

*The Sections of:*

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and the  
American College of  
Employee Benefits Counsel

The 18th Annual National Institute on

# ERISA Basics

**May 19-21, 2004**

**The Westin Michigan Avenue  
Chicago, IL**

*Early Bird Registration April 20th*

*Hotel Registration April 20th*

*[www.abanet.org/JCEB](http://www.abanet.org/JCEB)  
(202) 662-8641*

# ERISA BASICS AGENDA

## WEDNESDAY, MAY 19, 2004

- 8:00 **Registration and Networking Continental Breakfast**
- 8:45 **Welcome and Overview of Program**  
*Evan Miller, Hogan & Hartson LLP*  
*Jani K. Rachelson, Cohen, Weiss and Simon LLP*  
*Mary Ellen Signorille, AARP Foundation Litigation*
- 9:00 **Introduction to ERISA and Employee Benefit Plans**  
*Jani K. Rachelson, Cohen, Weiss and Simon LLP*  
*Evan Miller, Hogan & Hartson LLP*
- 10:00 **Minimum Standards for Qualified Pension Plans – Overview**  
*Lois Wagman Colbert, Kilpatrick Stockton LLP*  
*Margaret A. de Lisser, Hogan & Hartson LLP*
- 11:00 **Morning Break**
- 11:15 **Minimum Standards for Qualified Pension Plans – Overview (Cont'd)**
- 12:15 **Lunch (On Your Own)**
- 2:00 **An ERISA Plan: What is it?**  
*Mary Ellen Signorille, AARP Foundation Litigation*
- 2:30 **Fiduciary Standards**  
*Todd F. Jackson, Lewis & Feinberg, PC*  
*Mark Casciari, Seyfarth Shaw LLP*
- 3:30 **Afternoon Break**
- 3:45 **Fiduciary Standards (Continued)**
- 4:35 **Section 401(k) Plans – Overview**  
*Lois Wagman Colbert, Kilpatrick Stockton LLP*
- 5:25 **Adjourn**

## THURSDAY, MAY 20, 2004

- 8:00 **Registration and Networking Continental Breakfast**
- 8:30 **Cafeteria Plans**  
*Evan Miller, Hogan & Hartson LLP*
- 9:20 **Federal Regulation of Health Benefit Plans – Overview**  
*Jani K. Rachelson, Cohen, Weiss and Simon LLP*
- 10:15 **Morning Break**
- 10:30 **ERISA Claims and Remedies**  
*Todd F. Jackson, Lewis & Feinberg, PC*
- 11:20 **ERISA Preemption**  
*Mary Ellen Signorille, AARP Foundation Litigation*
- 12:10 **Lunch (On Your Own)**
- 1:40 **Benefit Claims: Administrative Procedures**  
*Elizabeth R. Lishner, Law Offices of Elizabeth R. Lishner*  
*Lisa M. Gomez, Cohen, Weiss and Simon LLP*
- 2:30 **Benefit Claims: Litigation**  
*Elizabeth R. Lishner, Law Offices of Elizabeth R. Lishner*  
*Lisa M. Gomez, Cohen, Weiss and Simon LLP*
- 3:30 **Afternoon Break**
- 3:40 **Reporting and Disclosure**  
*Daniel N. Janich, Janich Law Group*
- 4:10 **ERISA Ethical Issues and Concerns**  
*Moderator: Mark Casciari, Seyfarth Shaw LLP*  
*Mark D. DeBofsky, Daley, DeBofsky & Bryant*  
*Denise M. Clark, H.E.R.E.I.U. Welfare-Pension Funds*  
*Gabriel J. Minc, Law Offices of Gabriel J. Minc*
- 5:10 **Adjourn**

