|----------|---------------------------------------------|
[2] Adds “and Comments” after “the Canons;” adds after the second sentence: “Where the Rules use the term “shall” or “shall not” they establish mandatory standards to which judges and candidates for judicial office will be held. The enforcement of these standards is affected through appropriate disciplinary procedures.”  
[6] Changes first sentence to: “The Rules are binding and enforceable, however, it is not contemplated that every violation of a Rule will result in imposition of discipline.” Replaces “transgression” with “violation.” |
| Terminology | Replaces opening sentence with: “In addition to the definitions found in the section on Terminology a candidate for judicial office should also carefully examine the Ethics Commission Rules found in Title 74, Chapter 62, Appendix, Title 257.”  
- “Contribution:” Changes to: “shall have the same meaning as that provided for all elections in Oklahoma by the Rules of the Oklahoma Ethics Commission as amended or revised. See Rules 2.11, 2.13, 3.1, 3.13, 3.7, 4.1, 4.3, and 4.4.”  
- “De minimis:” Adds reference to Rule 3.8 at the end.  
- “Domestic partner:” Deleted.  
- “Economic interest:” (2) Deletes “domestic partner” from the list and adds “or member of the judge’s household” after “child.” Adds reference to Rules 3.2, 3.8, and 3.13 at the end.  
- “Fiduciary:” Adds “but not limited to” after “includes.”  
- “Impartial,” “impartiality,” and “impartially:” Adds reference to Rules 2.6, 2.8, 3.6, 3.7 and deletes reference to Rule 3.12 at the end.  
- “Impending matter:” Adds reference to Rule 3.2 and deletes reference to Rules 2.10, 3.13, and 4.1 at the end.  
- “Impropiety:” Adds reference to Rules 3.6 and 3.13 at the end.  
- “Independence:” Adds reference to 2.7, 2.10, 3.2, 3.4, 3.7, 3.14, and 4.1 at the end.  
- “Integrity:” Adds reference to Canon 4 and Rules 2.7, 2.10, 2.16, 3.1, 3.2, 3.6, 3.7, 3.12, 3.13, 3.14, 4.1, and 4.2.  
- “Judicial candidate:” Adds before the last sentence: “A Judicial candidate should also examine the definition of a candidate in the Rules of the Ethics Commission, Title 74 Oklahoma Statutes Chapter 62 — Appendix-Title 257: when filing for a judicial office.”  
- “Knowingly,” “knowledge,” “known,” and “knows:” Adds reference to 1.3, 2.9, 3.2, 3.4 and deletes reference to Rules 2.13 and 3.6 at the end. |
- **“Law:”** Adds reference to Rules 2.4, 2.8, 2.11, 2.13, 3.4, 3.7, and 3.10 at the end.
- **“Member of the candidate’s family:”** Deletes “domestic partner” from the list.
- **“Member of the judge’s family:”** deletes “domestic partner” from the list and deletes reference to Rules 3.7 and 3.11.
- Adds: **“Member of the judge’s household:”** means any person residing in the judge’s home on a permanent basis regardless of the relationship of that person to the judge. See Rules 2.11, 2.13, 3.8, and 3.13.
- Adds: **“Member of the lawyer’s household:”** means any person residing in the lawyer’s home on a permanent basis regardless of the relationship of that person to the lawyer. See Rule 2.13(B).
- **“Member of a judge’s family residing in the judge’s household:”** Deleted.
- **“Pending matter:”** Adds after “final deposition:” “or if court retains jurisdiction of the matter”. Deletes references to Rules 2.10, 3.13, and 4.1 and adds reference to Rule 3.2.
- **“Personally solicit:”** Replaces reference to Rules with Rules 4.1 and 4.3.
- **“Political organization:”** Adds reference to Rule 4.3.
- **“Public election:”** Deletes “partisan elections” from the list.
- Adds **“Rules of the Ethics Commission:”** means the Rules of the Oklahoma Ethics Commission found in Title 74 Oklahoma Statutes Chapter 62 – Appendix – Title 257, Ethics Commission as they are, and as they may be amended or revised. See Rule 3.12.
- Adds **“Specialized Courts:”** means the various types of what are often referred to as “problem solving courts” such as drug courts, mental health courts and juvenile courts. See Application I Comment [3], Rule 2.9(A)(4) and Rule 2.9 Comment 4.
- Adds **“Spouse:”** means an individual to whom a judge or judicial candidate is married. See Rules 2.11, 2.13, 3.13, and 3.14.

