
About the Editor

John M. Geiringer

As the Regulatory Section Leader of the Financial Institutions Group at Barack Ferrazzano Kirschbaum & Nagelberg LLP law firm in Chicago, John concentrates his practice on regulatory, governance, and investigative matters involving financial institutions. He is a frequent speaker and author in the financial institutions area on issues surrounding banking regulations, examinations, and enforcement actions, as well as on national security issues.

John is active in the financial industry and has served as a leader at various organizations involved with financial services law, including as a Vice-Chair of the American Bar Association's Banking Law Committee. Working at the forefront of banking law and regulation, John is a thought leader in the field, primarily through teaching, writing, and frequent media interviews. Some of these contributions include being the editor of *Banking Law Essentials* and a contributor to *Keys to Banking Law: A Handbook for Lawyers*.

John also devotes significant time to anti-money laundering and related national security issues. In this regard, he lectures and advises institutions around the country, engages with relevant organizations, and has published on the subject. He serves as the founding Co-Director of the Center for National Security and Human Rights Law (and its Consortium for the Research and Study of Holocaust and the Law) at Chicago-Kent College of Law, where he teaches courses in banking, national security, and the Holocaust. He is the co-editor of a forthcoming treatise on legal issues surrounding the Holocaust.

Prior to joining his firm in 1999, John worked as a bank regulator and also as a compliance consultant. He served as legal counsel for the Illinois bank regulatory agency, now the Illinois Department of Financial and Professional Regulation. John also obtained practical experience with respect to bank operations and compliance issues as a regulatory consultant with a regional accounting firm, performing compliance reviews and training for a variety of financial institutions.

About the Contributors

Katherine Bauer is the Blumenstein-Katz Family fellow at The Washington Institute for Near East Policy and a former U.S. Treasury official who served as the department's financial attaché in Jerusalem and the Gulf. She is also an adjunct associate professor in the Security Studies Program at Georgetown University's Edmund A Walsh School of Foreign Service. Before leaving Treasury in late 2015, Bauer served as senior policy advisor for Iran in the Office of Terrorist Financing and Financial Crimes (TFFC). During the two previous years, she served as financial attaché for the Gulf, representing the department in Bahrain, Kuwait, Oman, Qatar, and the United Arab Emirates. Her other roles at Treasury included assistant director of TFFC; financial attaché in Jerusalem, with responsibility for policy, technical assistance, and sanctions matters in the West Bank and Gaza; and senior analyst focused on illicit financial networks. Prior to working at the Treasury, Bauer was a nonproliferation graduate fellow at the Department of Energy's National Nuclear Security Administration. A graduate of Macalester College, she received her master's degree in Middle East studies and international economics from the Paul H. Nitze School of Advanced International Studies at Johns Hopkins University.

James Dever is a Principal at Lockhaven Solutions, a cybersecurity firm specializing in transforming cyber cultures through education. He was a Professor of Cyber Warfare for the US Air Force. He taught Cyber Law, Intelligence Law, National Security Law, Privacy Law, and Space Law at the Air War College (AWC), Air Force Cyber College (AFCC), Air Force Judge Advocate General's School (JAG School), Air Command and Staff College (ACSC), and Air Force Research Lab Information Directorate (AFRL). In partnership with Air Force Cyber College and National Security Agency (NSA) Cryptologic School colleagues, he designed new graduate degree programs in Cyber Strategy for senior military officers and Department of Defense (DoD) civilians. He has provided cyber education to many foreign countries. He served as a US Army Judge Advocate. He was the Cyber Law Judge Advocate at Army Cyber Command (ARCYBER) where he provided real-time legal advice on worldwide cyber offensive, cyber defensive, and DoD information network missions. He was Chair of the Law Department at the US Army Intelligence School and taught Cyber Law, Intelligence Law, and National Security Law to soldiers and DoD military personnel and civilians. He taught Advanced Source Operations at the HUMINT Training Joint Center of Excellence (HT-JCOE), served as a Cyber Law Judge Advocate at the US Army Network Enterprise Technology Command (NETCOM), and was a Cyber Law liaison to the US Army Intelligence and Security Command (INSCOM). Prior to the Army, he was a Deloitte Cyber Risk Services consultant where he helped create the Trusted Identities in Cyberspace and Privacy Engineering programs and facilitated cybersecurity risk management for Fortune 500 companies. He has published multiple peer-reviewed law articles and book chapters on Cyber Law, Privacy Law, and National Security Law. He has taught extensively at civilian universities and law schools. He is Advisory Director at the Center for National Security and Human Rights Law in Chicago and Editorial Board Member at the *Journal of Law and Cyber Warfare*.