# ERISA BASICS AGENDA

FRIDAY, MAY 21, 2004

## Concurrent Breakout Sessions

- 8:00-8:30      **Registration and Networking Continental Breakfast**
- 8:30-9:20      **Plan Design Track: COBRA**  
*Evan Miller, Hogan & Hartson LLP*  
**Transactional Track: Title IV: Single Employer Plan Termination and Multiemployer Withdrawal Liability**  
*David R. Levin, Wiley Rein & Fielding LLP*  
**Litigation Track: Employer Securities Litigation**  
*Ronald S. Kravitz, Liner Yankelevitz Sunshine & Regenstreif LLP*
- 9:30-10:20     **Plan Design Track: Deferred Compensation for Executives**  
*Margaret A. de Lisser, Hogan & Hartson LLP*  
**Transactional Track: Mergers and Acquisitions**  
*Joni L. Andrioff, Jones Day*  
**Litigation Track: Subrogation**  
*Lissa J. Paris, Murtha Cullina LLP*
- 10:30-11:20   **Plan Design Track: QDROs, QMCSOs and Spousal Rights**  
*Elizabeth R. Lishner, Law Offices of Elizabeth R. Lishner*  
**Transactional Track: Bankruptcy and Employee Benefits**  
*Charles B. Wolf, Vedder, Price, Kaufman & Kammholz, PC*  
**Litigation Track: Service Provider Liability: Harris Trust v. Salomon Smith Barney and the Aftermath**  
*Ronald S. Kravitz, Liner Yankelevitz Sunshine & Regenstreif LLP*
- 11:30-12:20   **Plan Design Track: Minimum Standards for Qualified Plans: Technical Issues**  
*Joni L. Andrioff, Jones Day*  
**Transactional Track: Privacy Regulations**  
*Deborah M. Lerner, Willig, Williams & Davidson*  
**Litigation Track: The ADA/ADEA Impact on Employee Benefits**  
*Lissa J. Paris, Murtha Cullina LLP*
- 12:20           **Lunch (On Your Own)**
- 2:00-2:50      **Plan Design Track: Tax Aspects of Welfare Plans**  
*Deborah M. Lerner, Willig, Williams & Davidson*  
**Transactional Track: Title IV: Single Employer Plan Termination and Multiemployer Withdrawal Liability**  
*David R. Levin, Wiley Rein & Fielding LLP*  
**Litigation Track: Advanced Preemption**  
*Mary Ellen Signorille, AARP Foundation Litigation*
- 3:00-3:50      **Plan Design Track: Collectively Bargained Plans: Who's in Control of What?**  
*Jani K. Rachelson, Cohen, Weiss and Simon LLP*  
**Transactional Track: SEC Issues and Employee Benefit Plans**  
*Susan J. Daley, Perkins Coie LLP*  
**Litigation Track: Section 510 and Downsizing**  
*Charles C. Jackson, Seyfarth Shaw LLP*
- 3:50             **Adjourn**

## UNABLE TO ATTEND? CAN'T DECIDE WHICH SESSIONS TO ATTEND?

Audiotapes will be available approximately 3 weeks after the program.

To order complete tape sets, see the Registration and Order Form Panel of this brochure. Order forms for individual tapes will be available on site.

For more information, see [www.abanet.org/jceb](http://www.abanet.org/jceb) or call (202) 662-8641.

# ERISA BASICS HIGHLIGHTS

## Who Should Attend?

- *In-house and union counsel making decisions regarding employee benefit plans and issues*
- *Benefits specialists seeking to expand their exposure and practices into these growing areas of concentration*
- *All private practitioners who advise their corporate clients on employee benefit matters and draft plans*
- *Consultants, accountants and corporate executives seeking a more comprehensive understanding of ERISA issues*
- *Litigators seeking to develop expertise in ERISA matters*
- *All attorneys who intend to work in the field of employee benefits*

## What Past Participants Have Said ...

"The program catered to all levels of experience – from first year attorneys to those who have practiced for 10 years. The program can either provide a new benefits attorney with the basic building blocks of benefits law, as it did me, or expand an experienced attorney's knowledge of the basics."