* Deletes asterisks throughout the text

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**Application I**

<table>
<thead>
<tr>
<th>Action</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>(A)</td>
<td>Adds at the end of second sentence: “only while they are serving as judges, and provisions that do not apply to part-time judges at any time.” Replaces third sentence with: “Rules that do not appear in Section II through V are therefore applicable to part-time judges at all times.”</td>
</tr>
<tr>
<td>(B)</td>
<td>Deletes “magistrate” before “court commissioner, special master…”</td>
</tr>
<tr>
<td>[4]</td>
<td>Adds: The inclusion of the last phrase in the Application section is to make it clear that the Code not only applies to judges, but it also applies to those...</td>
</tr>
</tbody>
</table>
As of January 24, 2011

| Application II | Changes title to: RETIRED JUDGE ON ACTIVE STATUS”  
|                | Replaces “retired judge subject to recall for service” with “retired judge on active status” in throughout the text, including in Comment [1]  
|                | (B) Adds reference to Rule 3.8(A)  
| Application III | Deletes “including a retired judge … part-time judge’,”  
|                | (A)(1) Replaces reference with “4.1 (Political and Campaign Activities of Judges and Judicial Candidates in General) (A)(1) through (7)”  
|                | (A)(2) Makes references to rules more specific by citing 3.8(A) and 3.11(B). Deletes all references after “Rule 3.15 (Reporting Requirements).”  
| Application IV | (A)(1) Replaces reference with “Rule 4.1 (Political and Campaign Activities of Judges and Judicial Candidates in General) (A)(1) through (7), except while serving as a judge.”  
|                | (A)(2) Deletes reference to Rule 4.1  
| Application V  | (A) Deletes reference to Rules 1.2 and 2.10  
|                | (B) Cites Rules 3.8 and 3.11 as 3.8(A) and 3.11(B), respectively; Deletes reference to Rule 4.1  
| Application VI | Adds at the end: “Similarly, if engaged at the time of judicial selection in a business activity, a new judge may, notwithstanding the prohibitions in Rule 3.11, continue in that activity for a reasonable period but in no event longer that one year.”  

| Canon 1 | Same as MC  
| Rule 1.1 | Same as MC  
|          | [6] Replaces: “should” in the beginning of the sentence with “is permitted and encouraged to”  
| Rule 1.3 | Adds [5]: “A judge should not testify unless duly summoned as a character witness. See also Rule 3.3.”  

| Canon 2 | Same as MC  
| Rule 2.1 | Same as MC  
| Rule 2.2 | Same as MC  
| Rule 2.3  | [2] Replaces “as prejudiced or biased” at end of last sentence with “as hostility or aversion toward a person on bases such as race, sex, gender, religion, national origin, ethnicity, disability, age, sexual orientation, marital status, socioeconomic status, or political affiliation.”  
| Rule 2.4 | Same as MC  
| Rule 2.5  | [4] Deletes “In depoing of matters promptly and efficiently” in the beginning of the first sentence  
| Rule 2.6 | Same as MC  