Barry Jonas is an Assistant United States Attorney in the Northern District of Illinois where he is the Senior Counsel to the National Security and Cyberscrime section. Prior to joining the United States Attorney's Office, Barry was with the Department of Justice in Washington, D.C. He joined "Main Justice" in 1991 and spent his first ten years with the Criminal Section of the Tax Division, prosecuting tax, fraud and money laundering cases. Barry moved to the Counterterrorism Section of the National Security Division shortly after 9/11, where he was until 2010 when he joined the US Attorney's Office in Chicago. Barry got his undergrad degree from the Statue University of New York at Albany and his J.D. from St. John's University in New York. He is also a huge Yankees fan.

Stephen J. Landes is of counsel at Locke Lord LLP. He is well known for his work on cutting edge cases; for example, for many years he represented defendants in novel land claims brought by Native Americans throughout the United States. More recently, Steve was lead trial counsel in the first case brought on behalf of a U.S. citizen under the Anti-Terrorism Act against funders of international terrorism, resulting in a jury verdict of \$156 million for Steve's clients. He represented a major UK company on a variety of issues having to do with acquisitions and divestitures. Steve has counseled a broad range of companies on antitrust compliance and trade regulation issues. He also was national counsel to a major industrial concern for all asbestos-related litigation. Within the Firm, Steve is a "lawyer's lawyer," advising the Firm's own attorneys and lawyers outside the Firm on complicated ethical and professional issues.

Dr. Matthew Levitt is the Fromer-Wexler Fellow at The Washington Institute for Near East Policy where he directs the Institute's Reinhard Program on Counterterrorism and Intelligence. Previously, Levitt served as Deputy Assistant Secretary for Intelligence and Analysis at the U.S. Department of the Treasury and before that as an FBI counterterrorism analyst, including work on the Millennial and September 11th plots. He also served as a State Department counterterrorism advisor to Gen James L. Jones, the special envoy for Middle East regional security. Levitt teaches at Georgetown University's School of Foreign Service and the Center for Jewish Civilization and has previously taught at Johns Hopkins University's Paul H. Nitze School of Advanced International Studies. He is a member of the Council on Foreign Relations and sits on the advisory boards of several think tanks around the world. Widely published, Levitt's most recent book is *Hezbollah: The Global Footprint of Lebanon's Party of God* (2013) and his latest monograph is *Rethinking U.S. Efforts on Counterterrorism: Toward a Sustainable Plan Two Decades After 9/11* (2021).

Tal Machnes is a senior associate at Arnold & Porter Kaye Scholer LLP, where her practice focuses on international trade sanctions and export controls, as well as white collar defense and investigations. She is a graduate of the George Washington University Law School.

Saverio Mirarchi is a Distinguished Lecturer in the Master of Arts in Financial Integrity program at Case Western Reserve University School of Law. Before that, Saverio worked for seven years as a consultant with Protiviti LLC, Trelant LLC, and the UN Counterterrorism Implementation Task Force. At the UN, he developed a capacity building program for Member States to implement Security Council Resolutions on designation of terrorists and freezing terrorist assets. Previously, Saverio was a litigation associate for eight years

with New York City law firms; served six years as in-house bank counsel; and worked for twenty years in senior Compliance Officer positions, including Chief Compliance Officer for three global banks, American Express Bank, The Bank of New York, and Northern Trust. Throughout his career, Saverio has interacted extensively with regulators, law enforcement, and other government officials, and has conducted business in over 30 countries.

