Contents

ABA Model Rules, Codes and Guidelines

ABA Model Rules of Professional Conduct ................................................................. 1
Appendix A: Subject Guide ...................................................................................... 173
Appendix B: Correlation Tables ............................................................................ 193
Appendix C: ABA Standing Committee on Ethics and Professional Responsibility: Composition and Jurisdiction ......................................................... 208
Appendix D: ABA Special Committee on Implementation of the Model Rules ................................................................. 211
Appendix E: Amendments to the Model Rules
  By Rule ............................................................................................................. 212
  By Date ............................................................................................................ 216

ABA Model Code of Professional Responsibility ..................................................... 219
Index ...................................................................................................................... 323

ABA Model Code of Judicial Conduct ................................................................... 339
Appendix A: Correlation Tables ............................................................................ 397
Appendix B: Judicial Ethics Committee .................................................................. 411
Index ...................................................................................................................... 412

ABA Canons of Professional Ethics ........................................................................ 421

ABA Standards for Imposing Lawyer Sanctions ..................................................... 437
Appendix 1: Correlation Table (Rules to Standards) ............................................. 465
Appendix 2: Correlation Table (Code to Standards) ............................................. 468

ABA Model Rules Related to Multijurisdictional Practice ..................................... 471
Model Rule on Practice Pending Admission ......................................................... 473
Model Rule on Pro Hac Vice Admission ................................................................. 477
Model Rule on Admission by Motion .................................................................... 487
Model Rule for Temporary Practice by Foreign Lawyers ................................. 491
Model Rule for the Licensing and Practice of Foreign Legal Consultants .......... 493
Model Court Rule on Provision of Legal Services Following Determination of Major Disaster ......................................................... 499
Model Rule for Registration of In-House Counsel .............................................. 505
ABA Model Rules for Client Protection (Selected) ......................... 511
  Model Rules for Trust Account Overdraft Notification .................. 513
  Model Rules for Client Trust Account Records ........................... 521
  Model Court Rule on Insurance Disclosure .................................. 527

ABA Professionalism Codes and Creeds ......................................... 531
  Young Lawyers Division ............................................................. 533
  Section of Tort, Trial and Insurance Practice ............................... 534
  Standing Committee on Professionalism ....................................... 537

Federal Rules and Standards (Selected) ........................................ 539

SEC—Part 205—Standards of Professional Conduct for Attorneys Appearing and Practicing Before the Commission in the Representation of an Issuer ................................................................. 541

IRS—Circular No. 230—Regulations Governing Practice before the Internal Revenue Service .......................................................... 551

Selected Federal Rules of Civil Procedure ..................................... 573
  Rule 11 .................................................................................. 573
  Rule 26 .................................................................................. 575
  Rule 37 .................................................................................. 585

Federal Rules of Evidence ............................................................... 591
  Rule 502 ................................................................................ 591

ABA Formal Ethics Opinions (Selected) ........................................ 599

Formal Opinion 93-379: Billing for Professional Fees, Disbursements and Other Expenses ................................................ 601

Formal Opinion 95-390: Conflicts of Interest in the Corporate Family Context .............................................................. 609
Formal Opinion 96-402:  
Propriety of Payments to Occurrence Witnesses ........................................ 633

Formal Opinion 99-414:  
Ethical Obligations When a Lawyer Changes Firms .................................. 635

Formal Opinion 09-455:  
Disclosure of Conflicts Information  
When Lawyers Move Between Law Firms .................................................. 644

Formal Opinion 10-457:  
Lawyer Websites ...................................................................................... 653

Formal Opinion 466:  
Lawyer Reviewing Jurors’ Internet Presence .............................................. 664

Formal Opinion 471:  
Ethical Obligations of Lawyer to Surrender Papers and  
Property to which Former Client is Entitled ............................................. 673

Formal Opinion 474:  
Referral Fees and Conflict of Interest ........................................................ 681

Formal Opinion 477R:  
Securing Communication of Protected Client Information .......................... 688

Formal Opinion 478  
Independent Factual Research by Judges Via the Internet ......................... 700

Formal Opinion 479  
The “Generally Known” Exception to Former Client Confidentiality .......... 710

Formal Opinion 480  
Confidentiality Obligations for Lawyer Blogging and  
Other Public Commentary ........................................................................ 716

Formal Opinion 481  
A Lawyer’s Duty to Inform a Current or Former Client  
of the Lawyer’s Material Error ............................................................... 723