

AMERICAN BAR ASSOCIATION  
STANDING COMMITTEE ON CLIENT PROTECTION

**Jurisdictions with Random Audit Programs**

1.       **Arizona**           Arizona Supreme Court Rule 43.  
<http://www.myazbar.org/LawyerRegulation/Rule43.pdf>
  
2.       **Connecticut**       Connecticut Practice Book: Rule 2-27(e) (Effective July 1, 2007)  
[http://www.jud.ct.gov/sgc/SGC\\_Rule13\\_0407.pdf](http://www.jud.ct.gov/sgc/SGC_Rule13_0407.pdf)  
[http://www.jud.ct.gov/Publications/PracticeBook/PB1\\_2009.pdf#page=114](http://www.jud.ct.gov/Publications/PracticeBook/PB1_2009.pdf#page=114)
  
3.       **Delaware**           Supreme Court Rule 66(c)(10), Lawyer’s Fund for Client Protection and Delaware Lawyers’ Rules of Professional Conduct, Rule 1.15(e). (Effective July 1, 2003).  
<http://courts.state.de.us/lfc/rules/rule66.pdf>  
<http://courts.state.de.us/lfc/rules/rule1.15.pdf>
  
4.       **Hawaii**            Rules of the Supreme Court of Hawaii, Rule 2.24(b), Audit of Trust Accounts; Rules of Professional Conduct Rule 1.15(h) RSCH 2.24  
<http://www.state.hi.us/jud/ctrules/rgta.htm>  
<http://www.state.hi.us/jud/ctrules/oal/2008/rsch2.24am.pdf>  
Revised Effective Date Order  
[http://www.state.hi.us/jud/ctrules/oal/2008/rsch2.24\\_am2.pdf](http://www.state.hi.us/jud/ctrules/oal/2008/rsch2.24_am2.pdf)
  
5.       **Iowa**                Supreme Court Rule 121.4)(?)  
Supreme Court Rule 39.2(3)(c) and Disciplinary Rule Canon 9 Preserving Identity of Funds and Property of a client DR 9-102 Audits  
<http://www.legis.state.ia.us/DOCS/ACO/CR/LINC/09-23-2010.chapter.39.pdf>  
[http://www.judicial.state.ia.us/regs/trust\\_outline.rtf](http://www.judicial.state.ia.us/regs/trust_outline.rtf)
  
6.       **Kansas**            Supreme Court Rule 216A, Compliance Examinations by the Disciplinary Administrator  
<http://www.kscourts.org/rules/Rule-Info.asp?r1=Rules+Relating+to+Discipline+of+Attorneys&r2=290>  
<http://www.kscourts.org/rules/Rule-List.asp?r1=Rules+Relating+to+Discipline+of+Attorneys>

7.       **Nebraska**       Nebraska Court Rules: Trust Fund Requirements for Lawyers - Chapter 3, Article 9, §§ 3-901 to 3-907 - cite as Neb. Ct. R. §.  
<http://www.supremecourt.ne.gov/rules/pdf/Ch3Art9.pdf>
  
8.       **New Hampshire** Supreme Court Rule 50-A Certification Requirement (requires spot compliance to audits for cause and authorizes audits as deemed necessary to protect public)  
<http://www.courts.state.nh.us/rules/scr/scr-50-a.htm>
  
9.       **New Jersey**       Supreme Court Rule, Rule 1:21-6(h)  
<http://www.judiciary.state.nj.us/rules/r1-21.htm>
  
10.      **North Carolina** N.C.G.S. §84-21, Rules and Regulations, Subchapter B. Discipline and Disability Rules § .0128(b); Rules of Professional Conduct Rule 1.15-3(h) Records and Accountings,  
<http://www.ncbar.gov/rules/rules.asp>
  
11.      **Vermont**       Vermont Rules of Professional Conduct, Rule 1.15A(c)  
[http://vermontjudiciary.org/LC/Shared%20Documents/PRO MULGATED-JUN1709-VRPC.pdf](http://vermontjudiciary.org/LC/Shared%20Documents/PRO%20MULGATED-JUN1709-VRPC.pdf)  
(Supreme Court may order audit at any time)
  
12.      **Washington**       Washington State Court Rules: Rules for Enforcement of Lawyer Conduct. Title 15: Audits and Trust Account Overdraft Notification, Rule 15.1(a) Random Audits (effective October 1, 2002)  
[http://www.courts.wa.gov/court\\_rules/?fa=court\\_rules.display&group=ga&set=ELC&ruleid=gaclc1515.01](http://www.courts.wa.gov/court_rules/?fa=court_rules.display&group=ga&set=ELC&ruleid=gaclc1515.01)

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