William G. Primps is a partner in the New York office of Locke Lord LLP and has over 40 years of experience litigating cases for large international businesses across the United States and the world. He counsels his clients on compliance with U.S. law, while also defending these companies in lawsuits and arbitrations that rank among the largest controversies in recent decades, including claims made by Brazil's largest health insurer in cases pending in various U.S. courts, as well as a recent claim in the Southern District of New York for hundreds of millions of dollars for violations of the 1990 Antiterrorism Act by a large China-based bank. Through his work for the North American CRO Council, he has advised a number of large insurers based in Canada, Bermuda and the U.S. on various matters relating to enterprise risk management, and recently has been retained by large U.S. insurers and manufacturers to counsel them on ERM matters including: cyber risk; cybersecurity; cyber insurance; and their presentation of ERM plans to both regulators and rating agencies.

Michael Roig is a law clerk for the Honorable Helen Gillmor of the United States District Court for the District of Hawaii. He previously worked as an associate in the New York office of Arnold & Porter Kaye Scholer LLP. He is a graduate of Harvard Law School.

Elizabeth J. Shapiro is a Deputy Director in the Civil Division of the United States Department of Justice, and served for a number of years as a Special Assistant United States Attorney in the Northern District of Texas. Her practice currently focuses on government information, including the protection of national security information. She is a graduate of the Georgetown University Law Center and the University of Michigan.

Joseph Silvia works with clients who seek out his guidance on a variety of matters including mergers & acquisitions, strategic transactions, governance, international banking, payments systems, anti-money laundering and sanctions, and private equity and venture capital investments. He advises financial institutions, fintech companies, and corporations regarding risk and compliance, third party vendor management, consumer protection, digital currencies, affiliate transactions, privacy, and retail and commercial banking, including handling significant drafting and negotiation of vendor agreements and agreements between financial institutions and their customers and members. Joseph handles matters for his clients concerning banking and financial services regulation, including state and federal regulation with respect to licensing, retail banking, consumer credit, cannabis, anti-money laundering and OFAC compliance, and more. Having previously served as counsel to the Federal Reserve Bank of Chicago, where he focused on the supervision and regulation of banks, bank holding companies, and savings and loan holding companies as well as consumer finance and compliance matters, Joseph has a unique perspective on all aspects of the banking system. Joseph is an adjunct professor at Chicago-Kent College of Law, where he teaches a course on Consumer Banking Law.

Baruch Weiss is a partner at the law firm of Arnold & Porter, focusing on white collar, national security, sanctions, and complex civil litigation. Before his career in the private sector, Mr. Weiss worked at the Department of Homeland Security, serving as Acting Deputy General Counsel and as Associate General Counsel; at the Department of the Treasury, serving as Assistant General Counsel with the responsibility of overseeing the lawyers at the Office of Foreign Assets Control (OFAC); and at the United States Attorney's Office in the Southern District of New York, where he served for eighteen years in various capacities, including Senior Trial Counsel, Deputy Chief Appellate Attorney, Acting Chief of Major Crimes, and Chief of General Crimes.

W. Allen Woolley is of counsel at Locke Lord LLP. Over the course of his career, Allen has handled a wide variety of complex commercial, bankruptcy, environmental employment, and product liability matters in both state and federal courts and has been recognized as a "Leading Lawyer" in the areas of Commercial Litigation, Class Action/Mass Tort Defense Law, Toxic Torts Defense Law, and Products Liability Defense Law. He has tried cases in both state and federal courts and has acted as lead counsel on litigation matters, appeals and arbitrations. As a commercial litigator, Allen has represented and counseled companies and individuals in contract, fiduciary duty, corporate governance, fraud and securities fraud, trade secret, and non-compete cases. In the environmental area, Allen has defended major class action and mass tort lawsuits involving air and groundwater contamination, industrial accidents, and radioactive materials. Allen has also counseled and defended clients in products liability matters and employment cases, including high-profile EEOC and private class actions, wage and hour actions, and challenges to withdrawal liability assessments by multi-employer pension plans.