3 of 12
| Rule 2.7 | Adds second sentence: “Administrative reassignment for the purpose of judicial efficiency is not prohibited.” |
| Rule 2.8 | (C) Replaces “jurors for their verdict” with “the verdict of the jury;” Adds second sentence: “However, after the conclusion of a trial a judge is encouraged to express appreciation to the jurors for their service to the judicial system and to the community.” |
| Rule 2.9 | Adds OK (4): 
With the consent of all parties, the judge and court personnel may have ex parte communication with those involved in a specialized court team. Any party may expressly waive the right to receive that information. OK (5) and (6) are identical to MC (4) and (5), respectively. (C) Adds at the end: “While a judge shall not independently investigate facts in a case, and shall consider only the evidence presented, a judge may seek information of a general nature that does not bear on a disputed evidentiary fact or influence the judge’s opinion of the substantive merits a specific case.” [4] Replaces “therapeutic or problem-solving courts” with “specialized courts” [6] Adds: “in the rule” after “The prohibition;” Replaces “in a matter” with “in a case independently or through a member of the judge’s staff” Adds OK [7]: The prohibition does not apply to a judge’s effort to obtain general information about a specialized area of knowledge that does not include the application of such information in a specific case. Adds OK [8]: The prohibition in this rule does not apply to interstate or state-federal communications among judges on the general topic of case management decisions in mass torts or other complex cases, such as discovery schedules, standard interrogatories, shared discovery depositories, appointment of liaison counsel, committee membership, or common fund structures. If communications of this nature have occurred the judge should disclose these communications to the parties. OK [9] is identical to MC [7]. |
| Rule 2.10 | OK [2] and [3] are equivalent to MC [3] and [2], respectively |
| Rule 2.11 | (A)(2) Replaces “or domestic partner” after “the judge’s spouse” with “a member of the judge’s household;” Replaces “to either of them” after “a person within the third degree of relationship” with “to any of them;” Replaces “or the spouse or domestic partner of such a person” with “or the spouse of such a person” (A)(3) Deletes “domestic partner” before “parent, or child;” Replaces “or any other member of the judge’s family residing in the judge’s household” with “or any member of the judge’s household” (A)(4) Inserts “four (4) years” before “made aggregate contributions to the judge’s campaign;” Replaces language after “in the amount that” with: “that a reasonable person would believe could affect the fairness of the judge’s consideration of a case involving the party, the party’s lawyer or the law firm of the party’s lawyer. The judge should consider what the public perception would be as to such contributions affecting the judge’s ability to be fair to the
parties. Contributions within the limits allowed by the Oklahoma Ethics Commission will not normally require disqualification unless other factors are present.”

(A)(6)(d) Adds at the end: “or in any adjudicatory capacity.”

(B) Replaces “or domestic partner and minor children residing in the judge’s household” with “and members of the judge’s household.”

[1] Deletes the last sentence.

[6](3) Deletes “domestic partner” and adds “or member of the judge’s household” after “child”

<table>
<thead>
<tr>
<th>Rule 2.12</th>
<th>Same as MC</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rule 2.13</td>
<td>(B) Replaces “domestic partner” with “a member of the lawyer’s household;” Replaces language after “has contributed” and before “or learns of such a contribution… unless” with: “to the judge’s election campaign an amount which a reasonable person would believe could affect the appointment” [2] Replaces language after “or the judge’s spouse” with: “member of the judge’s household or the spouse of such relative or member of the judge’s household” [3] Replaces “specified dollar amount” with “reasonable dollar amount”</td>
</tr>
<tr>
<td>Rule 2.14</td>
<td>Same as MC</td>
</tr>
<tr>
<td>Rule 2.15</td>
<td>Replaces “the Rules of Professional Conduct” with “the Oklahoma Rules of Professional Conduct”</td>
</tr>
<tr>
<td>Rule 2.16</td>
<td>Same as MC</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Canon 3</th>
<th>Same as MC</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rule 3.1</td>
<td>(E) Deletes the last sentence. [1] Combines first and second sentences by adding at the end of first sentence: “that concern the law, the legal system, and the administration of justice, such as by speaking, writing, teaching, or participating in scholarly research projects.” [3] Deletes the third sentence. Adds [4] A judge’s extrajudicial activities must not be conducted in connection or affiliation with an organization that practices invidious discrimination.</td>
</tr>
<tr>
<td>Rule 3.2</td>
<td>Same as MC</td>
</tr>
<tr>
<td>Rule 3.3</td>
<td>Same as MC, but does not adopt comment</td>
</tr>
<tr>
<td>Rule 3.4</td>
<td>Adds at the end: “or the judge has the specific approval of the Supreme Court.”</td>
</tr>
<tr>
<td>Rule 3.5</td>
<td>[2] Deletes “however” in the beginning of the sentence; Replaces “the judges or a member of a judge’s family, court personnel, or other judicial officers” after “protect the health of safety” with “any individual”</td>
</tr>
<tr>
<td>Rule 3.7</td>
<td>(A) (2) Adds: “members of the judge’s household” after “members of the judge’s family”</td>
</tr>
</tbody>
</table>
Add (C):

Subject to the requirements of Rule 3.1 and Rule 3.7(A) and (B), a judge may:

1. Provide leadership in:
   a. Identifying and addressing issues involving providing equal access to the judicial system;
   b. Developing public education programs;
   c. Engaging in community outreach activities to promote the fair administration of justice; and
   d. Converting, participating or assisting in advisory committees and community collaborations devoted to the improvement of the law, the legal system, the provision of services and/or the administration of justice.

