886)	23
<i>Printz v. United States</i> , 521 U.S. 898 (1997)	18
<i>Randall v. Sorrell</i> , 548 U.S. 230 (2006) (plurality op.)	24

<i>Richfield Oil Corp. v. State Bd. of Equalization</i> , 329 U.S. 69 (1946)	9
<i>Robertson v. Baldwin</i> , 165 U.S. 275 (1897)	17
<i>Silveira v. Lockyer</i> , 328 F.3d 567 (9th Cir. 2003)	11, 14
<i>State v. Kerner</i> , 107 S.E. 222 (N.C. 1921)	29
<i>Thornhill v. Alabama</i> , 310 U.S. 88 (1940)	7
<i>Timmons v. Twin Cities Area New Party</i> , 520 U.S. 351 (1997)	31, 32
<i>United States v. Cruikshank</i> , 92 U.S. 542 (1875)	23
<i>United States v. Emerson</i> , 270 F.3d 203 (5th Cir. 2001)	6, 19, 35
<i>United States v. Miller</i> , 307 U.S. 174 (1939)	3, 15, 16, 27
<i>United States v. Verdugo-Urquidez</i> , 494 U.S. 259 (1990)	6-7
<i>Ward v. Rock Against Racism</i> , 491 U.S. 781 (1989)	28, 29, 33

Williams v. Rhodes,
393 U.S. 23 (1968) 25

Statutes, Rules, and Constitutional Provisions

U.S. CONST. amend. I 6, 7
U.S. CONST. amend. II 5
U.S. CONST. amend. IV 8
U.S. CONST. art. I, §2, cl. 1 6
U.S. CONST. art. I, §8, cl. 8 12
U.S. CONST. art. I, §8, cl. 12 13-14
18 U.S.C. §922(g) 35
18 U.S.C. §922(g)(8) 35
18 U.S.C. §922(o) 34
18 U.S.C. §922(p) 34
D.C. CODE §7-2502.02(a)(4) i, 24, 25
D.C. CODE §7-2507.02 i, 24, 25, 29
D.C. CODE §7-2507.06 24

D.C. CODE §22-4504	25
D.C. CODE §22-4504(a)	i, 24
D.C. CODE §22-4515	24

Other Authorities

Akhil R. Amar, <i>The Bill of Rights and the Fourteenth Amendment</i> , 101 YALE L.J. 1193 (1992)	18, 19
DEBATE ON THE CONSTITUTION 561 (Bernard Bailyn ed., 1993)	13
Eugene Volokh, <i>The Commonplace Second Amendment</i> , 73 N.Y.U. L. REV. 793 (1998)	12, 13, 21
Gary Kleck & Marc Gertz, <i>Armed Resistance to Crime: The Prevalence and Nature of Self-Defense with a Gun</i> , 86 J. CRIM. L. & CRIMINOLOGY 150 (1995)	29
J. ELLIOTT, DEBATES IN THE GENERAL STATE CONVENTIONS 425 (3d ed. 1937)	13

JOHN STUART MILL, UTILITARIANISM 80 (Longman, Green, Longman, Roberts, and Green 1864) (1861)	9
JOSEPH STORY, COMMENTARIES ON THE CONSTITUTION OF THE UNITED STATES 708-09 (Carolina Academic Press 1987) (1833)	20-21
JOYCE L. MALCOLM, TO KEEP AND BEAR ARMS: THE ORIGINS OF AN ANGLO-AMERICAN RIGHT 162 (1994)	18, 19
LAURENCE H. TRIBE, AMERICAN CONSTITUTIONAL LAW, 902 n.221 (3d ed. 2000)	18
Militia Act, ch. XXXIII, 1 Stat. 271 (1792)	14
Nelson Lund, <i>The Past and Future of the Individual's Right to Arms</i> , 31 GA. L. REV. 1 (1996)	21
Nelson Lund, <i>The Second Amendment, Political Liberty, and the Right to Self-Preservation</i> , 39 ALA. L. REV. 103 (1987)	19
RATIFICATIONS AND RESOLUTIONS OF SEVEN STATE CONVENTIONS (1788)	13

SAMUEL JOHNSON, A DICTIONARY OF THE ENGLISH LANGUAGE (4th ed. 1770)	10
Sanford Levinson, <i>The Embarrassing Second Amendment</i> , 99 YALE L.J. 637 (1989)	18, 19
STEPHEN HALBROOK, THAT EVERY MAN BE ARMED, THE EVOLUTION OF A CONSTITUTIONAL RIGHT (1984)	18
THE FEDERALIST NO. 29, (Alexander Hamilton) (Benjamin Wright ed., 1961)	23
THE FEDERALIST NO. 46 (James Madison) (Benjamin Wright ed., 1961)	14
THE FOUNDERS CONSTITUTION 210 (Philip B. Kurland & Ralph Lerner eds., 1987)	22
THE PAPERS OF THOMAS JEFFERSON, 443-44 (J.P. Boyd ed., 1950)	10
THOMAS M. COOLEY, THE GENERAL PRINCIPLES OF CONSTITUTIONAL LAW IN THE UNITED STATES OF AMERICA 270-72 (Rothman & Co. 1981) (1880)	20
W. & M., 2d sess., c. 2, Dec. 16, 1689	22
WEBSTER'S DICTIONARY (1828)	10

WILLIAM BLACKSTONE, 1 COMMENTARIES 136 (Legal Classics Library 1983) (1765)	22
WILLIAM BLACKSTONE, 1 COMMENTARIES 300 (St. George Tucker ed., Augustus M. Kelley 1969) (1803)	20
William Van Alstyne, <i>The Second Amendment and the Personal Right to Arms</i> , 43 DUKE L. J. 1236 (1994) . . .	18, 23

INTEREST OF *AMICI CURIAE*

Amici, the State of Texas and 30 other States, have an interest in this case because of its potential impact on their citizens' constitutional rights. The individual right to keep and bear arms is protected by the United States Constitution and the constitutions of forty-four States.¹ Given the significance of this fundamental right, the States have a substantial interest in ensuring that the Second Amendment is accorded its proper scope.

The *amici* States believe that the court of appeals's decision—that the Second Amendment protects an individual right to keep and bear arms—is correct and fully consistent with the Framers' intent. Moreover, the District of Columbia's categorical gun ban is markedly out of step with the judgment of the legislatures of the fifty States, all of which protect the right of private citizens to own handguns.

1. *Amici* States have attached an Appendix outlining the relevant state constitutional and statutory provisions concerning firearms.

SUMMARY OF THE ARGUMENT

Described by Justice Joseph Story as “the palladium of the liberties of the republic,” the right to keep and bear arms enjoys prominent placement at the outset of the Bill of Rights. Yet the central issue in this case is whether that constitutional provision retains any vitality whatsoever.

The District of Columbia’s position, as the court of appeals explained, is that “the Second Amendment is a dead letter.” Pet. App. 13a. That ahistorical contention—supported by modern-day advocates who disagree with the policy judgments embodied in that Amendment—runs contrary to both the text and the original understanding of our Constitution.

Because the Second Amendment’s text recognizes a “right,” not a “power,” and guarantees that right to “the people” and not “the States,” it necessarily secures an individual right to keep and bear arms. The First, Fourth, and Ninth Amendments likewise protect the “rights” of “the people,” and none dispute that those Amendments protect individual rights. The Tenth Amendment, in turn, expressly distinguishes between “the States” and “the people,” demonstrating that the Framers knew well the difference. And, this Court has made clear, “the people” is a term of art, with the same meaning throughout the Bill of Rights.

The District’s contrary position is based largely upon a misconstruction of the Amendment’s prefatory clause. Although the preamble states that keeping a well-regulated militia is one purpose of the right, nothing in that statement contradicts the Amendment’s operative

language. The District’s interpretation of that prefatory language as limiting the Amendment only to members of organized state militias runs contrary to the understanding—and statutory definition—at the time of the Founding that all able-bodied males armed with their own private weapons comprised the “Militia.”

The court of appeals’s ruling is also consistent with this Court’s decision in *United States v. Miller*, 307 U.S. 174 (1939). That brief and famously opaque opinion can be read to support multiple interpretations, but the better reading is that the right to keep and bear arms is an individual right. Indeed, *Miller* makes sense only if the Court believed that the Second Amendment protects individual rights; otherwise, virtually all of the *Miller* Court’s analysis would be rendered superfluous.

That view is further buttressed by an unbroken line of commentary from the Framers to nineteenth-century scholars to the bulk of modern scholarship. Indeed, the unmistakable trend among constitutional scholars—even those who might otherwise disfavor private firearms possession—is toward recognition that the Second Amendment protects an individual right, as its plain text suggests.

Reasonable minds can differ about the Second Amendment’s scope—that is, about which government regulations are permissible. And subsequent cases may well present difficult questions about where precisely to draw that line. Those vexing issues are not presented in this case, however, and are appropriately left to another day.

