

**Survey of Lawyers' Funds for
Client Protection**

2011-2013

UNDERWRITING SUPPORT

Our appreciation to the National Client Protection Organization for making this survey possible through their generous donation to the ABA Fund for Justice and Education for the benefit of the ABA Center for Professional Responsibility.

INTRODUCTION

The ABA Survey of Lawyers' Funds for Client Protection questionnaires were sent to administrators of client protection funds throughout the United States and Canada. The survey spans the years 2011-2013. Of the 60 questionnaires sent to jurisdictions in the United States and Canada, 52 were returned.

The Survey consists of eight sections organized by jurisdiction. In some instances exact figures were not available. Therefore, approximate figures were provided. As the data contained in these sections was compiled from statistics reported to the ABA by the various jurisdictions, its accuracy is, therefore, dependent upon the reporting agencies. You may wish to contact a specific jurisdiction if you have further inquiries.

Questions about the Survey should be addressed to Selina S. Thomas, Client Protection Counsel, ABA Center for Professional Responsibility, 321 North Clark Street, 17th Floor, Chicago, Illinois 60654, (312) 988-6721 or Selina.Thomas@americanbar.org

METHODOLOGY

The Dihedral Group (TDG) was retained for collecting and reporting on the results as in past iterations of this project. To decrease survey fatigue and increase overall participation rates, TDG developed a historical database and pre-populated respondent's information wherever historical responses did not significantly vary. Highly variable questions (e.g., yearly program revenue or total claim numbers) were left blank.

Of the 52 responses, five jurisdictions participated though sections of responses are still forthcoming. Their responses were included in the overall data visualizations and reporting herein. The online reporting will be updated in real-time to reflect any additional submissions and can be accessed from the Standing Committee on Client Protection's home page.

Jurisdictions not currently included in the reporting are:

United States- Alaska, Georgia, Oklahoma, Utah, Wyoming

Canada- Northwest Territory, Saskatchewan, Yukon



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JURISDICTION	2 Lawyers Currently Admitted	3 Unified Bar	4 Fund Name	5 Year Created
Alabama	17,379	Yes	Client Security Fund	1976
Arizona	29,929	Yes	State Bar of Arizona Client Protection Fund	1961
Arkansas	8,900	No	Arkansas Client Security Fund	1973
California	182,000	Yes	Client Security Fund	1972
Colorado	25,496	No	Colorado Supreme Court Attorneys' Fund for Client Protection	1998
Connecticut	39,000	No	Client Security Fund	1999
Delaware	3,659	Yes	Lawyers' Fund for Client Protection of the Delaware Supreme Court	1968
District of Columbia	74,399	Yes	Client Security Fund	1972
Florida	98,217	Yes	Clients' Security Fund	1967
Hawaii	4,737	Yes	Lawyers Fund for Client Protection of the Hawaii Supreme Court	1981
Idaho	4,336	Yes	Client Assistance Fund	1970
Illinois	78,344	No	Client Protection Program	1994
Indiana	17,465	No	Client's Financial Assistance Fund	1961
Iowa	9,514	No	Client Security Trust Fund of the Bar of Iowa	1974
Kansas	11,020	No	Kansas Lawyers' Fund for Client Protection	1993
Kentucky	17,614	Yes	Clients' Security Fund	1971
Louisiana	21,931	Yes	LSBA Client Assistance Fund	1962
Maine	5,286	No	Lawyers' Fund for Client Protection	1997
Maryland	38,500	No	Client Protection Fund of the Bar of Maryland	1965
Massachusetts	57,168	No	Clients Security Fund	1974
Michigan	42,071	Yes	Client Protection Fund	1966
Minnesota	24,349	No	Minnesota Client Security Fund	1987
Mississippi	8,000	Yes	Client Security Fund	
Missouri	29,530	Yes	The Missouri Bar Client Security Fund	1966
Montana	3,766	Yes	Lawyers' Fund for Client Protection	1976
Nebraska	5,098	No	Client Assistant Fund	1991
Nevada	8,599	Yes	Clients' Security Fund	1970
New Hampshire	4,983	Yes	New Hampshire Bar Association Public Protection Fund	1998
New Jersey	73,697	No	New Jersey Lawyers Fund for Client Protection	1969
New Mexico	6,441	Yes	New Mexico Client Protection Fund	2006
New York	289,000	No	Lawyers' Fund for Client Protection of the State of New York	1981
North Carolina	26,614	No	The Client Security Fund of the North Carolina State Bar	1984
North Dakota	2,500	Yes	State Bar Association of North Dakota Client Protection Fund	1996
Ohio	44,000	No	Clients' Security Fund of Ohio	1985
Oregon	14,498	Yes	Client Security Fund	1967
Pennsylvania	62,147	No	Pennsylvania Lawyers Fund for Client Security	1982
Rhode Island	6,240	Yes	RI Bar Association Client Reimbursement Fund	1968
South Carolina	14,000	Yes	South Carolina Lawyers' Fund for Client Protection	1975
South Dakota	3,000	Yes	Client Assistance Fund	1981
Tennessee		No		
Texas	92,210	Yes	Client Security Fund	1975

