

**Comparison of Comparable Provisions of Model Rules of Professional Conduct with  
American Law Institute Restatement of the Law Governing Lawyers**

Model Rule Provision	ALI Section
Preamble and Scope	Introduction
Scope [17]	14 (1) C a – c, e
Scope [20]	52 (2) C f
1.0 (entire R & C)	None
1.0 (b) [1]	None
1.0 (e) [6] – [7]	62 (entire R & C)
1.0 (e) [6] – [7]	121 (no Rule); C a 122 (1); C a – c, e, h
1.0 (c) [2] – [4]	123 (2), (3) C d, e
1.0 (k) [8] – [10]	123 (2) C a, d
1.1 (entire Rule) [1] – [4]	16 (2) C d
1.2 (a) [1] – [2]	22 (entire R & C)
1.2 (no Rule) [3]	21 (entire R & C)
1.2 (b) [5]	None
1.2 (c) [6] – [8]	19 (entire Rule) C a – c
1.2 (d) [9] – [13]	94 (2) C a – g
1.3 (entire R & C)	16 (2) C d
1.4 (entire R & C)	20 (entire R & C)
1.5 (a) [1], [3]	34 (entire R & C)
1.5 (b) [2], [4] – [5]	38 (entire R & C)
1.5 (c), (d) [3], [6]	35 (entire R & C)

1.5 (e) [7] – [8]	47 (entire R & C)
1.5 (no Rule) [9]	42 (entire R & C)
1.6 (a) [3]	59 (entire R & C)
1.6 (a) [1], [4], [16] – [18]	60 (1) C a – e, k
1.6 (a) [2]	62 (entire R & C)
1.6 (a) [5]	60 (no Rule); C (c(ii), f) 61 (entire R & C)
1.6(b) no comments	23 (entire R & C)
1.6 (b)(6) [12] – [13]	63 (entire R & C)
1.6 (b)(1) [6], [14] – [15]	66 (entire R & C)
1.6 (b)(2) – (3) [7] – [8]	67 (entire R & C)
1.6 (no Rule) [3] – [5]	60 (2) C j – h
1.6 (b)(4) [9]	none
1.6 (b)(5) [10]	64 (entire R & C) 83 (2); C a, b, d, e
1.6 (b)(5) [11]	65 (entire R & C) 83 (1); C a – c
1.7 (a) [26] – [33]	130 (entire R & C)
1.7 (a) [1] – [3], [8]	121 (entire R & C) C entire, except e
1.7 (a)(1) [6] – [7]	128 (2) C e
1.7 (a)(2) [10]	125 (entire Rule) C a – c, g
1.7 (a)(2) & (b) (1), (3), (4) [23]	128 (1) C a – d
1.7 (a)(2) [23]	129 (entire R & C)
1.7 (a)(2) [13]	134 (entire Rule) C a – e, g
1.7 (a)(2) & (b)(4) no comments	132 (entire Rule) C entire, except c
1.7 (b)(1) – (3) [14] – [17], [28] – [29]	122 (2) C g

1.7 (b)(4) [18] – [19]	122 (1); C a – c, e, h 121 (no Rule); C a
1.7 (b)(4) [20]	none
1.7 (b)(4) [22]	122 (1) C d
1.7 (no Rule) [4] – [5], [29]	121 (no Rule) C e
1.7 (no Rule) [5]	132 (no Rule) C c, i, j
1.7 (no Rule) [9]	135 (entire Rule) C a, b, c, f
1.7 (no Rule) [9], [35]	135 (entire Rule) C a, b, d
1.7 (no Rule) [10]	125 (no Rule) C d
1.7 (no Rule) [11]	123 (no Rule) C g
1.7 (no Rule) [12]	16 (no Rule) C e
1.7 (no Rule) [21]	122 (no Rule) C f
1.7 (no Rule) [24]	128 (no Rule) C f
1.7 (no Rule) [25]	128 (no Rule) C d(iii)
1.7 (no Rule) [30]	75 (entire R & C)
1.7 (no Rule) [31]	60 (no Rule) C l
1.7 (no Rule) [34]	131 (entire R & C)
1.8 (a) [1] – [4]	126 (entire R & C)
1.8 (b) [5]	60 (2) C j
1.8 (c) [6] – [8]	127 (entire R & C)
1.8 (d) [9]	36 (3) C a, d
1.8 (e) [10]	36 (2) C a, c
1.8 (f) [11] – [12]	134 (entire Rule) C a – e, g
1.8 (g) [13]	128 (no Rule) C d

1.8 (h) [14] – [15]	54 (2), (3) C a – c
1.8 (i) [16]	36 (1) C a – b
1.8 (i)(1) [16]	43 (entire R & C)
1.8 (j) [17] – [19]	16 (no Rule) C e
1.8 (k) [20]	123 (1) C a – c, h
1.8 (no Rule) [13]	128 (no Rule) C d(iii)
1.9 (a), (c) [1] – [4]	132 (entire Rule) C entire, except c
1.9 (b) [4] – [6]	124 (1) C a – c
1.10 (a) [4]	123 (no Rule) C f
1.10 (a), (c), (d) [2] – [3], [6] – [8]	123 (1) C a – c, h
1.10 (b) [5]	124 (1) C a – c
1.10 (no Rule) [1]	123 (2), (3) C d, e
1.11 (entire R & C)	133 (entire R & C) 124 (3); C a, d, e
1.12 (entire R & C)	none
1.13 (a) – (e) [1] – [8]	96 (entire R & C)
1.13 (a), (d) [1], [10] – [14]	131 (entire R & C)
1.13 (f) – (g) [6] – [9]	14 (1) C f
1.13 (no Rule) [6]	97 (1), (2), (4) C entire
1.14 (entire R & C)	14 (no Rule); C d 24 (entire R & C)
1.15 (a) – (c) [1] – [2], [5] – [6]	44 (1) C a – f
1.15 (a) no comments	46 (1) C a, b
1.15 (d) no comments	45 (1), (2)(a) – (b) C a – c
1.15 (d) no comments	46 (2), (4) C c – e
1.15 (e)	44 (2); C g – h