This case instead presents two straightforward questions, each of which will determine whether the Second Amendment has any modern relevance. *First*, as a threshold matter, does the Amendment protect any individual rights at all. And *second*, do the challenged District ordinances—which collectively prohibit the possession of any functioning firearm in one’s own home—run afoul of that right.

On more difficult questions involving the Amendment’s application—such as registration requirements and comprehensive regulation—the many *amici* States may well part ways. But the two questions in this case are, in the eyes of *amici*, not difficult. If the answer to either question were in the negative, then the Second Amendment’s protections would be rendered illusory.

For the same reason, the *amici* States believe that the Department of Justice’s position that this case should be vacated and remanded is indefensible. Under any standard, including that advocated by the Department, a total prohibition on the possession of any functioning firearm cannot be sustained. The District’s ordinances facially prohibit Mr. Heller from ever possessing a handgun in his own home or from possessing an operable long gun.

An individual right that can be altogether abrogated is no right at all. *Amici* States are sovereign governmental bodies with strong interests in maintaining extant regulations barring, for example, convicted felons from possessing firearms. But none of the 31 *amici* States believes that its citizens’ constitutional rights should be effectively erased from the Bill of Rights. Because, under any standard, a total prohibition on the possession of

firearms cannot be reconciled with the individual right to keep and bear arms, the court of appeals's judgment should be affirmed.

ARGUMENT

I. THE COURT OF APPEALS CORRECTLY HELD THAT THE SECOND AMENDMENT GUARANTEES AN INDIVIDUAL RIGHT TO KEEP AND BEAR ARMS.

The court of appeals's holding that the Second Amendment protects an individual right to keep and bear arms, Pet. App. 44a, gives effect to the Amendment's plain text and reflects the structure of the Bill of Rights. It is consistent with the views of the Framers, the great weight of scholarly commentary, and this Court's precedent.

A. The Second Amendment's Text Guarantees an Individual Right to Keep and Bear Arms.

The Second Amendment provides, “[a] well regulated Militia, being necessary to the security of a free State, the right of the people to keep and bear Arms, shall not be infringed.” U.S. CONST. amend. II. The Court has long emphasized the importance of the Constitution's specific text: “[T]he enlightened patriots who framed our Constitution, and the people who adopted it, must be understood to have employed words in their natural sense, and to have intended what they said.” *Pollock v. Farmers' Loan & Trust Co.*, 158 U.S. 601, 618-19 (1895) (internal quotation omitted).

1. The “right of the people” is an individual right.

The Second Amendment’s operative words protect the right of “the people,” not the “militia” and not the “States,” to keep and bear arms. The meaning to be given to the words “the people” as used in the Second Amendment phrase “the right of the people” should be the same meaning attributed to that same phrase in the contemporaneously submitted and ratified First and Fourth Amendments. Pet. App. 18a; *United States v. Emerson*, 270 F.3d 203, 227 (5th Cir. 2001). And all three amendments describe personal, individual rights.

In *United States v. Verdugo-Urquidez*, the Court concluded that the words “the people” bear special significance in the context of the Bill of Rights:

“[T]he people’ seems to have been a term of art employed in select parts of the Constitution. . . . The Second Amendment protects ‘the right of the people to keep and bear Arms,’ and the Ninth and Tenth Amendments provide that certain rights and powers are retained by and reserved to ‘the people.’ See also U.S. Const. Amdt. 1 . . . ; Art. I, § 2, cl. 1 While this textual exegesis is by no means conclusive, it suggests that ‘the people’ protected by the Fourth Amendment, and by the First and Second Amendments, and to whom rights and powers are reserved in the Ninth and Tenth Amendments, refers to a class of persons who are part of a national

community or who have otherwise developed sufficient connection with this country to be considered part of that community.” 494 U.S. 259, 265 (1990) (emphasis added).

The Court has thus made clear that the “term of art” “the people” has the same meaning in the First, Second, Fourth, Ninth, and Tenth Amendments. And it is beyond peradventure that the right of “the people” in the First and Fourth Amendments is an individual, personal right rather than a “collective” right or a right protected only in connection with service to the government. *See, e.g., Thornhill v. Alabama*, 310 U.S. 88, 95 (1940) (“The freedom of speech . . . which [is] secured by the First Amendment against abridgment by the United States, [is] among the fundamental personal rights and liberties which are secured to all persons by the Fourteenth Amendment against abridgment by a state.”); *Minnesota v. Carter*, 525 U.S. 83, 88 (1998) (holding that the Fourth Amendment is a personal right that must be invoked by an individual).

The District’s assertion that the Second Amendment’s right of “the people” merely ensures a collective right “to prevent Congress, using its powers under the Militia Clauses, from disarming state militias,” Petitioners’ Br. 35, is fundamentally inconsistent with the rest of the Bill of Rights. If the phrase “the people” is interpreted consistently—as the Court has instructed—the District’s construction of the phrase results in an implausible framework for our constitutional rights. For example, the First Amendment preserves “the right of the people peaceably to assemble.” U.S. CONST. amend. I. The District’s construction implies that no individual could sue in court for an abridgment of his or her right to

assemble because that right is reserved only to “the people” acting collectively. Likewise, the Fourth Amendment preserves “the right of the people” to be secure from unreasonable searches and seizures. U.S. CONST. amend. IV. The District’s construction implies that no individual has a right enforceable in court to be free from unreasonable search and seizure, because only “the people” as a collective may enforce such rights. That, of course, is not the law.

Alternatively, if the District’s “collective” construction of “the people” is somehow to be cabined only to the Second Amendment, the Court must conclude that when Congress sent the Bill of Rights to the States, Congress first listed four individual rights (in the First Amendment), then created a State’s “right” (in the Second Amendment), and then reverted to a litany of individual rights (in Amendments Three through Eight). The Court must further conclude that, while Congress used “the people” to refer to individual rights in the First, Fourth, and Ninth Amendments, Congress used “the people” to mean “state governments” in the Second Amendment. Finally, for the Court to find that Congress used “the people” in the Second Amendment to mean “the States,” it would have to somehow reconcile that with the Tenth Amendment’s language, where Congress explicitly distinguished “the people” from “the States,” reserving powers “to the States respectively, or to the people.”

Moreover, the concept of a collective “right” or a State’s “right” is contrary to the Constitution’s structure and language; in the Hohfeldian taxonomy, States have powers, not rights. Rights are reserved to individuals; as Mill explained, “[t]o have a right . . . is . . . to have something which society ought to defend me in the

possession of.” JOHN STUART MILL, UTILITARIANISM 80 (Longman, Green, Longman, Roberts, and Green 1864) (1861).

Put simply, the words “the right of the people” cannot fairly be read to mean a collective power of the Militia. Rather, the Second Amendment’s text means what it says: the individual right of the people to keep and bear arms cannot be infringed.

2. The District misinterprets the meaning of “keep” and “bear Arms.”

The District’s interpretation of the Second Amendment necessarily, and mistakenly, requires that the words “bear Arms” have only a military connotation, and that the words “keep” and “bear” arms in the Second Amendment be construed together as a unitary phrase relating only to maintaining arms for military service. See Petitioners’ Br. 12-17. This construction of the Amendment is not supported by its text or history.

The first problem with the District’s interpretation of “keep and bear Arms” is that it effectively ignores the word “keep.” *Id.* Indeed, the District suggests that “keep” has no independent meaning, and was inserted merely to bolster the militia’s ability to bear arms. *Id.*, at 16-17. But courts cannot ignore words or phrases in the Constitution. “In expounding the Constitution of the United States, every word must have its due force, and appropriate meaning; for it is evident from the whole instrument, that no word was unnecessarily used, or needlessly added.” *Richfield Oil Corp. v. State Bd. of Equalization*, 329 U.S. 69, 77-78 (1946) (internal quotation marks omitted). And to “keep” arms is to possess or own arms, as is

demonstrated by the contemporary dictionary definition of “keep”:

“1. To hold; to retain in one’s power or possession; not to lose or part with; as, to keep a house or a farm; to keep any thing in the memory, mind or heart; 2. To have in custody for security or preservation.” WEBSTER’S DICTIONARY (1828); *see also* SAMUEL JOHNSON, A DICTIONARY OF THE ENGLISH LANGUAGE (4th ed. 1770).

The Court should give effect, as did the court of appeals, to each word of the Amendment. Pet. App. 27a (“[K]eep’ is a straightforward term that implies ownership or possession of a functioning weapon by an individual for private use.”).