JURISDICTION	2 Lawyers Currently Admitted	3 Unified Bar	4 Fund Name	5 Year Created
Vermont	2,150	No	Client Security Fund	1986
Virginia	31,480	Yes	Virginia State Bar Clients' Protection Fund	1976
Washington	28,584	Yes	Lawyers' Fund for Client Protection	1994
West Virginia			Lawyers Fund for Client Security	1981
Wisconsin	23,780	Yes	Wisconsin Lawyers' Fund for Client Protection	1981
Alberta	11,320	Yes	Assurance Fund	1939
British Columbia	10,924	Yes	Trust Protection Coverage	2004
Manitoba	1,994	Yes	Reimbursement Claims Fund	1943
New Foundland	723	Yes	Assurance Fund	1983
Nova Scotia	1,984	Yes	Lawyer's Fund for Client Compensation	1957
PEI	236	Yes	Reimbursement Fund	1992

JURISDICTION	6 Entity Creating Fund	7 Entity with Supervisory Authority	7a Other Entities
Alabama	Court	Highest court	
Arizona	Court	Bar Association	
Arkansas	Court	Highest court	
California	Legislature	Bar Association	
Colorado	Court	Highest court	
Connecticut	Court	Other	Superior Court
Delaware	Court	Highest court	
District of Columbia	Court	Highest court	
Florida	Court	Bar Association	
Hawaii	Court	Highest court	
Idaho	Legislature	Highest court	
Illinois	Court	Highest court	
Indiana	Bar Association	Bar Association	
Iowa	Court	Highest court	
Kansas	Court	Highest court	
Kentucky	Court	Highest court	
Louisiana	Bar Association	Other	LA Client Assistance Foundation
Maine	Court	Highest court	
Maryland	Legislature	Highest court	
Massachusetts	Court	Highest court	
Michigan	Bar Association	Bar Association	
Minnesota	Court	Highest court	
Mississippi	Bar Association	Bar Association	
Missouri	Bar Association	Bar Association	
Montana	Court	Highest court	
Nebraska	Court	Highest court	
Nevada	Bar Association	Bar Association	
New Hampshire	Court	Highest court	
New Jersey	Court	Highest court	
New Mexico	Court	Highest court	
New York	Legislature	Highest court	
North Carolina	Court	Highest court	
North Dakota	Bar Association	Bar Association	
Ohio	Court	Highest court	
Oregon	Legislature	Bar Association	
Pennsylvania	Court	Highest court	
Rhode Island	Bar Association	Bar Association	
South Carolina	Court	Highest court	
South Dakota	Court	Bar Association	
Tennessee			
Texas	Bar Association	Bar Association	

JURISDICTION	6 Entity Creating Fund	7 Entity with Supervisory Authority	7a Other Entities
Vermont	Bar Association	Bar Association	
Virginia	Court	Bar Association	
Washington	Court	Highest court	
West Virginia	Bar Association	Bar Association	
Wisconsin	Court	Highest court	
Alberta	Legislature	Other	Law Society of Alberta
British Columbia	Legislature	Other	Benchers of the Law Society of BC
Manitoba	Legislature	Other	Law Society of Manitoba
New Foundland	Bar Association	Bar Association	
Nova Scotia	Legislature	Bar Association	
PEI	Legislature	Bar Association	