- **Jennifer Stojak**, *McGuireWoods LLP*, Chicago, IL

"A perfect complement to the learning curve of my first year of transactional ERISA practice. I will be referencing the materials for a long time to come."

**Kelly Kuglitsch**, *von Briesen & Roper, SC*, Milwaukee, WI

"This program provided a useful and comprehensive introductory overview of ERISA. All of the speakers were extremely knowledgeable."

**R. Joseph Barton**, *Cohen Milstein Hausfeld & Toll PLLC*, Washington, DC

"Overall, I thought the presentations were highly effective. The speakers were highly energetic and interesting. The presentations were neatly tailored to the small time allotments allowed each speaker."

**David Amendola**, *Kelly Drye & Warren*, New York, NY

"The speakers were extremely intelligent and obviously experienced. I have never met a more enthusiastic group of benefits attorneys."

**Elizabeth Dalzell**, *Slevin & Hart PC*, Washington, DC

"The presenters were very knowledgeable and actively engaged one another. Excellent written materials."

**William Wilder**, *Baptiste & Wilder, PC*, Washington, DC

## National Institute Faculty

### **Evan Miller**

*Program Co-Chair*  
*Hogan & Hartson LLP*  
Washington, DC

### **Jani K. Rachelson**

*Program Co-Chair*  
*Cohen, Weiss and Simon LLP*  
New York, NY

### **Mary Ellen Signorille**

*Program Co-Chair*  
*AARP Foundation Litigation*  
Washington, DC

### **Joni L. Andrioff**

*Jones Day*  
Chicago, IL

### **Mark Casciari**

*Seyfarth Shaw LLP*  
Chicago, IL

### **Denise M. Clark**

*H.E.R.E.I.U. Welfare-Pension Funds*  
Aurora, IL

### **Lois Wagman Colbert**

*Kilpatrick Stockton LLP*  
Raleigh, NC

### **Susan J. Daley**

*Perkins Coie LLP*  
Chicago, IL

### **Mark D. DeBofsky**

*Daley, DeBofsky & Bryant*  
Chicago, IL

### **Margaret A. de Lisser**

*Hogan & Hartson LLP*  
Washington, DC

### **Lisa M. Gomez**

*Cohen, Weiss and Simon LLP*  
New York, NY

### **Charles C. Jackson**

*Seyfarth Shaw LLP*  
Chicago, IL

### **Todd F. Jackson**

*Lewis & Feinberg, PC*  
Oakland, CA

### **Daniel N. Janich**

*Janich Law Group*  
Chicago, IL

### **Ronald S. Kravitz**

*Liner Yankelevitz Sunshine & Regenstein LLP*  
San Francisco, CA

### **Deborah M. Lerner**

*Willig, Williams & Davidson*  
Philadelphia, PA

### **David R. Levin**

*Wiley Rein & Fielding LLP*  
Washington, DC

### **Elizabeth R. Lishner**

*Law Offices of Elizabeth R. Lishner*  
Santa Monica, CA

### **Gabriel J. Minc**

*Law Offices of Gabriel J. Minc*  
Chicago, IL

### **Lissa J. Paris**

*Murtha Cullina LLP*  
Hartford, CT

### **Charles B. Wolf**

*Vedder, Price, Kaufman & Kammholz, PC*  
Chicago, IL

# ERISA BASICS INFORMATION

## ABA TUITION

Attend the entire three-day program, each breakfast and network with other registrants and faculty. Registrations must be postmarked, e-mailed or faxed by **Tuesday, April 20** to receive the discount.