The District’s assertion that “bear Arms” refers only to militia service is likewise misguided. Although this phrase may be used to describe the carrying or wearing of arms by a soldier or member of the militia, it is not used exclusively to refer to the military. Indeed, the Framers understood “bearing” arms to include the carrying of weapons generally—as may be seen directly in a bill drafted by Thomas Jefferson and proposed to the Virginia Legislature by James Madison (the author of the Second Amendment) on October 31, 1785. Madison’s bill would have imposed penalties upon one who violated hunting laws if he were to “*bear* a gun out of his [the violator’s] inclosed ground, unless whilst performing military duty.” 2 THE PAPERS OF THOMAS JEFFERSON, 443-44 (J.P. Boyd ed., 1950) (emphasis added). In fact, as Judge Kleinfeld noted in his dissenting opinion in *Silveira*, “the primary meaning of ‘bear’ is ‘to carry,’ as when we arrive at our

host's home 'bearing gifts' and arrive at the airport 'bearing burdens.'" *Silveira v. Lockyer*, 328 F.3d 567, 572-73 (9th Cir. 2003) (Kleinfeld, J., dissenting) (footnote omitted).

This common-sense view of the phrase "bear Arms" is also reflected in Justice Ginsburg's dissenting opinion, joined by Chief Justice Rehnquist and Justices Scalia and Souter, in *Muscarello v. United States*, 524 U.S. 125, 143 (1998):

"Surely a most familiar meaning [of carrying a firearm] is, as the Constitution's Second Amendment ('keep and *bear* Arms') (emphasis added) and Black's Law Dictionary, at 214, indicate: 'wear, bear, or carry . . . upon the person or in the clothing or in a pocket, for the purpose . . . of being armed and ready for offensive or defensive action in a case of conflict with another person.'"

Nothing in the Second Amendment's text limits the words "bear Arms" to an exclusively military connotation; instead it affords an individual right to "the people" to "wear, bear, or carry" arms, regardless of whether they are engaged in military activity connected with a state militia.

3. The Second Amendment's introductory clause does not convert an individual right into a "collective" or "quasi-collective" right.

The District's "quasi-collective right" position is driven largely by its conclusion that the Second Amendment's operative clause, conferring the right to

“keep and bear Arms,” is defined and impliedly narrowed by the Amendment’s introductory clause referencing a “well regulated Militia.” Petitioners’ Br. 12-18. But, although a preamble may inform, influence, or shape the operational clause, it cannot compel a result contrary to its meaning. See Eugene Volokh, *The Commonplace Second Amendment*, 73 N.Y.U. L. REV. 793, 807 (1998). And, in any event, the Second Amendment’s preamble is entirely consistent with the individual right mandated by the operational clause.

To be sure, the introductory clause implies that a principal purpose of the right to bear arms is to promote the existence and effectiveness of a “well-regulated Militia.” But nothing compels the conclusion that this is the Amendment’s only purpose.

With respect to other rights recognized by the Constitution, the Court has already held that similar preambulatory purposes do not limit the effect of the clauses’ operational language. For example, in *Eldred v. Ashcroft*, 537 U.S. 186 (2003), the Court addressed a similar proposed construction of the preambulatory language in the Copyright Clause, which reads “[T]he Congress shall have the power . . . [t]o promote the Progress of Science and useful Arts, by securing for limited Times to Authors and Inventors the exclusive Right to their respective Writings and Discoveries.” U.S. CONST. art. I, §8, cl. 8. The Court concluded that Congress’s power to secure exclusive rights to authors and inventors is not limited by the prefatory purpose to “promote the progress of science and useful arts.” 537 U.S., at 210-211. Although promoting science and the arts may have been the Framers’ chief purpose in conveying sweeping copyright powers to Congress, other purposes existed as well. *Id.*, at

212. If the Copyright Clause’s preamble, *which expressly conditions* its operational language through use of the phrase “by securing,” imposes no limitation on the Clause’s scope, then neither does the Second Amendment’s preamble, which is not so expressly limited. Volokh, *supra*, at 807-13.

And, even if the District were correct that the Second Amendment’s prefatory clause defined the scope of the right conferred in the operational clause, the District’s further conclusion—that the words “a well-regulated Militia, being necessary to the security of a free State” means the Amendment was adopted for the sole purpose of ensuring the effectiveness of state militias—is erroneous. The Amendment’s text and history contradict this narrow reading of “Militia.”

The Framers’ understanding of “Militia” is reflected in a question asked by George Mason, one of the Virginians who refused to sign the Constitution because of its lack of a Bill of Rights: “Who are the Militia? They consist now of the whole people.” 3 J. ELLIOTT, *DEBATES IN THE GENERAL STATE CONVENTIONS* 425 (3d ed. 1937) (statement of George Mason, June 14, 1788). This understanding, contrary to the District’s position, *see* Petitioners’ Br. 18, is also reflected in the language of both the Virginia and North Carolina ratifying conventions—which spoke of “a well regulated militia composed of the body of the people.” *RATIFICATIONS AND RESOLUTIONS OF SEVEN STATE CONVENTIONS* (1788), *reprinted in* 2 *DEBATE ON THE CONSTITUTION* 561, 568 (Bernard Bailyn ed., 1993). James Madison articulated the same view of the term “militia” in Federalist No. 46, arguing that Congress’s power under the proposed Constitution “[t]o raise and support armies” (art. I, §8, cl.

12) posed no threat to liberty because any such army, if misused, “would be opposed [by] a militia amounting to near half a million of citizens with arms in their hands.” THE FEDERALIST NO. 46, at 334 (James Madison) (Benjamin Wright ed., 1961).

The District’s narrow interpretation of “Militia” to include only some select body of permanent soldiers is also belied by the provisions of the Militia Act, enacted by the Second Congress the year after the Second Amendment’s ratification. The Militia Act expressly defined the militia as “each and every free able-bodied white male citizen of the respective states, resident therein, who is or shall be of the age of eighteen years, and under the age of forty-five years.” Militia Act, ch. XXXIII, 1 Stat. 271, 271 (1792).² Thus, the “Militia” contemplated by the Framers was not limited to those enrolled in some type of state or local militia organization. Under statute and contemporary understanding, the militia was all able-bodied male citizens from eighteen to forty-five, whether they were organized into a state-sponsored fighting force or not. See *Silveira*, 328 F.3d at 578-80 (Kleinfeld, J., dissenting).

The Framers were understandably wary of standing armies and the powers of a potentially oppressive government. Therefore, the individual right to bear arms ensures a ready “Militia” consisting of each and every able-bodied male between the ages of eighteen to forty-five. The introductory clause, properly understood, confirms the primary benefit of the operational clause—a

2. Indeed, the Militia Act not only permitted gun ownership by every able-bodied man, it *required* it—obliging by law each man to “*provide himself* with a good musket or firelock . . . or with a good rifle.” Militia Act, 1 Stat., at 271 (emphasis added).

citizenry capable of defending its rights by force, when all other means have failed, against any future oppression.

B. The Court's Precedent Supports the Principle That the Second Amendment Guarantees an Individual Right.

The Court's decision in *Miller* buttresses the principle that the Second Amendment's text and history establish its protection of the rights of individuals to keep and bear arms. In *Miller*, the Court considered a Second Amendment challenge as applied to a sawed-off shotgun:

“In the absence of any evidence tending to show that possession or use of a ‘shotgun having a barrel of less than eighteen inches in length’ at this time has some reasonable relationship to the preservation or efficiency of a well regulated militia, we cannot say that the Second Amendment guarantees the right to keep and bear such an instrument. Certainly it is not within judicial notice that this weapon is any part of the ordinary military equipment or that its use could contribute to the common defense.” 307 U.S., at 178.

Miller is less than a model of clarity, but a fair reading of that opinion confirms that the Second Amendment protects individual rights. If the Second Amendment protected only the right to bear arms in a militia, the Court could easily have disposed of the case merely by observing that Miller was not a member of any

state militia. Thus, with one sentence, the case could have been resolved.³

Instead, the Court based its ruling on the lack of judicial notice that a short-barreled shotgun, a weapon typically used by gangsters in the 1930s and associated with criminal activity, was the type of weapon that contributed to “the common defense.” *Id.* The Court’s decision implicitly acknowledged that the possession by individual Americans of weapons that could be part of the “ordinary military equipment” contributing to the common defense—as opposed to criminal activity—is protected by the Second Amendment.

The Court’s conclusions in *Miller* also suggest an understanding that the Framers envisioned a militia composed of the entire people—possessed of their individually owned arms—as necessary for the protection of a free State. The Court expressly observed that, in the Framers’ time, the militia “comprised all males physically capable of acting in concert for the common defense [O]rdinarily when called for service *these men were expected to appear bearing arms supplied by themselves* and of a kind in common use at the time.” *Id.*, at 179 (emphasis added).

Later opinions of the Court also support the individual-right view, albeit in *dicta*. In *Johnson v. Eisentrager*, 339 U.S. 763 (1950), the Court rejected a claim that the Fifth Amendment’s criminal-procedure protections applied to nonresident enemy aliens by

3. Indeed, the United States raised the collective rights argument in its brief as its very first argument, Pet. App. 40a, and, notably, the Court declined to rule on that basis.

explaining that a contrary view would, *inter alia*, require the application of “companion civil-rights Amendments” in the Bill of Rights, including the Second Amendment. *Id.*, at 784.

