CONTENTS

OVERVIEW
1. An Introduction to Article 9. ................................................. 3
   Steven O. Weise
2. A Summary of the Provisions of Article 9 of the Uniform
   Commercial Code. .................................................. 27
   Edwin E. Smith

CHARTS
1. Comparison of the Treatment of Leases and Security
   Interests................................................................. 85
   Stephen L. Sepinuck
2. Article 9’s Anti-Assignment Rules. ................................. 91
   Stephen L. Sepinuck
3. Perfection by Collateral Type. ....................................... 97
   Stephen L. Sepinuck
4. Where to File a Financing Statement................................. 101
   Stephen L. Sepinuck
5. Classification of Foreign Filing Systems.............................. 107
   Arnold S. Rosenberg
6. Summary of International Instruments Relating to
   Secured Transactions.............................................. 135
   Neil B. Cohen & Steven O. Weise
7. Filing Against Trusts & Trustees.................................... 139
   Norman M. Powell
8. Effect of Errors in a Financing Statement.......................... 145
   Stephen L. Sepinuck
   Stephen L. Sepinuck
10. Effect of Post-Filing Changes on the Effectiveness of a
    Filed Financing Statement......................................... 151
    Stephen L. Sepinuck
11. Illustration of the § 9-611 Safe Harbor.......................... 155
    Sandra M. Rocks
12. Searching for Federal Tax Liens on Personal Property......... 157
    Stephen L. Sepinuck
CONTENTS

CODE & COMMENTS
1. Article 1 (2001). .................................................... 171
2. Article 9 (2010). .................................................... 217
3. Selected PEB Commentaries & Reports
   PEB Commentary No. 2 (priority in non-advances). .......... 655
   PEB Commentary No. 6 (shelter principle). ................. 659
   PEB Commentary No. 8 (when chattel paper is merely proceeds of inventory). ......................... 663
   PEB Commentary No. 10 (good faith) ................. 671
   Draft PEB Commentary No. xx (application of §§ 9-406 & 9-408 to security interests in unincorporated entities). ... 681
   Draft PEB Commentary No. xx (limited liability partnerships). ............................................. 711
   Draft PEB Commentary No. xx (Highland Capital) .... 717
   PEB Report on Effect of Non-Uniform Scope Provisions in Revised Article 9 of the UCC................. 727
   PEB Report on the Application of the Uniform Commercial Code to Selected Issues Relating to Mortgage Notes................................. 739
4. Index to Article 9.................................................. 763

Stephen L. Sepinuck

ABOUT THE AUTHORS............................................... 795