### Early Bird Registration - Before April 20

**\$850** General Public

**\$800** ABA Member *(\$50 Savings - join a Section, and save even more!)*

**\$750** Sponsoring Section Member *(\$100 Savings)*

### Full Tuition - After April 20

**\$900** General Public

**\$850** ABA Member *(\$50 Savings - join a Section, and save even more!)*

**\$800** Sponsoring Section Member *(\$100 Savings)*

### On-Site Tuition

**\$950** General Public

**\$900** ABA Member *(\$50 Savings - join a Section, and save even more!)*

**\$850** Sponsoring Section Member *(\$100 Savings)*

## ADDITIONAL SAVINGS

If three or more people register from the same firm, one registrant will receive a \$50 reduction in program tuition! Every registrant will receive a copy of the informative course materials book which can be used as a comprehensive resource long after the program is held. Each registrant will also be eligible for MCLE accreditation within those states that require continuing legal education.

## SCHOLARSHIPS

A limited number of scholarships to defray tuition expenses for full-time LL.B. candidates are available for this program. To request an application or receive additional information, contact Karen Case at 202.662.8641. Scholarship applications must be received in the ABA offices no later than thirty days prior to the program presentation.

## MCLE

Required sponsor documentation has been forwarded to and credit requested from MCLE states with general requirements for all lawyers. 17.92 hours of credit have been requested from states that recognize a 60-minute credit hour and 21.5 hours from those states granting credit hours on a 50-minute basis (20 hours in NY). Lawyers seeking credit in Pennsylvania must pay a fee of \$1.50 per credit hour directly to the PA CLE Board. The ABA pays applicable fees in other states where the sponsor is required to do so. In states where a late fee may become applicable, the ABA pays this fee as well. Please be aware that each state has its own rules and regulations including its definition of CLE as well as "Ethics". Therefore, certain programs may not receive credit in some states. Please check with your state agency for confirmation of general as well as ethics approval for any program. You may contact the ABA Service Center at (800) 285-2221 or the Center for CLE at (312) 988-6217 for confirmation of the number of credits approved by any particular state. This transitional CLE program has been approved for all New York licensed attorneys in accordance with the requirements of the New York CLE Board for NYMCLE.

## QUESTIONS?

If you have a specific question that you want answered, you can fax it up to the day before the program to Karen Case (FAX # (202) 662-8682), or ask the speakers directly during the program presentation.

## SERVICES FOR PERSONS WITH DISABILITIES

If special arrangements are required, please contact Karen Case at (202) 662-8641. Reasonable advance notice is requested.

## CANCELLATIONS

Refunds will be made only if requests are received in writing by **Tuesday, April 20**, and a \$50 administrative fee will be charged. **No refunds will be made for requests received after Tuesday, April 20.** Substitute registrants for this National Institute are welcome.

## PROGRAM CONFIRMATION

Written confirmation of your registration will be e-mailed to you upon receipt. Please bring it with you to the National Institute as proof of registration. If you do not receive the confirmation notice prior to the presentation, please call the ABA at (202) 662-8641 at least 24 hours in advance to confirm that your registration was received and the program is being held as scheduled.

# ERISA BASICS INFORMATION

## HOTEL INFORMATION

### DEADLINE FOR HOTEL RESERVATIONS:

**TUESDAY, APRIL 20**

You may make hotel reservations at The Westin Michigan Avenue, 909 North Michigan Avenue, Chicago, IL 60611. A limited number of rooms have been set aside for National Institute registrants at \$209 for a single or double room. All room rates are subject to city occupancy and sales tax, and unclaimed rooms will be released on April 20, 2004, after which the hotel will accept reservations on a space-available basis only. All reservations must be guaranteed with a major credit card. A one night's deposit is required to hold a reservation. The deposit is refundable if the reservation is cancelled 72 hours prior to arrival. To register at the hotel, call the hotel directly at 312.943.7200, and be sure to mention the ABA ERISA Basics National Institute – to receive the special discounted rate.

## AIRLINE INFORMATION

The American Bar Association has secured discounted rates with American, Delta, and US Air for all National Institute participants. To make reservations, or for more information call:

**American Airlines** (800) 433-1790

ABA Account 16666

**Delta Airlines** (800) 241-6760

ABA Account 189419A

**US Air** (877) 874-7687

ABA Account 36632473

## ABA SPONSORING SECTIONS

Join one of these dynamic Sections, and become active in one of their employee benefits committees. Join one or more when registering for this program, and save up to \$200 on this program tuition.

