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# About the Editors

**Alan S. Gutterman** is the Founding Director of the Sustainable Entrepreneurship Project ([www.seproject.org](http://www.seproject.org)). In addition, Alan's prolific output of practical guidance and tools for legal and financial professionals, managers, entrepreneurs and investors has made him one of the best-selling individual authors in the global legal publishing marketplace. His cornerstone work, *Business Transactions Solution*, is an online-only product available and featured on Thomson Reuters' Westlaw, the world's largest legal content platform, which includes almost 200 book-length modules covering the entire lifecycle of a business. Alan has also authored or edited over 80 books on sustainable entrepreneurship, management, business law and transactions, international law business and technology management for a number of publishers including Thomson Reuters, Practical Law, Kluwer, Aspatore, Oxford, Quorum, ABA Press, Aspen, Sweet & Maxwell, Euromoney, Business Expert Press, Harvard Business Publishing, CCH and BNA.

Alan has over three decades of experience as a partner and senior counsel with internationally recognized law firms counseling small and large business enterprises in the areas of general corporate and securities matters, venture capital, mergers and acquisitions, international law and transactions, strategic business alliances, technology transfers and intellectual property, and has also held senior management positions with several technology-based businesses including service as the chief legal officer of a leading international distributor of IT products headquartered in Silicon Valley and as the chief operating officer of an emerging broadband media company. He has been an adjunct faculty member at several colleges and universities, including Boalt Hall, Golden Gate University, Hastings College of Law, Santa Clara University and the University of San Francisco, teaching classes on a diverse range of topics including corporate finance, venture capital, corporate law, Japanese business law and law and economic development. He received his A.B., M.B.A., and J.D. from the University of California at Berkeley, a D.B.A. from Golden Gate University, and a Ph. D. from the University of Cambridge.

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**Margaret M. Cassidy** is the principal and founder of Cassidy Law. She counsels businesses on legal and ethics matters when dealing with foreign, federal, state, and local governments in the areas of corruption, FCPA, fraud, international trade, the False Claims Act, government ethics, procurement, and national security. Prior to launching Cassidy Law, Margaret held positions as PricewaterhouseCoopers' Government Ethics & Compliance Leader, GE Transportation's Global Ethics & Compliance Counsel, and as a state court prosecutor. Margaret currently chairs the ABA Business Law Section Corporate Compliance Committee.

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**Ashley C. Walter** is a business lawyer who specializes in advising technology and life sciences companies regarding strategy and compliance with respect to corporate social responsibility-related legal requirements. Ashley's practice also includes representing clients before government agencies in connection with competition and national security filings, and he has experience advising on a wide variety of corporate matters including formations, intellectual property and employment matters, venture capital financings, and mergers and acquisitions.

As Chair of the CSR Governance & Compliance Practice Group of Fenwick & West, Ashley provides value to clients by bringing to bear a firm grounding in corporate social responsibility (CSR) issues, sector-specific knowledge, a deep familiarity with current and proposed CSR-related legal requirements, a practical understanding of technology company supply chains, and significant experience in the design and implementation of responsibility management systems, such as CSR policies and standards.

Ashley is a co-founder and a former Chair of the Corporate Social Responsibility Law Committee of the ABA Business Law Section and has published and lectured on CSR, including at Stanford Law School, where he has taught the course "Corporate Social Responsibility" and has served as a panelist for the Stanford Directors' College.