JURISDICTION	8. Entity Administering Fund		8.1 Other Details
Alabama	Bar Committee		
Arizona	Board of Trustees		
Arkansas	Other	Office of Professional Conduct	
California	Bar Committee		
Colorado	Board of Trustees		
Connecticut	Board of Trustees		
Delaware	Board of Trustees		
District of Columbia	Other	D.C. Bar	
Florida	Bar Committee		
Hawaii	Board of Trustees		
Idaho	Bar Committee		
Illinois	Other	Attorney Registration and Disciplinary Commission	
Indiana	Bar Committee		
Iowa	Other	Client Security Commission	
Kansas	Board of Trustees		
Kentucky	Board of Trustees		
Louisiana	Bar Committee		
Maine	Board of Trustees		
Maryland	Board of Trustees		
Massachusetts	Board of Trustees		
Michigan	Bar Committee		
Minnesota	Board of Trustees		
Mississippi	Bar Committee		
Missouri	Bar Committee		
Montana	Bar Committee		
Nebraska	Bar Committee		
Nevada	Bar Committee		
New Hampshire	Bar Committee		
New Jersey	Board of Trustees		
New Mexico	Other	Court Appointed Commission	
New York	Board of Trustees		
North Carolina	Board of Trustees		
North Dakota	Board of Trustees		
Ohio	Other	Administrator	
Oregon	Bar Committee		
Pennsylvania	Board of Trustees		
Rhode Island	Bar Committee		
South Carolina	Bar Committee		
South Dakota	Other	executive director	
Tennessee			
Texas	Bar Committee		

JURISDICTION**8. Entity Administering Fund****8.1 Other Details**

Vermont	Bar Committee	
Virginia	Board of Trustees	
Washington	Bar Committee	
West Virginia	Bar Committee	
Wisconsin	Bar Committee	
Alberta	Other	ALIA
British Columbia	Other	Administered through the LSBC's insurance program, the Lawyers Insurance Fund
Manitoba	Other	Law Society of Manitoba Professional Liability claims fund staff
New Foundland	Other	Insurance Committee
Nova Scotia	Bar Committee	
PEI	Other	Council

JURISDICTION	8a1 Lawyers	8a2 Non-lawyers	8b Frequency of Board Meetings	8b.1 Meeting Frequency Details
Alabama	7	2	Quarterly	
Arizona	4	1	Quarterly	
Arkansas	5	0	Other	3 times per year
California	4	3	Other	6 times per year
Colorado	5	2	Quarterly	
Connecticut	12	3	Other	every two months
Delaware	7	2	Quarterly	
District of Columbia	5	0	Monthly	
Florida	26	0	Quarterly	
Hawaii	3	2	Quarterly	
Idaho	3	2	Other	As needed
Illinois	4	3	Other	Approx. 6 meeting per year
Indiana	10	0	Quarterly	
Iowa	5	2	Bi-Annually	
Kansas	5	2	Quarterly	
Kentucky	3	2	Bi-Annually	
Louisiana	23	0	Quarterly	
Maine	5	2	Quarterly	
Maryland	8	1	Quarterly	
Massachusetts	7	0	Monthly	
Michigan	15	0	Quarterly	
Minnesota	5	2	Other	quarterly and other times as needed
Mississippi	10	0	Other	Ad hoc
Missouri	6	0	Other	As needed
Montana	12	0	Other	As needed - usually annually or bi-annually.
Nebraska	6	2	Quarterly	
Nevada	10	2	Bi-Annually	
New Hampshire	7	2	Quarterly	
New Jersey	5	2	Monthly	
New Mexico	9	0	Quarterly	
New York	5	2	Quarterly	
North Carolina	4	1	Quarterly	
North Dakota	15	0	Other	AS required
Ohio	4	3	Quarterly	
Oregon	12	1	Other	every other month
Pennsylvania	5	2	Quarterly	
Rhode Island	7		Other	as needed
South Carolina	12	1	Other	try for monthly but only required annually
South Dakota	1	0	Other	as needed
Tennessee				
Texas	4	2	Quarterly	

JURISDICTION	8a1 Lawyers	8a2 Non-lawyers	8b Frequency of Board Meetings	8b.1 Meeting Frequency Details
Vermont	4	0	Other	as needed
Virginia	13	1	Other	January, May & September
Washington	11	2	Quarterly	
West Virginia	5	2	Quarterly	
Wisconsin	5	2	Quarterly	
Alberta	20	4	Other	as required
British Columbia				
Manitoba	3 to 8	1 to 4	Other	As required
New Foundland	6	1	Quarterly	
Nova Scotia	5	0	Other	ad hoc
PEI	10	2	Other	as needed