2. A judge may endorse projects and programs directly related to the law, the legal system, the provision of services and/or the administration of justice.

3. A judge may participate in programs concerning the law or which promote the administration of justice.

[5] Deletes “publico” after “pro bono” throughout the comment

| Rule 3.8 | (A) Adds “or member of the judge’s household” after “a member if the judge’s family”
          | (D) Length of time is “one year.” |
|----------|---------------------------------------------------------------|
| Rule 3.9 | Same as MC                                                   |
| Rule 3.10| Adds [2]: “This rule does not prohibit the practice of law pursuant to military service.” |
| Rule 3.11| (A) Adds “and members of the judge’s household” after “members of the judge’s family”
        | (B)(1) and (2) Adds “or members of the judge’s household” after “members of the judge’s family”
        | [1] Adds at the end of first sentence: “or members of the judge’s household” |
| Rule 3.12| OK Rule:
          | The compensation for extrajudicial activities of a judge is controlled and regulated by the Rules of the Ethics Commission or other applicable law. If a judge is permitted to accept extrajudicial compensation under those rules the judge should refrain from accepting such compensation if it would appear to a reasonable person to undermine the judge’s independence, integrity or impartiality. (See Rules of Ethics Commission 20-1-9(h).
          | [1] Replaces first sentence with: “A judge may only accept compensation as provided by the Rules of the Ethics Commission or other applicable law. Any compensation that is permitted must be reasonable and commensurate with the task performed.” |
| Rule 3.13| Changes title to: “Accepting Gifts, Loans, Bequests, Benefits, or Other Things of Value”
          | (A) Replaces “by the law” with “by the Rules of the Ethics Commission of other law” |
(B) Replaces “by law, or by paragraph (A) with “by the Rules of the Ethics Commission;” Deletes all words after “the following”
(B)(2) Replaces language after “from friends” with “from close friends, relatives; or members of the judge’s household and their relatives”
Adds (B)(7):
    scholarships, fellowships, and similar benefits or awards specifically for judicial education;
OK (B)(8) is identical to MC (B)(7)
Adds (B)(9):
gifts incident to a public testimonial;
Adds (B)(10):
    Invitations to the judge and the judge’s spouse, member of the judge’s household, or guest to attend without charge:
        (a) an event associated with a bar-related function or other activity relating to the law, the legal system, or the administration of justice; or
        (b) an event associated with any of the judge’s educational, religious, charitable, fraternal or civic activities permitted by this Code, if the same invitation is offered to nonjudges who are engaged in similar ways in the activity as is the judge; and
Adds (B)(11):
gifts, awards, or benefits associated with the business, profession, or other separate activity of a spouse, or member of the judge’s household, but that incidentally benefit the judge. However, such benefits provided to a spouse or member of the judge’s household are also subject to the same limitation as a judge.
Replaces (C) with:
    Under the Rules of the Ethics Commission there are specific limitations on a state officer or employee asking for, soliciting, receiving or agreeing to receive things of value from:
        (1) a lobbyist or lobbyist principal;
        (2) a person who is seeking to do business or doing business with the government entity of which the state officer’s or state employee’s office or employment to a part; and
        (3) a person who has an economic interest in actions or matters before or affecting the governmental entity of which the state officer’s or state employee’s office or employment is a part.
    A judge should carefully examine the Rules of the Ethics Commission to determine the limitations imposed by these Rules on receiving things of value from anyone in one of these three categories.
[1] Deletes the rest of the words in the third sentence after: “or impartiality is low.” Changes the last sentence after “appear before the judge increases” with: “the judge may be prohibited under paragraph (A), or (C) from accepting the gift.”
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| Rule 3.14 | (A) Adds “or (C)” after “Rules 3.1 and 3.13(A)”  
|           | (B) Replaces “domestic partner” with “member of the judge’s household”  
|           | Deletes (C)  
|           | Adds [3](i) whether the sponsor or the source of funding is a member of one of the three restricted categories of givers described in paragraph (B) and in the Rules of the Ethics Commission. |