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# About the Authors

**Fernanda Beraldi (Chapter 6: Labor and Supply Chain Practices)** works for Cummins Inc. in Indianapolis as Senior Director, Ethics and Compliance. Fernanda has fifteen years of legal experience and started at Cummins in 2015 after having worked for more than six years for Embraer SA. She is dual-licensed as an attorney in Brazil and Indiana. Fernanda graduated from Mackenzie University in São Paulo, Brazil, and completed a Master of Laws program in Corporate and Commercial Law at Robert H. McKinney School of Law in Indianapolis (cum laude). Fernanda’s past work experience exposed her to a broad array of foreign countries, a variety of cultures, and a number of compliance related areas of practice such as export control, anti-bribery regulations and enforcement, interacting with government officials and state-owned entities, conflicts of interest, creating worldwide sales agents policies, and developing content and providing global and/or customized training to employees and third parties on these important matters. Specific subject matters also include corporate compliance program structure, risk assessment, strategy, and internal investigations. Currently, she has direct accountability for Cummins corruption prevention, third-party compliance program, anti-money laundering and antislavery programs, and implementation of compliance program globally. She has worked on deal teams conducting due diligence reviews, developing global compliance strategy, and negotiating compliance terms and policies, and compliance integration for newly closed deals. In 2019, Fernanda was one of the recipients of the Top 10 “30-somethings” by the Association of Corporate Counsel, the first Brazilian to receive such honor.

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**Emily C. Brown (Chapter 6: Labor and Supply Chain Practices)** is an associate at Green and Spiegel LLC in Philadelphia, PA. She earned her J.D. and M.A. at American University and is barred in the District of Columbia. Focusing her practice on immigration compliance, she advises companies on proper onboarding and Form I-9 procedures and supports companies during government investigations.

Emily is developing resources for the International Supply Chain Initiative to advise companies on how to identify and remediate the risk of forced labor in the global supply chain. She has been a speaker on human trafficking and works to raise awareness of how to eliminate forced labor. Emily also volunteers in her community, as a sexual assault victim advocate in South Jersey. During law school, Emily worked as a law clerk for U.S. Immigration and Customs Enforcement in the Human Rights Law Section, strengthening protections and legal remedies for victims of human trafficking. During her graduate program, she prepared a Human Rights Impact Assessment for the American Bar Association Center for Human Rights, which analyzed international trade agreements' effect on labor laws in Asia and how to protect workers' rights. Emily has studied human rights, migration, and international affairs in the Middle East and Europe.

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**Radha Curpen (Chapter 9: Corporate Social Responsibility and Indigenous Rights)** has over 25 years of experience, often in high-profile matters in North America, including provinces across Canada and in the United States. She specializes in environmental, Aboriginal and regulatory matters, and the transportation of dangerous goods. Radha provides strategic counsel to clients on regulatory compliance, the management of environmental risks, the defense of environmental litigation, the avoidance and defense of environmental related prosecutions, crisis prevention and management, mitigating business disruption, and reputation management.

Within her Aboriginal practice, Radha advises on comprehensive and specific land and property compensation claims; the duty to consult, treaty claims and interpretation; Aboriginal self-government; the fiduciary relationship between governments and Aboriginal peoples; claims to renewable and non-renewable natural resources; hunting, fishing, and trapping rights; government relations; economic development; and various public policy issues.

Radha has been repeatedly recognized as one of Canada's top lawyers in Aboriginal law, energy law and environmental law, as well as a leading environmental lawyer in the United States. She practices in both English and French.

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Ms. Mac Cormac has been recognized as *California Lawyer* Attorney of the Year in both 2012 and 2016 and was named the Most Innovative Lawyer in North America in 2015 by the *Financial Times*. Ms. Mac Cormac was a founding board member of the Sustainability Accounting Standards Board, is a member of the board of directors of Business for Social Responsibility, the Ceres President's Council, and the Earth Genome Project, and is an advising board member of the Committee Encouraging Corporate Philanthropy's Strategic Investor Initiative. She has joined the faculty at both the Stanford Director's College and the Northwestern Corporate Counsel Institute and is an adjunct professor at UC Berkeley School of Law.

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Dan has also worked in the New York offices of two large international law firms and clerked for the Honorable Joan A. Lenard, U.S. District Court Judge in the Southern District of Florida. Additionally, he teaches legal research and writing at the George Washington University Law School.

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Before joining Bennett Jones, Sharon was with Rio Tinto for 10 years, based in Australia and Canada, holding senior positions across many fields including commercial, legal, regulatory, government, and community relations. She has built a reputation for her ability to progress issues through creating trusting relationships between industry groups, associations, governments, Indigenous and local communities, and the not-for-profit sector.

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