

# CRIMINAL JUSTICE SECTION

The Unified Voice of Criminal Justice



## SECOND GLOBAL WHITE COLLAR CRIME INSTITUTE

Hosted at the Law Offices of Trench Rossi Watanabe

June 7-8, 2017

São Paulo, Brazil

*This is the official draft agenda as of May 24, 2017. Subject to change.*

### FREE WIFI IS AVAILABLE:

Network Name: Trench, Rossi e Watanabe Guest

Access Code: internet

### DAY 1 – Wednesday, June 7

8:00 – 9:00 am	<b>Registration/Check-in</b> Continental Breakfast and Networking
9:00 – 9:30 am	<b>Welcoming Remarks</b> Professor Lucian E. DERVAN*, Institute Chair, ABA Criminal Justice Section Global White Collar Crime Institute To Be Determined Representative, Diamond Sponsor, PricewaterhouseCoopers
9:30 – 10:45 am	<b>Opening Session – A Prosecutor’s View of Global White Collar Crime from Investigation to Sentencing</b> <i>This panel will bring together prosecutors from the United States, Brazil, and Argentina to discuss many of the most pressing topics in the field today. The panel will begin by analyzing how enforcement organizations engage in cross-border investigations, including the coordination of enforcement entities during multi-jurisdictional cases. The panel will also consider criminal and civil laws with extraterritorial application, international investigative techniques and coordinated evidence gathering processes, and global approaches to corporate criminal liability. The panel will also examine the most recent trends and patterns in multi-jurisdictional enforcement actions and offer conference attendees unique insights into the prosecutors' views of global white collar crime.</i> <b>MODERATOR:</b> Paul B. MURPHY, Partner at King & Spalding LLP, Atlanta and former United States Attorney and Associate Deputy Attorney General, U.S. Department of Justice <b>SPEAKERS:</b> Carla Verissimo DE CARLI, Federal Circuit Prosecutor, Porto Alegre, Rio Grande do Sul Laura ROTETA, Federal Prosecutor, Fiscal Public Ministry of Argentina, Buenos Aires Justin V. SHUR, MoloLamken LLP, Washington, DC, and former Deputy Chief, Public Integrity Section of the Criminal Division, U.S. Department of Justice
10:45 – 11:15 am	<b>Networking Coffee &amp; Tea Break</b>
11:15 – 12:30 pm	<b>Plenary Session I: Navigating Cross Border Government Investigations and Prosecutions</b> <i>This panel will examine the complex and evolving world of investigations and prosecutions by focusing on a couple of recent cases and asking the following questions. How are authorities conducting cross border investigations? What is the analysis of global settlements: is there a new trend? What are the double jeopardy considerations in such cases? And lastly, what are the key challenges faced by companies subject to cross border investigations?</i> <b>CONVENER:</b> Juliana SÁ DE MIRANDA, Partner at Campos Mello Advogados, São Paulo <b>MODERATOR:</b> Carlos Henrique da Silva AYRES*, Partner at Maeda, Ayres & Sarubbi Advogados, São Paulo <b>SPEAKERS:</b>

	Geert AALBERS, Senior Partner, Compliance, Forensics and Intelligence, Control Risks – Brazil Christopher GRIPPA, Partner, Fraud Investigation & Dispute Services, Ernst & Young LLP, Atlanta Jennifer KENNEDY PARK, Partner at Cleary Gottlieb Steen & Hamilton LLP, New York
12:30 – 1:45 pm	<b>Light Luncheon</b> Onsite at Trench Rossi Watanabe
2:00 – 3:15 pm	<b>Plenary Session II - Trends Regarding Global Anti-Corruption Enforcement</b> <i>This panel will discuss many of the recent trends arising from the enforcement of anti-corruption laws by various governments around the world. The collaboration amongst many countries has resulted in significant investigations and resolutions, including joint monitorships. Although the U.S. historically originated most of the anti-corruption cases, matters are now also arising from aggressive enforcement by other countries. The World Bank's authority to refer investigative findings to national authorities without waiving its privileges and immunities has contributed to the heightened enforcement efforts by these other governments. Compliance programs and their elements have continued to play a key role in how matters are handled by the defense and resolved by governments in varying degrees. We will examine some of these elements, including the role of whistleblowers, confidential leaks, and due diligence.</i>  <b>CONVENER:</b> Tyler W. HODGSON, Senior Investigator (Attorney), Integrity Vice-Presidency, World Bank Group, Washington, DC <b>MODERATOR:</b> Karen A. POPP, Partner and Global Co-Leader, White Collar Group, Sidley Austin LLP, Washington, DC <b>SPEAKERS:</b> Stuart ALFORD, QC, Partner at Latham & Watkins LLP, London and Former Head of the Fraud Division at the Serious Fraud Office (2012-2016) Matteson ELLIS, Member at Miller & Chevalier Chartered, Washington, DC Frank L. HOLDER, Head of Latin America, Berkeley Research Group, Miami Rafael RIBEIRO, Partner at Hogan Lovells US LLP, Miami
3:15 – 3:45 pm	<b>Coffee &amp; Tea Networking Break</b>
3:45 – 5:00 pm	<b>Plenary Session III – A View of Global White Collar Crime From the Bench</b> <i>This moderated discussion with high ranking members of the judiciary from the United States, Brazil, and Argentina offers participants the unique opportunity to hear from the decision-makers. As the judges discuss a wide array of topics related to global white collar crime, they will offer insights into the role of courts during both the investigation and prosecution of these cases. This intimate and informative session is an invaluable opportunity for anyone involved in this field to learn important information about the view from the bench.</i>  <b>MODERATOR:</b> Federal Judge Paulo Sérgio DOMINGUES, Federal Regional Court 3 <sup>rd</sup> Region, São Paulo and Former President of the National Judges Association <b>SPEAKERS:</b> Senior Judge Santiago QUIAN-ZAVALLIA, National Courts on Criminal Affairs, District of Buenos Aires, Argentina Justice Márcio Antonio ROCHA, Federal Judge, The Federal Court of Appeal of 4th Region, Porto Alegre (RS) The Honorable Mary S. SCRIVEN, U.S. District Judge for the Middle District of Florida, Tampa
5:00 – 5:30 pm	<b>Day 1 Closing Address</b> Dr. Martin CASARES, Chief of Staff for Germán Carlos Garavano, Minister of Justice and Human Rights of Argentina  <i>Introduction by Elizabeth HOWE*, OBE, LLD (Hon), President of the International Legal Assistance Consortium, Stockholm and Former Chief Crown Prosecutor England and Wales</i>
5:30 – 7:30 pm	<b>Official Institute Networking Reception</b> Onsite at Trench Rossi Watanabe