| Rule 3.15 | OK Rule:  
|           | A judge shall report the amount or value of compensation or other things of value as required by the Rules of the Ethics Commission or pursuant to applicable law. |

| Canon 4 | Same as MC |

| Rule 4.1 | (A)(2) Adds at the end: “or another candidate for public office.”  
| (A)(3) Adds “another” before “candidate”  
| (A)(4) Adds “another” before “candidate”  
| (A)(5) Adds “another” before “candidate” and adds at the end: “provided that the exception granted to a candidate for judicial office pursuant to Title 20 O.S. § 1404.1(1) shall also be granted to a judge who is a candidate for judicial election.”  
| (A)(10) Changes language to: “require or compel court staff to aid or assist in a campaign for judicial office or use court resources in such a campaign;”  
| Adds (A)(14): Knowingly or with reckless disregard for the truth misrepresent the identity, qualifications, present position or other fact concerning the candidate or an opponent.  
| Adds (B):  
| A judge or judicial candidate shall take reasonable measures to ensure that other persons do not undertake, on behalf of the judge or judicial candidate, any activities prohibited under paragraph (A).  
| Adds (C):  
| For campaign purposes photographs may be taken in a courtroom by a judge or judicial candidate, but not while the court is in session.  
| [1] Adds the first sentence: “The State of Oklahoma has a compelling interest in maintaining the interdependence, integrity and impartiality of the judiciary, thus enhancing public confidences in the judicial system.” Adds at the end of the last sentence: “and the constitutional provisions governing free speech and |
expressive association.”

[2] Adds at the end: “A successful candidate for judicial office is subject to discipline under the Code for violation of any of the Rules set forth in Canon 4, even if the candidate was not a judge during the period of candidacy. An unsuccessful candidate who is a lawyer is subject to discipline under the Oklahoma Rules of Professional Conduct.”

[4] Deletes “respectively” after “or opposing candidates for public office;” Deletes “or from endorsing” after “from campaigning on their own behalf.”

[6] Deletes all text after the first sentence.


[15] Adds at the end of the second sentence: “but candidates should proceed with caution if they choose to respond to such questionnaire or requests.”

**Rule 4.2**

(A) Deletes “partisan, nonpartisan, or retention”

(A)(2) Replaces “this jurisdiction” with “the State of Oklahoma;”

(B) Length of the time is “not earlier than 180 days;” Replaces language thereafter with “before the beginning of the filling period for the judicial office:”

OK (B)(3) is identical to MC (B)(3-4) and (6): Deleted

(C): Deleted

[1] Deletes reference to paragraph (C); Length of the time is “not earlier than 180 days;” Replaces language thereafter with “before the beginning of the filling period for the judicial office.”

[2] Deletes reference to paragraph (C) in the beginning; Adds reference to Rule 4.1(A), paragraph (14) at the end.

[3]: Deleted

OK [3] is identical to MC [4], but refers to paragraph (B)(4) instead of (5).

Adds [4]:

> Justices or judges who are subject to a retention election are sometimes publicly supported or opposed by individuals or organizations, including political organizations. If such a justice or judge is opposed by individuals or organizations the justice or judge has all of the rights and responsibilities as any other candidate for judicial office. However, justices or judges who are standing for retention and who have not drawn active opposition, are not permitted to seek endorsements from any individual or organization, or to use such endorsements to further their campaign.

[5-7]: Deleted

**Rule 4.3**

Adds [2]:

> Candidates for appointive judicial office have no need to raise or spend campaign funds. Accordingly, they are not only prohibited from personally soliciting or personally accepting campaign contributions, but are also prohibited from establishing campaign committees for this purpose.

