



Claimant Questionnaire

To be completed by any person or entity claiming to have authority to receive royalty payments from SoundExchange as a result of the death of another person.

Claimant Name:

Claimant Address:

Claimant Email:

Name of Decedent:

Name of Solo Featured Artist (if applicable)

Name of Group Featured Artist (if applicable)

Name of Copyright Owner (if applicable)

SoundExchange Member Number, if known:

Please attach attorney contact information if this form is being submitted by an attorney on behalf of a claimant.

You must check the box corresponding to the role which grants you authority to claim royalty payments or to direct SoundExchange to commence payment of such royalties to another as a result of the death of the decedent, and enclose all of the requested documents. Further documents may be requested.

EXECUTOR. Please check here if you are an Executor, Administrator (etc) under a court supervised probate proceeding.

Please enclose ALL of the following:

- Certified Copy of Death Certificate
- Certified Copy of Letters Testamentary
- Certified Copy of Court Order admitting the Will to probate, confirming its validity and appointing the Executor, Administrator or other authorized representative.

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- A copy of the Will paragraph that shows the gift of the royalty interest.
- Attorney declaration under penalty of perjury, or opinion of counsel, stating that the supplied copy of the Will is a true and correct copy of the Will admitted to probate, that the court has confirmed the Will to be valid and that the statute of limitations for filing a Will contest has passed.

TRUSTEE. Please check here if you are a Trustee of a trust.
Please enclose ALL of the following:

- Certified Copy of Death Certificate
- Attorney declaration signed under penalty of perjury, or opinion of counsel, stating: (i) that the trust was at the decedent's death, and continues to be, in full force and effect, and (ii) that the trustee or trustees have each duly qualified and have the authority under the trust to receive the royalty payments and/or to direct who will receive the royalty payments.
- If the trust is not currently receiving royalty payments, but is entitled to as a result of the decedent's death, your attorney declaration or opinion must also opine that the trust is entitled to receive royalty payments and/or to direct who will receive the royalty payments, and explain why, with supporting documentation (court order confirming ownership by trust, Assignment, etc.). If any document is signed by someone other than the decedent, provide documents authorizing him/her to do so (Power of Attorney, delegation, etc.) and include a statement as to the validity of these documents in the attorney declaration or opinion.

OTHER. Please check here if you are subject to any other role or circumstance entitling you to claim the royalty payments.

Examples of "other" roles or circumstances:

- (a) Estate is too small to require probate and state law allows "simple" procedure for claiming assets;
- (b) Right to royalty payment automatically passes to spouse at death under state law;
- (c) You are in possession of a court order directing royalty payments be made to you.

Please enclose ALL of the following:

- Explanation of the role or circumstance that entitles you to claim the royalty payments and/or direct who will receive the royalty payments.
- Certified Copy of Death Certificate
- Documents proving your right to claim the decedent's royalty payments and/or to direct who will receive the royalty payments.
- Attorney declaration signed under penalty of perjury, or opinion of counsel, stating that the documents provided validly establish your authority, under the laws of the state in which the decedent was domiciled at death, to claim the decedent's royalty payments and/or to direct who will receive the royalty payments.