In *Konigsberg v. State Bar of Cal.*, 366 U.S. 36 (1961), the Court, citing *Miller*, again equated the Second Amendment right with rights secured by the First Amendment. *Id.*, at 49 n.10. More recent cases have also assumed an individual right in *dicta* by listing the Second Amendment right among the personal rights composing the “liberty” that the Constitution’s due-process provisions protect. See *Planned Parenthood of Se. Pa. v. Casey*, 505 U.S. 833, 847 (1992); *Moore v. City of East Cleveland*, 431 U.S. 494, 502 (1977) (plurality op.).

Likewise, in *Robertson v. Baldwin*, 165 U.S. 275, 281-82 (1897), the Court observed,

“The law is perfectly well settled that the first 10 amendments to the constitution, commonly known as the ‘Bill of Rights,’ were not intended to lay down any novel principles of government, but simply to embody certain guaranties and immunities which we had inherited from our English ancestors, and which had, from time immemorial, been subject to certain well-recognized exceptions, arising from the necessities of the case. . . . Thus, the freedom of speech and of the press (article 1) does not permit the publication of libels . . . [and] *the right of the people to keep and bear arms (article 2) is not infringed by laws prohibiting the carrying of concealed weapons . . .*” (Emphasis added)

Repeatedly, the Court has described the Second Amendment, consistent with the analysis in *Miller*, as an individual right—like the others in the Bill of Rights and subject to similar restrictions.

C. The Weight of Scholarly Commentary Also Supports the Conclusion That the Second Amendment Guarantees an Individual Right to Keep and Bear Arms.

As Justice Thomas has written, “a growing body of scholarly commentary indicates that the ‘right to keep and bear arms’ is, as the Amendment’s text suggests, a personal right.”⁴ The unmistakable trend among constitutional scholars is towards recognizing that the Second Amendment confers a personal, individual right. For example, although arguing for a narrow construction of the Amendment, Professor Laurence Tribe has squarely concluded that the Second Amendment provides a “right (admittedly of uncertain scope) on the part of individuals to possess and use firearms in the defense of themselves and their homes.” 1 LAURENCE H. TRIBE, *AMERICAN CONSTITUTIONAL LAW* 902 n.221 (3d ed. 2000). Professors

4. *Printz v. United States*, 521 U.S. 898, 938 n.2 (1997) (Thomas, J., concurring) (citing, *inter alia*, JOYCE L. MALCOLM, *TO KEEP AND BEAR ARMS: THE ORIGINS OF AN ANGLO-AMERICAN RIGHT* 162 (1994); STEPHEN HALBROOK, *THAT EVERY MAN BE ARMED, THE EVOLUTION OF A CONSTITUTIONAL RIGHT* (1984); William Van Alstyne, *The Second Amendment and the Personal Right to Arms*, 43 *DUKE L. J.* 1236 (1994); Akhil R. Amar, *The Bill of Rights and the Fourteenth Amendment*, 101 *YALE L.J.* 1193 (1992); Sanford Levinson, *The Embarrassing Second Amendment*, 99 *YALE L.J.* 637 (1989)).

Sanford Levinson and Akhil Amar in large part agree.⁵ Professor Nelson Lund maintains that the Amendment confers an individual right to keep and bear arms, and thereby helps to protect “the most fundamental individual right, the right of self-defense.” Nelson Lund, *The Second Amendment, Political Liberty, and the Right to Self-Preservation*, 39 ALA. L. REV. 103, 130 (1987). Professor Joyce Lee Malcolm has found that the Amendment’s historical lineage favors the interpretation that it guarantees an individual right to arms. *See, generally*, MALCOLM, *supra*.

The individual-rights view is now also the position of the United States. *See* U.S. Br. 10-19; Memorandum from John Ashcroft, Attorney General, to All United States Attorneys (Nov. 9, 2001), *available at* <http://www.usdoj.gov/osg/briefs/2001/0responses/2001-8780.resp.pdf> (discussing *United States v. Emerson*). Indeed, the Office of Legal Counsel has issued an exhaustive opinion for the Attorney General concluding that “[t]he Second Amendment secures a right of individuals generally, not a right of States or a right restricted to persons serving in militias.” STEVEN G. BRADBURY ET AL., U.S. DEP’T OF JUSTICE, MEMORANDUM OPINION FOR THE ATTORNEY GENERAL: WHETHER THE SECOND AMENDMENT SECURES INDIVIDUAL RIGHT 1 (2004), *available at* <http://www.usdoj.gov/olc/secondamendment2.pdf>.

Contemporaries of the first Congress and nineteenth-century constitutional scholars also agreed that the Second Amendment confers an individual right.

5. *See* Amar, *supra*; Levinson, *supra*.

When St. George Tucker published his five-volume edition of Blackstone's Commentaries in 1803, he observed that "[w]herever standing armies are kept up, and the right of the people to keep and bear arms is, under any color or pretext whatsoever, prohibited, liberty, if not already annihilated, is on the brink of destruction." WILLIAM BLACKSTONE, 1 COMMENTARIES 300 (St. George Tucker ed., Augustus M. Kelley 1969) (1803). He further pointedly criticized the English Bill of Rights for limiting its guarantee of arms ownership to Protestants, while the American right was "without any qualification as to their condition or degree, as is the case in the British government." *Id.* at 143.

Thomas Cooley directly addressed the issue of the scope of the Amendment's guarantee: "It might be supposed from the phraseology of [the Second Amendment] that the right to keep and bear arms was only guaranteed to the militia; *but this would be an interpretation not warranted by the intent* [T]he meaning of the [amendment] undoubtedly is, that the people, from whom the militia must be taken, shall have the right to keep and bear arms; and they need no permission or regulation of law for the purpose." THOMAS M. COOLEY, THE GENERAL PRINCIPLES OF CONSTITUTIONAL LAW IN THE UNITED STATES OF AMERICA 270-72 (Rothman & Co. 1981) (1880) (emphasis added). Justice Joseph Story similarly concluded that the "right of the citizens to keep, and bear arms has justly been considered, as the palladium of the liberties of the republic; since it offers a strong moral check against the usurpation and arbitrary power of rulers; and will generally, even if these are successful in the first instance, enable the people to resist, and triumph over them." JOSEPH STORY, COMMENTARIES

ON THE CONSTITUTION OF THE UNITED STATES 708-09
(Carolina Academic Press 1987) (1833).

These contemporary scholars understood that the Second Amendment guaranteed each American the right to “keep” and “bear” arms as the foundation of the militia that would provide security for a “free” State. If the people were disarmed there could be no militia (well-regulated or otherwise) as understood by the Framers.

**D. The Second Amendment’s History
Demonstrates That It Guarantees an
Individual Right to Arms.**

The historical context of the Second Amendment also supports the court of appeals’s conclusion that it guarantees an individual right to arms. When the Amendment was adopted, the drafters undoubtedly looked to the provisions in many of the state constitutions as models. Volokh, *supra*, at 814-21. At that time, almost half of the States with bills of rights included provisions recognizing that right. Nelson Lund, *The Past and Future of the Individual’s Right to Arms*, 31 GA. L. REV. 1, 54 (1996).

The Framers were also guided by the evolution of individual rights in England. As the Court has stated, “[t]he historical necessities and events of the English constitutional experience . . . were familiar to” the Framers and should “inform our understanding of the purpose and meaning of constitutional provisions.” *Loving v. United States*, 517 U.S. 748, 766 (1996).

The English Declaration of Rights of 1689 came approximately a century before our own. It provided that “the subjects which are protestants, may have arms for their defence suitable to their conditions, and as allowed

by law.” 1 W. & M., 2d sess., c. 2, Dec. 16, 1689 (quoted in 5 THE FOUNDERS CONSTITUTION 210 (Philip B. Kurland & Ralph Lerner eds., 1987)). The right of the English Monarch’s “subjects” to have arms is by its terms an individual one, and it was so understood by William Blackstone, who provided the standard reference work for Colonial and early American lawyers.

Blackstone explained that the right of “having” arms is among the five basic rights of every Englishman, which were essential to secure the “primary rights” of each individual. WILLIAM BLACKSTONE, 1 COMMENTARIES 136, 139 (Legal Classics Library 1983) (1765). Blackstone saw the right to bear arms as a natural right because it arose from the natural right of self-preservation and the right of “resistance . . . to the violence of oppression.” *Id.*, at 139. Blackstone’s conception of the individual right to bear arms as protection against oppression would have been particularly relevant to the Framers, who had themselves just taken part in a bloody struggle against the oppression of the English Crown.

Thus, the Framers’ own experience informed their understanding of the “right of the people to keep and bear Arms,” and the fundamental relationship of this right to “the security of a free State.” The Framers recognized that the best security against an oppressive regime was a free citizenry capable of defending its rights. As Alexander Hamilton explained,

“if circumstances should at any time oblige the government to form an army of any magnitude that army can never be formidable to the liberties of the people while there is a large body of citizens, little, if at

all inferior to them in discipline and the use of arms, who stand ready to defend their own rights and those of their fellow-citizens.” THE FEDERALIST NO. 29, at 229 (Alexander Hamilton) (Benjamin Wright ed., 1961).