**Rule 4.4**

(B)(1) Replaces language after “such campaign contributions” with: “as are
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<table>
<thead>
<tr>
<th>Rule 4.5</th>
<th>Same as MC</th>
</tr>
</thead>
<tbody>
<tr>
<td>Oklahoma Rule 4.6</td>
<td>Adds Rule not found in Model Code:</td>
</tr>
<tr>
<td><strong>Rule 4.6</strong></td>
<td><strong>Statement of Candidate for Judicial Office</strong></td>
</tr>
</tbody>
</table>

\[
\text{(A) In all judicial elections within ten (10) days after formally announcing and/or qualifying for election or reelection (whichever is earlier) to any judicial office in the State of Oklahoma, all candidates, including incumbent judges, shall forward written notice of such candidacy, together with the candidate’s correct mailing address, current telephone number, e-mail address, facsimile (telefax) number and actual physical address to the Administrative Director of the Courts.}
\]

\[
\text{(B) Upon receipt of the notice, the Administrative Director shall by Certified Mail, Return Receipt Requested, cause to be distributed to each candidate who has filed a notice copies of the following:}
\]

\[
\text{(1) The Code of Judicial Conduct}
\]

\[
\text{(2) Summaries of all previous Formal Advisory Opinions, if any, issued}
\]

<table>
<thead>
<tr>
<th>permitted by law. Rule 2.11(4).”</th>
</tr>
</thead>
<tbody>
<tr>
<td>(B)(2) The time period is “more than 180 days before the beginning of the filing period for the judicial election, nor more that 60 days after the last election in which the candidate participated;”</td>
</tr>
<tr>
<td>(B)(3) Adds at the beginning: “Candidates for judicial office subject to public elections shall direct their campaign committees;” Deletes “and divestiture;” Specifies the Oklahoma Ethics Commission as the appropriate regulatory authority and adds thereafter “at the time and in the manner specified by the Commission; the amount is “established by the Oklahoma Ethics Commission for reporting campaign contributions;” Deletes the last sentence. Adds (B)(4):</td>
</tr>
<tr>
<td>Candidates for judicial office subject to public election shall comply with the rules of the Oklahoma Ethics Commission concerning the dissolution of the candidate’s campaign committee and the use of surplus funds of the campaign as set out in Title 74 Oklahoma Statutes Chapter 62, Appendix to Article 257, Sections 10-1-19 and 10-1-20, except that a candidate may not transfer funds to be used for a political activity other that his or her own future election campaign as permitted by the Rules of the Ethics Commission and may not transfer any surplus funds to a state or local central committee of a political party.</td>
</tr>
<tr>
<td>[3] Changes language after “only such contributions” to: “as are in conformity with the Rules of the Ethics Commission and other applicable law.” Deletes the rest of the paragraph.</td>
</tr>
</tbody>
</table>
by the Judicial Ethics Panel which relate in any way to campaign conduct and practices.

(3) The Acknowledgment Form

(C) The Acknowledgment Form shall be executed and returned by the candidate to the Administrative Director of the Courts within ten (10) days of its delivery to the candidate as shown by the Certified Mail Receipt.

(D) The Acknowledgment Form shall certify that the candidate has received, has read, and understands the requirements of the Oklahoma Code of Judicial Conduct and agrees to comply with and be bound by the Code during the course of his/her campaign for the judicial office. The Acknowledgment Form shall be in substantially the following form:

**STATEMENT OF CANDIDATE FOR JUDICIAL OFFICE**

I, __________, a candidate for judicial office in the State of Oklahoma, have received, have read, understand and agree to comply with the Oklahoma Code of Judicial Conduct during the course of my campaign for judicial office. I specifically understand that if I were to violate the terms of the Code I would be subject to discipline under the Code or under the Rules of Professional Conduct for lawyers.

____________
Date

____________________
Signature of Candidate

(E) The failure of a candidate to file the notice as required in Rule 4.6(A) or to file the Acknowledgment Form as required in Rule 4.6(C) shall constitute a Per Se Violation of Canon 4 of the Oklahoma Code of Judicial Conduct and will be a basis for discipline under the Code.

(F) Upon request, the documents executed by a candidate for judicial election in accordance with this Rule shall be made available to the Oklahoma Supreme Court, The General Counsel of the Oklahoma Bar Association, The Professional Responsibility Panel on Judicial Elections and the Council on Judicial Complaints.

ADDITIONAL INFORMATION ON CANON 4: To facilitate the enforcement of the code as it relates to judicial elections, the OK Supreme Court established a Committee on Judicial Elections: [http://www.okbar.org/public/judges/responsibility.htm](http://www.okbar.org/public/judges/responsibility.htm)