<b>DAY 2 – Thursday, June 8</b>	
8:30 – 9:00 am	<b>Registration/Check-in</b> Continental Breakfast and Networking
9:00 – 9:10 am	<b>Morning Welcome and Introduction of Keynote Speaker</b>
9:10 – 9:40 am	<b>Morning Keynote Address</b> Edson Oliveira DE ALMEIDA, Associate Prosecutor General, Federal Prosecution Service, Brasilia <i>Introduction by Elizabeth HOWE*, OBE, LL.D (Hon), President of the International Legal Assistance Consortium, Stockholm and Former Chief Crown Prosecutor England and Wales</i>
9:45 – 11:00 am	<b>Plenary Session IV - Preparing for the Globalization of Corporate Internal Investigations</b> <i>How can practitioners and compliance officers stand up to the challenge of navigating through several different legal systems when conducting global corporate internal investigations? This panel will look into the “dos and don’ts” of how to organize global investigations, the obstacles commonly faced with distinct rules and standards on privacy and other key matters such as labor relations, attorney-client privilege, whistleblowing, false allegations and reporting to local authorities.</i>  <b>CONVENER:</b> Jed E. DWYER, Shareholder, Greenberg Traurig, P.A., Miami <b>MODERATOR:</b> Raphael DE CUNTO*, Partner at Pinheiro Neto Advogados, São Paulo <b>SPEAKERS:</b> Esther M. FLESCH, Partner at Trench Rossi Watanabe, São Paulo Harry HOLDSTOCK, Director in PricewaterhouseCooper’s Forensic Services Practice, São Paulo Jessica ORTIZ, Partner at Mololamken LLP, New York Antonio J. PEREZ-MARQUES, Partner at Davis Polk & Wardwell LLP, New York
11:00 – 11:30 am	<b>Coffee &amp; Tea Networking Break</b>
11:30 am – 12:45 pm	<b>Plenary Session V - Navigating Global Compliance Trends and Global Enforcement Priorities</b> <i>The panel will discuss the latest developments in enforcement priorities and its main implications for compliance. From hedge funds to construction companies, investigations in frontier and developing markets are going beyond bribery and corruption and now routinely include matters ranging from tax evasion to antitrust and money laundering. Recent cases have shown that local crisis become global in an instant. Referencing some of the latest investigations, the panel will approach questions such as “to what degree local cooperation can restrict wide spread sharing of information volunteered with other authorities?”, “What are some of the new topics which are at the forefront of enforcers minds?”, and “As leniency agreements become the norm, should companies start devising their own ‘internal leniency programs’?”</i>  <b>CONVENER:</b> Pierpaolo Cruz BOTTINI*, Ph.D. Professor, Department of Criminal Law, Criminology and Forensic Medicine, Faculty of Law, University of São Paulo <b>MODERATOR:</b> Ana Paula MARTINEZ*, Partner with the Antitrust & Compliance Practice Groups of Levy & Salomão Advogados, São Paulo <b>SPEAKERS:</b> Graeme A. HAMILTON, National Co-Leader, Investigations and White Collar Defence Group, Borden Ladner Gervais LLP, Toronto Shin Jae KIM*, Partner in Corporate Compliance and Investigation, TozziniFreire Advogados, São Paulo Jimmy PAPPAS, Managing Director - Forensic Services at PricewaterhouseCoopers, Boston John P. ROWLEY, III, Partner at Baker & McKenzie LLP, Washington, DC
12:45 – 1:00 pm	<b>Closing Remarks by the Institute Chair</b>

1:00 – 2:30 pm

**Light Networking Reception at Trench Rossi Watanabe**

\* - Indicates Global Institute Planning Committee Member

**MCLE INFORMATION** – Please be certain to visit the CLE table and sign the appropriate forms to receive your credit. Uniform Certificates of Attendance will be handed out on Day 2. Certificates will also be emailed to all registrants on Day 2 along with a short 2 minute online (and anonymous) event survey.

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