JURISDICTION	9a.1 FT Lawyers	9a.2PT Lawyers	9b.1 FT Nonlawyers	9b.2 PT Nonlawyers	10 Fund Immunity	10a1 Immunity - Trustees	10a2 Immunity - Staff	10a3 Immunity - Agents
Alabama	0	1	2	0	Yes	Absolute	Absolute	Absolute
Arizona	0	1	1	0	Yes	Absolute	Absolute	Absolute
Arkansas	0	1	0	0	No	Other	Other	Other
California	3	0	0	8	Yes	Qualified	Qualified	Qualified
Colorado			0	1	Yes	Absolute	Absolute	Absolute
Connecticut	1	0	2	1	Yes	Absolute	Absolute	Absolute
Delaware	0	0	1	0	Yes	Absolute	Absolute	Absolute
District of Columbia	0	1	0	2	Yes	Absolute	Absolute	Absolute
Florida	0	1	2	1	Yes	Absolute	Absolute	Absolute
Hawaii	0	1	0	3	Yes	Absolute	Absolute	Absolute
Idaho	0	1	0	1	Yes	Absolute	Absolute	Absolute
Illinois	1	0	0	2	Yes	Absolute	Qualified	Qualified
Indiana	0	1	0	1	No	Absolute	Absolute	Absolute
Iowa	0	2	0	6	Yes	Absolute	Absolute	
Kansas		1		1	Yes	Other	Other	Other
Kentucky		1		1	Yes	Absolute	Absolute	Absolute
Louisiana		2		1	No			
Maine		1		1	Yes	Absolute	Absolute	Absolute
Maryland			5	0	No			
Massachusetts	2	1			Yes	Absolute	Absolute	
Michigan	0	1	1	1	No			
Minnesota	0	1	0	1	Yes	Absolute	Absolute	Absolute
Mississippi	0	0	0	0	No			
Missouri	0	1	0	1	No			
Montana	0	0	0	1	No			
Nebraska		1		1	No			
Nevada				2	Yes	Absolute	Absolute	
New Hampshire			0	1	No			
New Jersey	4	0	14	0	Yes	Absolute	Absolute	Other
New Mexico	0	1	0	1	Yes	Absolute	Absolute	Absolute
New York	2	0	3	0	Yes	Absolute	Absolute	
North Carolina	0	1	0	2	Yes	Qualified	Qualified	Qualified
North Dakota	0	1	0	1	No			
Ohio	1	0	3	0	Yes	Absolute	Absolute	Absolute
Oregon	0	1	0	1	Yes	Absolute	Absolute	Absolute
Pennsylvania	1	1	1	0	Yes	Absolute	Absolute	
Rhode Island				1	Yes	Absolute	Absolute	Absolute
South Carolina	0	0	2	0	Yes	Absolute	Absolute	Absolute
South Dakota	0	0			Yes	Absolute	Absolute	Absolute
Tennessee								
Texas	1		1		Yes	Absolute	Absolute	Absolute

JURISDICTION	9a.1 FT Lawyers	9a.2PT Lawyers	9b.1 FT Nonlawyers	9b.2 PT Nonlawyers	10 Fund Immunity	10a1 Immunity - Trustees	10a2 Immunity - Staff	10a3 Immunity - Agents
Vermont					Yes			
Virginia	0	1	0	1	Yes	Qualified	Qualified	
Washington	0	1	0	1	Yes	Qualified	Qualified	Qualified
West Virginia		1		1	Yes	Absolute	Qualified	Qualified
Wisconsin	0	0	0	1	No	Qualified	Qualified	Qualified
Alberta	3	0	4		Yes			Other
British Columbia	0	4	0	2	Yes		Qualified	Qualified
Manitoba		3		2	No	Other	Other	Other
New Foundland			1		Yes		Absolute	Absolute
Nova Scotia	0	2			Yes	Absolute	Absolute	
PEI	1		1.5		Yes	Qualified	Qualified	Qualified