The Second Amendment answered the potential threat of a standing army with the guarantee that individual citizens could not be disarmed. The Framers saw that individual right as an essential bulwark of the people’s liberties. This Court should as well, and should affirm the judgment of the court of appeals.⁶

6. Although the Court need not reach the issue of incorporation in this case, *amici* States submit that the right to keep and bear arms is fundamental and so is properly subject to incorporation. To be sure, early decisions of this Court cast doubt on Second Amendment incorporation, *see United States v. Cruikshank*, 92 U.S. 542, 553 (1875); *Presser v. Illinois*, 116 U.S. 252, 264-65 (1886), but those opinions predated the Court’s broad-based incorporation of the Bill of Rights against the States. *See Duncan v. Louisiana*, 391 U.S. 145, 148 (1968). In the judgment of *amici* States, the right to keep and bear arms is “so rooted in the traditions and conscience of our people as to be ranked as fundamental.” *Palko v. Connecticut*, 302 U.S. 319, 325 (1937) (citations and internal quotation marks omitted), *overruled on other grounds, Benton v. Maryland*, 395 U.S. 784 (1969). Authors of the Fourteenth Amendment concurred. *See Van Alstyne, supra* note 4, at 1252 (noting that in reporting the Fourteenth Amendment to the Senate, Senator Howard of Michigan described the right to keep and bear arms as among the Constitution’s “great fundamental guarantees” (internal quotation marks omitted)).

A fortiori the Second Amendment applies to the District of Columbia. *See District of Columbia v. John R. Thompson Co.*, 346 U.S. 100, 109 (1953) (finding “no constitutional barrier to the delegation by Congress to the District of Columbia of full legislative power *subject of course to constitutional limitations* to which all lawmaking is subservient” (emphasis added)). The District’s only

II. THE COURT OF APPEALS CORRECTLY HELD THAT THE DISTRICT OF COLUMBIA’S FIREARMS REGULATIONS ARE UNCONSTITUTIONAL.

This case concerns three ordinances that together effectively prohibit the private possession in one’s home of any operative firearms. In an attempt to narrow the issues before the Court, the District tried to frame the question presented as concerning only its ordinance banning the private possession of handguns. Pet. i, 7 n.2.

Tellingly, the District urged that “broadening the question to address the effect of Section 7-2507.02 [the trigger-lock provision] would needlessly complicate the case.” Pet. Reply 6.

The Court rejected that attempt, and instead reframed the question presented to consider the collective effect of *all three* challenged provisions of the D.C. Code, §§ 7-2502(a)(4), 22-4504(a), and 7-2507.02. *Dist. of Columbia v. Heller*, 128 S.Ct. 645 (2007) (mem.).

Because the District’s citizens cannot selectively abide by portions of its firearms prohibitions, but rather must comply with *all* of those prohibitions or face criminal penalties,⁷ evaluating whether these statutes are constitutionally valid necessarily involves consideration of how they act together to restrict the constitutional right to keep and bear arms. *Cf. Randall v. Sorrell*, 548 U.S. 230 (2006) (plurality op.) (concluding that a State’s campaign

argument to the contrary—that D.C. is not a State whose militia is the object of the Amendment—is premised on its erroneous theory that the Second Amendment protects only collective, not individual, rights.

7. See D.C. CODE §§ 7-2507.06; 22-4515.

contribution limits, taken together, unconstitutionally restricted a candidate's First Amendment rights); *Williams v. Rhodes*, 393 U.S. 23, 34 (1968) (concluding that “the totality of the Ohio restrictive laws taken as a whole impose[] a burden on voting and associational rights which we hold is an invidious discrimination, in violation of the Equal Protection Clause”).

The D.C. Code provisions at issue operate together as a unitary statutory scheme. D.C. Code §7-2502.02(a)(4) prohibits the registration of a pistol not registered in the District prior to 1976. Section 22-4504 separately restricts the carrying of a pistol, and is challenged in this case to the extent it bans individuals from moving lawfully registered handguns within their own homes, Pet. App. 54a. Finally, §7-2507.02 provides in relevant part that a registered firearm must be kept “unloaded and disassembled or bound by a trigger lock or similar device.”

Together, these provisions prohibit Mr. Heller from ever possessing, in his home, an operable firearm. In an attempt to temper the absolute nature of that bar, the District now contends that §7-2507.02 must be read to include an implied exception for self defense, even though the text of that provision contains not a word to that effect. Petitioners' Br. 56. Instead, the text is mandatory (“each registrant *shall* keep any firearm in his possession unloaded and disassembled or bound by a trigger lock or similar device”) and on its face contains no such exception.⁸

8. Petitioners expressly acknowledge that, absent the *ad hoc* exception that they would like to engraft onto the plain text—“the law would be unreasonable.” Petitioners' Br. 56.

In making that argument, Petitioners point to no precedent supporting the notion that an individual must risk criminal prosecution under a statute categorically restricting his or her constitutional rights, in the hope that a court might subsequently alter the text to protect those rights in some limited circumstances. And *amici* States are aware of none.

Thus, the only fair reading of these ordinances' plain text is that together they operate as a sweeping prohibition on any effective exercise of the right to keep and bear arms in the District of Columbia.

A. The Court of Appeals's Decision Should Be Affirmed Because Statutes Effectively Prohibiting Any Citizen From Keeping and Bearing "Arms" Are Unconstitutional.

The court of appeals recognized that the individual right to keep and bear arms is not an absolute right immune from restriction. Pet. App. 51a. Rather, the court noted that the right to keep and bear arms, which pre-existed and was preserved by the Second Amendment, has traditionally been subject to "the sort of reasonable regulations contemplated by the drafters of the Second Amendment." *Id.* The court correctly concluded, however, that because the District's ordinances categorically prohibit the possession of functional firearms in private homes, they are unreasonable and unconstitutional.

1. The D.C. Code provisions concern "Arms" protected under the Second Amendment.

In evaluating the validity of the District's firearms prohibitions, the court of appeals adopted a two-part

test—drawn in part from this Court’s opinion in *Miller*—that considered first whether the District’s ordinances affected “Arms” protected under the Second Amendment. If the District’s regulations affected only weapons that are not “Arms,” they could not run afoul of the Amendment’s protections. *See id.*, at 48a-51a, 53a-55a. If, on the other hand, the regulations in question did affect “Arms,” the court would then move to the second part of the test: whether the regulations are “reasonable.” *See id.*, at 51a-55a.

In determining whether the regulations affected “Arms” protected by the Second Amendment, the court of appeals followed the test set forth by this Court in *Miller*, under which a weapon is an “Arm[]” if it: (1) bears a “reasonable relationship to the preservation or efficiency of a well regulated militia;” and (2) is “of the kind in common use at the time.” *Id.*, at 48-49a (quoting *Miller*, 307 U.S., at 178-79). The court properly concluded that the handguns and long guns subject to the District’s prohibitions meet both prongs of the *Miller* test and are therefore protected under the Amendment. *Id.*, at 51a.

As the court explained, “[t]he modern handgun—and for that matter the rifle and long-barreled shotgun—is undoubtedly quite improved over its colonial-era predecessor, but it is, after all, a lineal descendant of that founding-era weapon, and it passes *Miller*’s standards.” *Id.* In this regard, the court noted, just as the First Amendment protects “modern communications devices unknown to the founding generation,” the Second Amendment likewise protects the “modern-day equivalents” of colonial-era weapons. *Id.* Thus, while the court’s test would properly include weapons such as rifles

and handguns as protected “Arms” under the Amendment, a cannon, for example, would not be a protected “Arm” because it is not in common use by American citizens. *See* Pet. App. 50a-51a.

2. The court of appeals correctly concluded that the District’s statutes are unconstitutional.

Having concluded that the District’s restrictions implicated “Arms” protected under the Second Amendment, the court moved to the second part of its test and considered whether the District’s statutes are “reasonable regulations.” *Id.* at 51-52a. The court observed that, “[t]he protections of the Second Amendment are subject to the same sort of reasonable restrictions that have been recognized as limiting, for instance, the First Amendment.” *Id.* at 51a. The court specifically analogized to “reasonable restrictions on the time, place, or manner of protected speech.” *Id.* (quoting *Ward v. Rock Against Racism*, 491 U.S. 781, 791 (1989)), as the type of regulations that, in the Second Amendment context, could permissibly restrict the right to keep and bear arms. *Id.* Under the Court’s First Amendment precedent, of course, this type of restriction must be “narrowly tailored to serve a significant governmental interest,” and must “leave open ample alternative channels for communication of the information.” *Ward*, 491 U.S., at 791 (internal quotation marks omitted).