[3] – [4]	45 (2)(c) – (e); C d – f
1.16 (a)(3), (c) [4] – [6]	32 (1), (2)(c), (5) C a – b
1.16 (a)(1) – (2), (c) [2] – [3]	32 (2), (5) C e – g
1.16 (b) – (c) [1], [7] – [8]	32 (3) – (5) C a, c – f, h – n
1.16(b)(2) & (4) no comments	23 (entire R & C)
1.16 (d) [9]	33 (entire R & C)
1.16 (d) no comments	45 (1), (2)(a) – (b) C a – c
1.17 (entire R & C)	none
1.18 (entire R & C)	15 (entire R & C)
2.1 (entire R & C)	94 (3) C h
2.3 (entire R & C)	95 (entire R & C)
2.4 (entire R & C)	none
3.1 (entire R & C)	110(a), (2) C a – d, f – g
3.2 (entire R & C)	106 (entire Rule) C e
3.3 (a)(1) [1] – [3]	111 (1); C a – b 120 (1)(b); C f
3.3 (a)(2) [4]	111 (2) C a, c – e
3.3 (a)(3) [1], [5] – [9]	120 (1), (3) C a – e, g, i, j – l
3.3(a)(3) no comments	23 (entire R & C)
3.3 (a)(3), (c) [1], [10], [11], [13], [15]	120 (2) C a – c, g, h, i, l
3.3 (b) [12]	none
3.3 (d) [14]	112 (entire R & C)
3.4 (b) [3]	117 (entire R & C)
3.4 (c) no comments	105 (entire R & C)
3.4 (d) no comments	110 (3) C e
3.4 (e) no comments	107 (entire R & C)

3.4 (a), (f) [1], [2], [4]	116 (2) – (4); C c – f 118 (entire R & C)
3.4 (no Rule) [2]	119 (entire R & C)
3.5 (a), (b) [1] – [2]	113 (entire R & C) 115 (1), (2); C a – c, e, f
3.5 (c) [15]	115 (3) C d
3.5 (d) [4] – [5]	none
3.6 (entire R & C)	109 (entire R & C)
3.7 (entire R & C)	108 (entire R & C)
3.8 (entire R & C)	97 (3) C h
3.8 (f) [5] – [6]	109 (entire R & C)
3.9 (entire R & C)	104 (entire R & C)
4.1 (a) [1] – [2]	98 (entire (R & C))
4.1 (b) [3]	98 (entire Rule) C a, c – e
4.2 (entire Rule) C entire, except [7]	99 (1)(b) – (1)(e), (2) C entire
4.2 (entire R) [7]	99 (1); no comment 100 (entire R & C)
4.2 (no Rule) [5]	99 (1)(a), (c); no comment, 101 (entire R & C)
4.3 (entire R & C)	103 (entire R & C)
4.4 (a) [1]	106 (entire Rule) C a, c, d
4.4 (a) [1]	106 (entire Rule) C a, b
4.4 (b) [2] – [3]	60 (no Rule) C m
5.1 (entire R & C)	11 (1) – (3) C a – e, g
5.2 (entire R & C)	12 (entire R & C)
5.3 (entire R & C)	11 (4) C f – g
5.4 (a) (1) – (3) no comments	10 (3) C a – e, h
5.4 (a)(4) no comments	10 (no Rule) C f
5.4 (b), (d) [1] – [2]	10 (1), (2) C a – c, f, h

5.4 (c) [1] – [2]	134 (entire R) C a – e, g
5.5 [2]	4 (no Rule) C entire, except f & g
5.5 (a) [1], [3]	4 (entire Rule) C f & g
5.5 (a), (b), (c) [1], [4] – [14], [19] – [21]	3 (entire Rule) C a – d
5.5 (d)(1) [15] – [17], [19]	3 (no Rule) C f
5.5 (d)(2) [18] – [21]	3 (no Rule) C g
5.6 (a) [1], [3]	9 (3); C a, i 13 (1); C a, b
5.6 (b) [2]	13 (2) C a, c
5.7 (entire R & C)	10 (no Rule) C g
6.1 (entire R & C)	none
6.2 (entire R & C)	14 (2) C g
6.3 (entire R & C)	135 (no Rule) C e
6.4 (entire R & C)	135 (no Rule) C e
6.5 (entire R & C)	none
7.1 (entire R & C)	none
7.2 (a), (b)(1), (c) [1] – [5]	none
7.2 (b)(2) [6] – [7]	10 (no Rule) C f
7.2 (b)(3) no comment	none
7.2 (b)(4) [8]	none
7.3 (entire R & C)	none
7.4 (entire R & C)	none
7.5 (entire R & C)	none
7.6 (entire R & C)	none
8.1 (entire Rule) C entire, except reference to disciplinary matters	2 (entire R & C)
8.1 (entire Rule) C entire, except reference to bar admissions	1 (entire Rule) C a – d
8.2 (a)	114 (entire R & C)

[1], [3]	
8.2 (b) [2]	none
8.3 (entire R & C)	5 (3) C i
8.4 (a) – (d) [1] – [5]	5 (1) & (2) C a – g
8.4 (e) – (f) none	none
8.5 (a) [1]	5 (no Rule) C h
8.5 (b) [2] – [7]	1 (no Rule); C e 5 (no Rule); C h