JURISDICTION**10b.1 Other Immunity Details**

Alabama	
Arizona	
Arkansas	No express immunity provision is found in the Rules. The Committee is staffed by an attorney of the Office of Professional Conduct, which does have immunity provided by the Procedures Regulating Professional Conduct.
California	
Colorado	
Connecticut	
Delaware	
District of Columbia	
Florida	
Hawaii	
Idaho	
Illinois	
Indiana	
Iowa	
Kansas	Judicial
Kentucky	
Louisiana	N/A
Maine	
Maryland	
Massachusetts	
Michigan	
Minnesota	
Mississippi	
Missouri	
Montana	
Nebraska	
Nevada	
New Hampshire	
New Jersey	Agents are generally independent contractors and must carry separate insurance.
New Mexico	
New York	
North Carolina	
North Dakota	
Ohio	
Oregon	
Pennsylvania	
Rhode Island	
South Carolina	
South Dakota	
Tennessee	
Texas	

JURISDICTION**10b.1 Other Immunity Details**

Vermont	
Virginia	
Washington	
West Virginia	
Wisconsin	
Alberta	
British Columbia	
Manitoba	No action can be brought for anything done in good faith, however, people sue anyway
New Foundland	
Nova Scotia	
PEI	

JURISDICTION	11. Principal source of funding:	11. Other Details	12a. What is the individual lawyer assessment on an annualized basis?	12b. Is this collected as part of another assessment?
Alabama	Lawyer Assessment		\$25	No
Arizona	Lawyer Assessment		\$10	Yes
Arkansas	Lawyer Assessment		\$17	
California	Lawyer Assessment		\$40	Yes
Colorado	Lawyer Assessment		\$25	Yes
Connecticut	Lawyer Assessment		\$75	No
Delaware	Lawyer Assessment		\$45	Yes
District of Columbia	Budget Appropriation			
Florida	Budget Appropriation			No
Hawaii	Lawyer Assessment		\$50	Yes
Idaho	Lawyer Assessment		\$20	No
Illinois	Lawyer Assessment		\$25	Yes
Indiana	Lawyer Assessment		\$2	Yes
Iowa	Lawyer Assessment		\$50	Yes
Kansas	Other	The Attorney Disciplinary Fee Fund from which periodic transfers are made to		
Kentucky	Lawyer Assessment		\$7	Yes
Louisiana	Other	The LSBA Client Assistance Fund is a beneficiary of a trust formed by LA Attorneys		

JURISDICTION	11. Principal source of funding:	11. Other Details	12a. What is the individual lawyer assessment on an annualized basis?	12b. Is this collected as part of another assessment?
Maine	Lawyer Assessment		\$20	Yes
Maryland	Lawyer Assessment		\$20	Yes
Massachusetts	Lawyer Assessment		\$32	Yes
Michigan	Lawyer Assessment		\$15	Yes
Minnesota	Lawyer Assessment		\$12	Yes
Mississippi	Budget Appropriation			
Missouri	Budget Appropriation			
Montana	Lawyer Assessment		\$20	Yes
Nebraska	Other	Prior to 2014 it was		
Nevada	Lawyer Assessment		\$25	Yes
New Hampshire	Lawyer Assessment			No
New Jersey	Lawyer Assessment		\$50	Yes
New Mexico	Lawyer Assessment		\$15	Yes
New York	Lawyer Assessment		\$30	Yes
North Carolina	Lawyer Assessment		\$25	Yes

JURISDICTION	11. Principal source of funding:	11. Other Details	12a. What is the individual lawyer assessment on an annualized basis?	12b. Is this collected as part of another assessment?
North Dakota	Lawyer Assessment			Yes
Ohio	Budget Appropriation			No
Oregon	Lawyer Assessment		\$35	Yes
Pennsylvania	Lawyer Assessment		\$35	Yes
Rhode Island	Lawyer Assessment		\$25	No
South Carolina	Lawyer Assessment		\$20	Yes
South Dakota	Lawyer Assessment		\$25	Yes
Tennessee				
Texas	Budget Appropriation			
Vermont	Lawyer Assessment			Yes
Virginia	Lawyer Assessment		\$25	Yes
Washington	Lawyer Assessment		\$30	Yes
West Virginia	Budget Appropriation			
Wisconsin	Lawyer Assessment		\$20	Yes
Alberta	Lawyer Assessment		\$570	Yes
British Columbia	Budget Appropriation		\$0	Yes
Manitoba	Lawyer Assessment		\$250	Yes
New Foundland	Lawyer Assessment		\$50	Yes
Nova Scotia	Lawyer Assessment		\$100	Yes
PEI	Lawyer Assessment		\$50	Yes