Applying these principles, the court of appeals correctly recognized that the District’s statutes, which effectively forbid citizens from possessing handguns or operable long guns in their homes, are not really “regulations” of the right to keep and bear arms. *See* Pet.

App. 53a. Rather, these statutes form a categorical “prohibition, of . . . “arms” which the people are entitled to bear.” *Id.* (quoting *State v. Kerner*, 107 S.E. 222, 225 (N.C. 1921)). Accordingly, the court appropriately concluded that because these statutes essentially deprive all the District’s citizens of their Second Amendment right to keep and bear arms, the statutes are not “reasonable regulations,” but rather facially unreasonable prohibitions. *See id.* But even if characterized as “regulations”—rather than outright prohibitions—the D.C. Code provisions are nonetheless unreasonable under *Ward*. The District justifies these statutes on the ground that they can be expected to “reduce crime, suicide, domestic violence, and accidental shootings.” Petitioners’ Br. 11. But although these asserted governmental interests are surely significant, its categorical ban on handguns—the most ubiquitous class of “arm” kept by citizens⁹—and on the possession of all operable long guns in the homes of anyone in the District, can hardly be described as a “narrowly tailored” statutory structure to serve those interests. Rather, these sweeping prohibitions leave no “ample alternatives” for the District’s citizens to exercise their rights under the Second Amendment. *Cf. Ward*, 491 U.S., at 791.¹⁰

9. As the court of appeals observed, pistols are “the most preferred firearm in the nation to ‘keep’ and use for protection of one’s home and family.” Pet. App. 53-54a (citing Gary Kleck & Marc Gertz, *Armed Resistance to Crime: The Prevalence and Nature of Self-Defense with a Gun*, 86 J. CRIM. L. & CRIMINOLOGY 150, 182-83 (1995)).

10. Even if the trigger-lock provision of §7-2507.02 were not considered, *amici* States submit that the District’s categorical ban on *all* handguns in essentially all circumstances is facially unreasonable.

B. The Court of Appeals’s Decision Should Be Affirmed Because the District’s Firearms Prohibitions Also Cannot Withstand Scrutiny Under the Standard of Review Recommended by the United States.

The United States has advocated a standard of review that it believes is different from that applied by the court of appeals. U.S. Br. 23-24 & n.6. The United States therefore recommends that the Court should vacate and remand the case for further review under the United States’s recommended “intermediate” level of review. *Id.* at 28, 32.

The United States’s position cannot bear scrutiny. Regardless of what test is applied—the court of appeals’s, the United States’s, or some other—the District’s categorical ban on all operable firearms cannot survive. Thus, a remand would serve no purpose.¹¹

11. In *Casey*, 505 U.S., at 878-79, the Court adopted a new test for determining the constitutionality of restrictions on abortion—the undue burden standard—but rather than remanding, the Court applied the new standard to the provisions at issue. Similarly, in *McCleskey v. Zant*, 499 U.S. 467, 502-03 (1991), the Court adopted the cause and prejudice standard for abuse of writ and affirmed the court of appeals’s judgment under its newly-adopted standard.

As Justice Stevens has explained, “[a]ppellate courts in general and this Court in particular have, after correcting an erroneous interpretation of law, appl[ied] the proper legal standard to undisputed facts of record—whether or not such facts have been memorialized in formal findings by ‘the original finder of fact.’” *Icicle Seafoods, Inc. v. Worthington*, 475 U.S. 709, 716 (1986) (Stevens, J. dissenting). Among other things, “[t]his practice . . . allows appellate courts to give guidance to trial courts by illustrating the proper

The United States begins with the proposition that the Second Amendment “allows for reasonable regulation of firearms, must be interpreted in light of context and history, and is subject to important exceptions, such as the rule that convicted felons may be denied firearms.” U.S. Br. 8. The court of appeals’s decision is consistent with these principles. *See supra* Part II.A.

The United States goes on, however, to set forth a different, “heightened” standard of review for regulations that “directly limit[] the private possession of ‘Arms’ in a way that has no grounding in Framing-era practice.” U.S. Br. 8. The United States acknowledges that the District’s statutes directly limit “Arms” protected by the Second Amendment and have no grounding in “Framing-era practice.” *Id.* According to the United States, this type of regulation is therefore subject to an “intermediate level of review,” under which “the ‘rigorousness’ of the inquiry depends on the degree of the burden on protected conduct.” *Id.* Thus, under the United States’s test, the greater the scope of the prohibition and its impact on private firearm possession, the more difficult it will be to defend under the Second Amendment. U.S. Br. 27.

The United States’s standard is derived from First Amendment election-law decisions that likewise instruct that the greater the restriction, the more exacting the scrutiny must be. *See* U.S. Br. 24 & n.6 (citing *McIntyre v. Ohio Elections Comm’n*, 514 U.S. 334 (1995); *Timmons v. Twin Cities Area New Party*, 520 U.S. 351 (1997); and *Burdick v. Takushi*, 504 U.S. 428 (1992)). The United States gives no reason why these election cases should

application of a new legal standard in a particular case.” *Id.*

provide the appropriate Second Amendment standard of review, but, if they were extended to do so, the District's ordinances would not survive.

Indeed, under these cases, the District's sweeping prohibitions would likely be reviewed under strict scrutiny—a far more demanding standard than the “reasonableness” standard applied by the court of appeals. “Regulations imposing severe burdens on plaintiffs’ rights must be narrowly tailored and advance a compelling state interest.” *Timmons*, 520 U.S., at 358; *Burdick*, 504 U.S., at 433; *McIntyre*, 514 U.S., at 347.¹²

And, by any measure, the District's categorical ban on possessing any operable firearms in his home must be viewed as a “severe burden” on Mr. Heller's Second Amendment rights. Thus, strict scrutiny would apply, which the District ordinances would necessarily fail.

Even if the Court did not apply strict scrutiny, the District's statutes would also fail the (presumably alternative) less restrictive test set forth in the United States's brief. U.S. Br. 8. This test would evaluate the validity of the District's firearms prohibitions under the following standard: “(a) the practical impact of the challenged restriction on the plaintiff's ability to possess firearms for lawful purposes (which depends in turn on the nature and functional adequacy of available alternatives), and (b) the strength of the government's interest in

12. In *McIntyre*—the case that the United States says best demonstrates the distinction between its proposed standard and that of the court of appeals, U.S. Br. 24 n.6—the Court applied strict scrutiny and struck down an Ohio electioneering law that prohibited the distribution of anonymous political documents. 514 U.S., at 344.

enforcement of the relevant restriction.” *Id.* Even assuming the important governmental interests articulated by the District, its firearms prohibitions cannot meet part (a) of the United States’s test—which strongly resembles the “ample alternative channels for communication” standard set forth in *Ward*, 491 U.S., at 791—because the District’s prohibitions effectively leave its citizens with no alternatives regarding the possession of functional firearms in their homes for self-defense. Thus, even applying the test(s) suggested by the United States, the District’s statutory scheme remains facially unconstitutional.

C. The Unreasonableness of the District’s Statutory Scheme Is Further Evidenced by the Fact That It Runs Counter to the Regulatory Approach of All Fifty States.

To the extent the Court looks beyond the standard adopted by the court of appeals or those suggested by the United States, the unreasonable nature of the D.C. Code provisions is also evident when compared to the statutory approach of the fifty States.

The Legislatures of all fifty States are united in their rejection of bans on private handgun ownership. Every State in the Union permits private citizens to own handguns.¹³ Forty-five States go further, allowing private citizens to carry concealed handguns for self-defense.¹⁴ Thus, the District’s sweeping firearm prohibitions are not only contrary to the Constitution, but also contrary to the

13. *See* APPENDIX.

14. *See* APPENDIX.

reasoned judgment of every state legislature in the Nation.

Indeed, for that reason, this diverse coalition of 31 *amici* States is of one accord that—under any standard—the District of Columbia’s categorical ban cannot be sustained.

III. NONE OF THE FEDERAL FIREARMS REGULATIONS DISCUSSED IN THE UNITED STATES’S BRIEF IS JEOPARDIZED BY THE COURT OF APPEALS’S DECISION.

The United States asserts that application of the court of appeals’s standard would jeopardize the validity of a variety of federal firearms regulations. *See* U.S. Br. 21-22, 25-27. This concern is misplaced.

The federal firearms regulations that the United States suggests may be vulnerable fall into four categories: (1) restrictions on the *type* of firearms that may be possessed, (2) restriction on *who* may possess firearms, (3) restrictions on *where* firearms may be possessed, and (4) economic restrictions on the import, export, and exchange of firearms. *See* U.S. Br. 21-22, 25-27.

In regard to the first category, the United States notes that federal law generally prohibits the possession of both machine guns and firearms that are undetectable by metal detectors and x-ray machines. U.S. Br. 2 (citing 18 U.S.C. §922(o) (machine guns), (p) (undetectable firearms)). But neither of these regulations is impliedly invalidated by the court of appeals’s decision.