- I am interested in becoming a member of the American Bar Association.  
Please send me information and membership forms.
- I am an ABA member, but want a further reduction on my tuition for this program.  
Please enroll me into the Section(s) listed below; I've enclosed a separate check.
- Section of Business Law, \$55
- Section of Health Law, \$40
- Section of Labor and Employment Law, \$40
- Section of Real Property, Probate and Trust Law, \$50
- Section of Taxation, \$50
- Section of Tort Trial and Insurance Practice, \$50

For more information, call (202) 662-8641 or fax your request to (202) 662-8682.

## MARK YOUR CALENDAR AND CHECK OUR WEBSITE FOR UPDATES

[www.abanet.org/jceb](http://www.abanet.org/jceb)

15th Annual National Institute on <b>Health and Welfare Benefit Plans: The Pressure is On</b> Washington, DC	October, 2004
19th Annual National Institute on <b>Compensation for Executives And Directors</b> New York, NY	November 4-5, 2004
14th Annual National Institute on <b>ERISA Litigation</b> Chicago, IL	November 11-13, 2004
19th Annual National Institute on <b>Employee Benefits in Mergers and Acquisitions</b> New York, NY	April 2005
19th Annual National Institute on <b>ERISA Basics</b> Chicago, IL	June 2005

# REGISTRATION AND ORDER FORM

## ERISA Basics

May 19-21, 2004 at The Westin Michigan Avenue, Chicago, IL  
www.abanet.org/jceb (202) 662-8641

### EARLY BIRD REGISTRATION - Before April 20

- \$850** General Public
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*(\$50 Savings - join a Section, and save even more!)*  
ABA # \_\_\_\_\_
- \$750** Sponsoring Section Member *(\$100 Savings)*  
ABA # \_\_\_\_\_

### FULL TUITION - After April 20

- \$900** General Public
- \$850** ABA Member  
*(\$50 Savings - join a Section, and save even more!)*  
ABA # \_\_\_\_\_
- \$800** Sponsoring Section Member *(\$100 Savings)*  
ABA # \_\_\_\_\_

### ON-SITE TUITION

- \$950** General Public
- \$900** ABA Member  
*(\$50 Savings - join a Section, and save even more!)*  
ABA # \_\_\_\_\_
- \$850** Sponsoring Section Member *(\$100 Savings)*  
ABA # \_\_\_\_\_
- Two or more people have registered with me; please apply the \$50 tuition reduction to the tuition rate indicated above.

- Send me the **ERISA Basics Audiotape Package, PC5470550P**, (tapes and course materials) available three weeks following the presentation, at the special price of \$649.95 including postage and handling\*.
- Send me the **ERISA Basics Course Materials, PC5470550** at the special prepublication price of \$267.95 including postage and handling\*.
- Send me the JCEB calendar for upcoming Employee Benefits Membership Events.

### TO REGISTER

**Mail:** American Bar Association  
JCEB Registrar  
740 15th Street, NW, 10th Floor  
Washington, DC 20005-1022

**Fax:** 202.662.8682 *(credit card only)*

### PAYMENT *(must include one of the following)*

- Check made payable to  
*American Bar Association - JCEB*
- MasterCard
- VISA
- American Express

PLEASE CHECK HERE IF YOU NEED CLE CREDIT IN ONE OF THE FOLLOWING STATES  NY  PA  TX  DE

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\*District of Columbia residents add 6% use tax, Illinois residents add 8.75% sales tax, and Maryland residents add 5% sales tax, before including domestic postage and handling charges. Price is subject to change after 5/24/04.

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  - Section of Taxation, \$50
  - Section of Health Law, \$40
  - Section of Real Property, Probate and Trust Law, \$50
  - Section of Tort Trial and Insurance Practice, \$50

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