



## The Eighth Annual: “2007 LIVE FROM THE SEC”

**OCTOBER 25, 2007**

*A Review of Recent Developments in  
International Securities Regulation and Enforcement*

**SPEAKERS HAVE BEEN CONFIRMED!**

*11:30 a.m. – 1:45 p.m. U.S. Eastern time/4:30 p.m. – 6:45 p.m. London time*

**CLE and CPD accreditation have been requested**

The Section of International Law, in conjunction with the U.S. Securities and Exchange Commission, is pleased to announce, for the eighth consecutive year, this highly successful broadcast live from the SEC headquarters in Washington, DC to law offices in London (where participants may interact with the speakers), New York and other venues around the world. *Teleconferencing will be made available by request at various sites throughout Europe, the United States, Canada, the Caribbean, and Latin America.*

This program will feature updates on SEC policy relevant to practitioners around the world. Subjects to be covered will include recent initiatives and timely topics such as deregistration, the idea of substituted compliance amongst international securities regimes, IFRS proposals, the status of recent rulemaking and enforcements actions under Section 404, the impact of Securities Act Reform, regulation of hedge funds and other relevant matters. Particular attention will be given to issues of extra-territorial reach and multi-jurisdictional cooperation among regulators.

#### SEC SPEAKERS:

- Commissioner Annette Nazareth
- John White, Director, Division of Corporation Finance
- Paul Dudek, Chief, Office of International Corporate Finance
- Tina Chalk, Senior Special Counsel, Office of Mergers & Acquisitions
- Elizabeth Jacobs, Deputy Director, Office of International Affairs
- Robert Fisher, Assistant Director, Office of International Affairs
- David Shillman, Associate Director, Division of Market Regulation

For further information on the program, please contact Mary Jordan Mullinax, Meetings Coordinator at the ABA Section of International Law – Telephone: 202 662-1673; Fax 202 662-1669; [mullinam@staff.abanet.org](mailto:mullinam@staff.abanet.org)

**LIVE FROM THE SEC REGISTRATION FORM**

Name: \_\_\_\_\_  
 Name: (Organizer, if different from above) \_\_\_\_\_  
 Firm/Organization: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 City/State/Province or Territory/Zip or Postal Code/Country: \_\_\_\_\_  
 Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_ E-mail: \_\_\_\_\_

**REGISTRATION CATEGORY:**

- |   | <b>Section<br/>Member</b> | <b>Non-Section<br/>Member</b> |
|---|---------------------------|-------------------------------|
| <ul style="list-style-type: none"> <li>• <b>BY VIDEOCONFERENCE cost per person (5 Public Sites)</b></li> <li>- Allen &amp; Overy LLP, One Bishops Square, London E1 6AO, U.K. (Interactive)</li> <li>- John Marshall Law School, 315 South Plymouth Ct., Chicago, IL 60604</li> <li>- Wachtell, Lipton, Rosen &amp; Katz, 51 West 52<sup>nd</sup> Street, New York, NY 10019</li> <li>- American University Washington College of Law, 4801 Massachusetts Avenue, NW, Washington, DC 20016</li> <li>- American Bar Association, 740 15<sup>th</sup> Street, NW, Washington, DC 20005</li> </ul> | \$375 ____                | \$375 ____                    |
| <p>Please indicate which site You will be attending: _____</p>  |                           |                               |
| <ul style="list-style-type: none"> <li>• <b>BY TELECONFERENCE ONLY, cost per site, unlimited attendees*</b></li> </ul> <p>_____ Site requested</p>  | \$900 ____                | \$1000 ____                   |
| <ul style="list-style-type: none"> <li>• <b>Special discounted rates available for law schools*</b></li> </ul> <p>_____ Site requested</p>  | \$250 ____                | \$250 ____                    |

Total \_\_\_\_\_

Rates above are for locations in North America and Western Europe. Please contact the Section using the details below if you wish to participate outside North America and Western Europe.

\* **Requests for teleconference site must be made no later than October 23rd.** Dial-in information and materials will be sent to you prior to the meeting.

**SECTION MEMBERSHIP:**

- \_\_\_\_ YES! Enroll me in the Section of International Law. My ABA ID# is \_\_\_\_\_  
 \_\_\_\_ Lawyer Member \_\_\_\_ \$55 OR \_\_\_\_ \* FREE with "Non-Member" registration fees for ABA members only  
 \_\_\_\_ Associate \_\_\_\_ \$55 OR \_\_\_\_ \* FREE with "Non-Member" registration fees for ABA members only  
 \_\_\_\_ Law Student \_\_\_\_ \$5

Membership Subtotal: \$ \_\_\_\_\_

\* ABA Membership is a prerequisite of Section membership. If you are not already an ABA member, please call 800-285-2221 or 312-988-5522

**Please complete the form and mail/fax to: Jessica Elliot at the ABA Section of International Law– SEC Program 740 15th Street NW, Washington, DC 20005, USA. For further information, please contact Jessica Elliot: Telephone: 202-662-1663; Fax 202-662-1669; elliotj@staff.abanet.org**

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