A court would likely conclude that machine guns and undetectable firearms do not constitute “Arms” under the Second Amendment. Even if these weapons could be

described as bearing a reasonable relationship to the preservation or efficiency of a well regulated militia, they could not be accurately categorized as the kinds of weapons that are currently in “common use” by American citizens. *See* Pet. App. 49a. And even if these weapons were considered “Arms,” the federal laws would likely survive under the reasonableness standard because the regulations target a particularly dangerous feature of specific firearms and do not inhibit the core functionality of the general class of firearms.

Second, the United States focuses on federal regulations addressing particular individuals who may not possess firearms. U.S. Br. 25-26. Specifically, federal law prohibits possession of firearms by, *inter alia*, convicted felons, fugitives from justice, illegal drug users, mentally ill persons, illegal aliens, and those who have been convicted of domestic violence. 18 U.S.C. §922(g). The United States’s concerns are unfounded because, as the court of appeals recognized—consistent with centuries of common law—prohibiting firearm possession by people with particularly dangerous characteristics is presumptively reasonable and constitutionally valid. Pet. App. 52a; *see also Emerson*, 270 F.3d, at 264 (concluding that 18 U.S.C. §922(g)(8) is a reasonable regulation).

Third, the United States’s fear of constitutional vulnerability concerning the federal restrictions on *where* a firearm may be possessed is equally unfounded. The federal laws cited by the United States that prohibit the private possession of firearms in certain places would not offend the Constitution under the standard articulated by the court of appeals. To the contrary, the court of appeals explicitly affirmed reasonable time, place, or manner

regulations of the right to keep and bear arms. Pet. App. 51-52a.

Finally, federal laws regulating the import, export, and transfer of firearms arise from Congress's power to "regulate Commerce with foreign Nations, and among the several States," and have only an incidental effect on the Second Amendment right to keep and bear arms. As such, they would not be subject to heightened scrutiny.

Accordingly, there is no basis for the United States's concern that these laws may face invalidation under the court of appeals's decision. Indeed, it bears emphasis that *amici* States likewise have a strong interest in maintaining the many state laws prohibiting felons in possession, restricting machine guns and sawed-off shotguns, and the like. *See* Appendix.

But all 31 *amici* States agree that striking down the District of Columbia's categorical ban on *all* operative firearms would pose no threat to these reasonable regulations. Instead, this case is a threshold case: at issue is whether the Second Amendment has any modern meaning whatsoever. Remaining faithful to the Constitution, there should be only one answer.

CONCLUSION

The Court should affirm the judgment of the court of appeals.

Respectfully submitted,

GREG ABBOTT
Attorney General of Texas

R. TED CRUZ
Solicitor General
Counsel of Record

KENT C. SULLIVAN
First Assistant Attorney
General

SEAN D. JORDAN
Deputy Solicitor General

DAVID S. MORALES
Deputy Attorney General
Civil Litigation

MICHAEL P. MURPHY
Assistant Solicitor
General

OFFICE OF THE
ATTORNEY GENERAL
P.O. Box 12548
Austin, Texas 78711-2548
(512) 936-1700

COUNSEL FOR *AMICI CURIAE*

February 2008

APPENDIX

**CONSTITUTIONAL PROVISIONS AND
FIREARMS REGULATIONS BY STATES AND THE
DISTRICT OF COLUMBIA**

STATE	REGISTRATION / CONCEALED- CARRY REGULATIONS	CONST. RIGHT TO ARMS
Alabama	ALA. CODE §§ 13A-11-50 to -85	ALA. CONST. art. I, § 26
Alaska	ALASKA STAT. §§ 18.65.700–.790	ALASKA CONST. art. I, § 19
Arizona	ARIZ. REV. STAT. §§ 13-3101 to -3117	ARIZ. CONST. art. II, § 26
Arkansas	ARK. CODE ANN. §§ 5-73-301 to -320	ARK. CONST. art. II, § 5
California	CAL. PENAL CODE §§ 12050–12054	
Colorado	COLO. REV. STAT. §§ 18-12-201 to -216	COLO. CONST. art. II, § 13
Connecticut	CONN. GEN. STAT. §§ 29-27 to -36L	CONN. CONST. art. I, § 15
Delaware	DEL. CODE ANN. tit., 11 §§ 1441–1459	DEL. CONST. art. I, § 20

STATE	REGISTRATION / CONCEALED- CARRY REGULATIONS	CONST. RIGHT TO ARMS
District of Columbia	D.C. CODE ANN. §§ 22-4503 to -4514	
Florida	FLA. STAT. ANN. §§ 790.06–.331	FLA. CONST. art. I, § 8
Georgia	GA. CODE ANN. §§ 16-11-126 to -134	GA. CONST. art. I, § I, ¶ VIII
Hawaii	HAW. REV. STAT. §§ 134-3 to -17	HAW. CONST. art. I, § 1
Idaho	IDAHO CODE § 18- 3302	IDAHO. CONST. art. I, § 11
Illinois	430 ILL. COMP. STAT. 65/1 to /16	ILL. CONST. art. I, § 22
Indiana	IND. CODE §§ 35- 47-2-1 to -24	IND. CONST. art. I, § 32
Iowa	IA CODE §§ 724.1–.30	
Kansas	KAN. STAT. ANN. §§ 75-7c01 to -7c26	KAN. CONST., Bill of Rights, § 4

STATE	REGISTRATION / CONCEALED- CARRY REGULATIONS	CONST. RIGHT TO ARMS
Kentucky	KY. REV. STAT. ANN. §§ 237.110–.142	KY. CONST. § 1(7)
Louisiana	LA. REV. STAT. ANN. § 40:1379.3	LA. CONST. art. I, § 11
Maine	ME. REV. STAT. ANN. tit. 25, §§ 2001-A to 2006	ME. CONST. art. I, § 16
Maryland	MD. PUBLIC SAFETY CODE ANN. § 5-301 to -314	
Mass.	MASS. GEN. LAWS ch. 140 §§ 129B, 131	MASS. CONST. pt. I, art. XVII
Michigan	MICH. STAT. ANN. §§ 28.421–.435	MICH. CONST. art. I, § 6
Minnesota	MINN. STAT. ANN. § 624.714	
Mississippi	MISS. CODE ANN. § 45-9-101	MISS. CONST. art. III, § 12
Missouri	MO. REV. STAT. §§ 571.070, 571.121	MO. CONST. art. I, § 23

STATE	REGISTRATION / CONCEALED- CARRY REGULATIONS	CONST. RIGHT TO ARMS
Montana	MONT. CODE ANN. § 45-8-321 to -330	MONT. CONST. art. II, § 12
Nebraska	NEB. REV. STAT. §§ 69-2428 to -2447	NEB.. CONST. art. I, § 1
Nevada	NEV. REV. STAT. 202.3653–.369	NEV.. CONST. art. I, § 11, cl. 1
New Hampshire	N.H. REV. STAT. ANN. § 159:6	N.H.. CONST. pt. I, art. 2-a
New Jersey	N.J. REV. STAT. § 2C:58-4	
New Mexico	N.M. STAT. ANN. §§ 29-19-1 to -14	N.M. CONST. art. 2, § 6
New York	N.Y. PENAL LAW §§ 400.00–.10	
North Carolina	N.C. GEN. STAT. §§ 14-415.10 to .26	N.C. CONST. art. I, § 30
North Dakota	N.D. CENT. CODE §§ 62.1-04-01 to - 05	N.D.. CONST. art. I, § 1
Ohio	OHIO REV. CODE ANN. §§ 2923.125 to .1213	OHIO CONST. art. I, § 4

STATE	REGISTRATION / CONCEALED-CARRY REGULATIONS	CONST. RIGHT TO ARMS
Oklahoma	OKLA. STAT. ANN. tit. 21, §§ 1290.1–.26	OKLA. CONST. art. II, § 26
Oregon	OR. REV. STAT. §§ 166.291 to .297	OR. CONST. art. I, § 27
Penn.	PA. STAT. ANN. tit. 18, §§ 6106, 6109	PA. CONST. art. I, § 21
Rhode Island	R.I. GEN. LAWS § 11-47-8 to -15	R.I. CONST. art. I, § 22
South Carolina	S.C. CODE ANN. §§ 23-31-205 to -240	S.C. CONST. art. I, § 20
South Dakota	S.D. CODIFIED LAWS §§ 23-7-7 to -8.10	S.D. CONST. art. VI, § 24
Tennessee	TENN. CODE ANN. §§ 39-17-1351 to -1360	TENN. CONST. art. I, § 26
Texas	TEX. GOV'T CODE ANN. §§ 411.171–.208	TEX. CONST. art. I, § 23
Utah	UTAH CODE ANN. §§ 53-5-701 to -711	UTAH. CONST. art. I, § 6

6a

STATE	REGISTRATION / CONCEALED- CARRY REGULATIONS	CONST. RIGHT TO ARMS
Vermont	VT. STAT. ANN. tit. 13, § 4003	VT. CONST. ch. I, art 16
Virginia	VA. CODE ANN. § 18.2-308	VA. CONST. art. I, § 13
Washington	WASH. REV. CODE § 9.41.070	WASH. CONST. art. I, § 24
West Virginia	W. VA. CODE § 61- 7-4 to -6a	W. VA. CONST. art. III, § 22
Wisconsin	WIS. STAT. §§ 941.23, 941.29	WIS. CONST. art. I, § 25
Wyoming	WYO. STAT. ANN. §§ 6-8-104	WYO. CONST. art. I, § 24

**ADDITIONAL STATE STATUTES CONCERNING
FIREARMS**

STATE	POSSESSION OF FIREARMS BY FELONS	MACHINE GUNS / SAWED-OFF SHOTGUNS
Alabama	ALA. CODE § 13 A-11-72	ALA. CODE § 13A-11-63(a) (sawed-off shotguns and rifles)
Alaska	ALASKA STAT. § 11.61.200	
Arizona	ARIZ. REV. STAT. § 13-904	ARIZ. REV. STAT. § 13-3101(A)(7) (machine guns and short-barreled shotguns and rifles)
Arkansas	ARK. CODE ANN. § 5-73-103	
California	CAL. PENAL CODE § 12021	CAL. PENAL CODE §§ 12001.5 (short- barreled rifles and shotguns); 12220 (machine guns)

STATE	POSSESSION OF FIREARMS BY FELONS	MACHINE GUNS / SAWED-OFF SHOTGUNS
Colorado	COLO. REV. STAT. § 18-12-108	COLO. REV. STAT. § 18-12-102 (machine guns and short shotguns and rifles)
Connecticut	CONN. GEN. STAT. § 53a-217	CONN. GEN. STAT. §§ 53-202(b), (c) (assault weapons); 53a-211 (sawed-off shotguns and rifles)
Delaware	DEL. CODE ANN. tit. 11, § 1448	DEL. CODE ANN. tit. 11, § 1444 (sawed-off shotguns and machine guns)
District of Columbia	D.C. CODE § 22-4503	D.C. CODE § 7-2502.02 (machine guns and sawed-off shotguns and rifles)

STATE	POSSESSION OF FIREARMS BY FELONS	MACHINE GUNS / SAWED-OFF SHOTGUNS
Florida	FLA. STAT. § 790.23	FLA. STAT. § 790.221 (machine guns and short-barreled shotguns and rifles)
Georgia	GA. CODE ANN. § 16-11-131	GA. CODE ANN. § 16-11-122 (machine guns and short-barreled shotguns and rifles)
Hawaii	HAW. REV. STAT. § 134-7	HAW. REV. STAT. § 134-8 (“assault pistols,” machine guns, and short-barreled rifles and shotguns)
Idaho	IDAHO CODE ANN. § 18-310	
Illinois	720 ILL. COMP. STAT. 5/24-1.1	720 ILL. COMP. STAT. 5/24-1 (machine guns and short-barreled shotguns and rifles)

STATE	POSSESSION OF FIREARMS BY FELONS	MACHINE GUNS / SAWED-OFF SHOTGUNS
Indiana	IND. CODE ANN. §§ 35-47-4-5, -6	IND. CODE ANN. §§ 35-47-5-4.1 (sawed-off shotguns); -8 (machine guns)
Iowa	IOWA CODE § 724.26	IOWA CODE §§ 724.1, .2 (machine guns and short-barreled shotguns and rifles)
Kansas	KAN. STAT. ANN. § 21-4204	KAN. STAT. ANN. § 21-4201 (machine guns and short-barreled shotguns)
Kentucky	KY. REV. STAT. § 527.040	
Louisiana	LA. REV. STAT. § 14:95.1	LA. REV. STAT. § 40:1752 (machine guns)
Maine	ME. REV. STAT. ANN. tit. 15, § 393	ME. REV. STAT. ANN. tit. 17-A, § 1051 (machine guns)

STATE	POSSESSION OF FIREARMS BY FELONS	MACHINE GUNS / SAWED-OFF SHOTGUNS
Maryland	MD. CODE ANN. CRIM. LAW § 5-622	MD. CODE ANN. CRIM. LAW §§ 4-303 (“assault pistols,”); 4-405 (on machine guns); MD. CODE ANN. PUB. SAFETY § 5-203 (short-barreled shotguns and rifles)
Massachusetts	MASS. GEN. LAWS ch. 140, §§ 129B, 129C	MASS. GEN. LAWS ch. 140, § 131M (assault weapons)
Michigan	MICH. COMP. LAWS § 750.224f	MICH. COMP. LAWS §§ 750.224 (machine guns); 750.224b (short-barreled shotguns and rifles)
Minnesota	MINN. STAT. § 609.165	MINN. STAT. § 609.67 (machine guns and short-barreled shotguns)
Mississippi	MISS. CODE ANN. § 97-37-5	

STATE	POSSESSION OF FIREARMS BY FELONS	MACHINE GUNS / SAWED-OFF SHOTGUNS
Missouri	MO. REV. STAT. § 571.070	MO. REV. STAT. § 571.020 (machine guns and short-barreled shotguns and rifles)
Montana	MONT. CODE ANN. § 45-8-313	MONT. CODE ANN. § 45-8-340 (sawed-off shotguns)
Nebraska	NEB. REV. STAT. § 28-1206	NEB. REV. STAT. § 28-1203 (machine guns and short-barreled shotguns and rifles)
Nevada	NEV. REV. STAT. ANN. § 202.360	NEV. REV. STAT. ANN. §§ 202.350 (machine guns); 202.275 (short-barreled rifles and shotguns)
New Hampshire	N.H. REV. STAT. ANN. § 159:3	

STATE	POSSESSION OF FIREARMS BY FELONS	MACHINE GUNS / SAWED-OFF SHOTGUNS
New Jersey	N.J. STAT. ANN. § 2C:39-7	N.J. STAT. ANN. §§ 2C:39-1 (weapons over 60 caliber except shotguns); 2C:39-3 (sawed-off shotguns)
New Mexico	N.M. STAT. ANN. § 30-7-16	
New York	N.Y. PENAL LAW § 265.01	N.Y. PENAL LAW § 265.02 (machine guns, “assault weapons”)
North Carolina	N.C. GEN. STAT. § 14-415.1	N.C. GEN. STAT. § 14-409 (machine guns)
North Dakota	N.D. CENT. CODE § 62.1-02-01	N.D. CENT. CODE § 62.1-05-01 (machine guns)
Ohio	OHIO REV. CODE ANN. § 2923.13	OHIO REV. CODE ANN. §§ 2923.11, .17 (machine guns and sawed-off firearms)

STATE	POSSESSION OF FIREARMS BY FELONS	MACHINE GUNS / SAWED-OFF SHOTGUNS
Oklahoma	OKLA. STAT. tit. 21, § 1283	
Oregon	OR. REV. STAT. § 166.270	OR. REV. STAT. § 166.272 (machine guns and short-barreled shotguns and rifles)
Pennsylvania	18 PA. CONS. STAT. § 6105	18 PA. CONS. STAT. § 908 (machine guns and short-barreled shotguns and rifles)
Rhode Island	R.I. GEN. LAWS § 11-47-5	R.I. GEN. LAWS § 11-47-8 (machine guns and short-barreled shotguns and rifles)
South Carolina	S.C. CODE ANN. § 16-23-30	S.C. CODE ANN. § 23-31-330 (machine guns and short-barreled shotguns and rifles)

STATE	POSSESSION OF FIREARMS BY FELONS	MACHINE GUNS / SAWED-OFF SHOTGUNS
South Dakota	S.D. CODIFIED LAWS § 22-14-15	S.D. CODIFIED LAWS § 22-14-6 (machine guns and short-barreled shotguns)
Tennessee	TENN. CODE ANN. § 39-17-1307	TENN. CODE ANN. § 39-17-1302 (machine guns and short-barreled shotguns and rifles)
Texas	TEX. PENAL CODE ANN. § 46.04	TEX. PENAL CODE ANN. §§46.01, .05 (machine guns and short-barreled shotguns and rifles)
Utah	UTAH CODE ANN. § 76-10-503	
Vermont		
Virginia	VA. CODE ANN. § 18.2-308.2	VA. CODE ANN. § 18.2-300 (sawed-off shotguns and rifles)

STATE	POSSESSION OF FIREARMS BY FELONS	MACHINE GUNS / SAWED-OFF SHOTGUNS
Washington	WASH. REV. CODE ANN. § 9.41.040	WASH. REV. CODE ANN. § 9.41.190 (machine guns and short-barreled shotguns and rifles)
West Virginia	W. VA. CODE § 61-7-7	W. VA. CODE § 61-7-9 (machine guns)
Wisconsin	WIS. STAT. § 941.29	WIS. STAT. §§ 941.26 (machine guns); 941.28 (short-barreled shot guns and rifles)
Wyoming	WYO. STAT. ANN. § 6-8-102	