JURISDICTION	12b. Other assessments:	12c. Who collects the assessment?	12c. Other Assessments	12d. What is the dollar amount of the other assessments on an annualized basis?
Alabama		Bar Association		
Arizona	Annual Bar membership fees	Bar Association		\$460
Arkansas	It is included in the annual license fee.	Court		
California	Membership dues	Bar Association		\$380
Colorado	Annual attorney	Court		\$300
Connecticut		Court		\$0
Delaware	Registration; ODC; LAP;	Court		\$45
District of Columbia				
Florida		Bar Association		
Hawaii	Hawaii State Bar Association, Disciplinary Board, Attorneys Assistance Program	Bar Association		\$474
Idaho		Bar Association		
Illinois	Annual registration fees.	Court		\$317
Indiana	ISBA dues	Bar Association		
Iowa	Annual fee for support of disciplinary system	Other	Client Security Commission	\$175
Kansas				
Kentucky	Annual Dues for Membership in Kentucky Bar Association	Bar Association		\$310
Louisiana	N/A	Other	N/A	

JURISDICTION	12b. Other assessments:	12c. Who collects the assessment?	12c. Other Assessments	12d. What is the dollar amount of the other assessments on an annualized basis?
Maine	Attorney Annual	Other	Disciplinary Board	\$114,000
Maryland	attorney grievance and professionalism commission	Other	we do	\$115
Massachusetts	annual attorney registration fee	Other	The Board of Bar Overseers under the supervision of the Court	\$300
Michigan	Bar and disciplinary system dues	Other		\$290
Minnesota	Annual lawyer registration fees.	Court		\$254
Mississippi				
Missouri				
Montana	Membership dues, license tax, disciplinary counsel assessment, CLE fee, section dues	Bar Association		\$375
Nebraska				
Nevada		Bar Association		\$450
New Hampshire		Bar Association		\$210
New Jersey	Disciplinary Review Board \$148, Lawyers Assistance Program \$10, Board on Continuing Legal Ed \$4	Other	We do, for everyone.	\$162
New Mexico	License Fees and	Bar Association		\$385
New York	Attorney Registration	Court		\$108
North Carolina	annual dues	Bar Association		\$300

JURISDICTION	12b. Other assessments:	12c. Who collects the assessment?	12c. Other Assessments	12d. What is the dollar amount of the other assessments on an annualized basis?
North Dakota		Other		
Ohio				
Oregon	membership fees	Bar Association		\$537
Pennsylvania	Disciplinary Board -	Other	Attorney Registration;	\$165
Rhode Island	Bar dues	Bar Association		
South Carolina	Annual Bar Dues	Bar Association		\$500
South Dakota	annual dues	Bar Association		\$25
Tennessee				
Texas				
Vermont	dues	Bar Association		
Virginia	Bar Dues	Bar Association		\$225
Washington	License Fees	Bar Association		\$325
West Virginia				
Wisconsin		Bar Association		\$236
Alberta	Membership dues	Other	Law Society of Alberta	\$1,760
British Columbia	Practice fee (\$1893), compulsory insurance (including negligence) (\$1750)	Other	The Law Society of BC	\$3,643
Manitoba	Practising fees Education Fund contribution Practising Certificate	Other	Law Society	\$1,800
New Foundland	Fee,Mandatory Insurance Premium	Bar Association		\$3,842
Nova Scotia	annual fees for	Bar Association		\$2,500
PEI	licensing fees and insurance fees	Bar Association		\$4,025

JURISDICTION	12e. How frequently are lawyers assessed for the Fund?	12f. What is the sanction for those who fail to pay?	12g.1 Exempt From Paying Assessment-Judicial:	12g.2 Exempt From Paying Assessment-Government:
Alabama	Annually	Suspension		
Arizona	Annually	Administrative suspension until dues paid	Yes	
Arkansas	Annually	As the \$17 collected is included in the annual license fee, failure to pay the license fee results in automatic suspension if the	No	No
California	Annually	Enrolled as inactive	Yes	No
Colorado	Annually	Administrative	No	No
Connecticut	Annually	Administrative Suspension		
Delaware	Annually	\$300 late fee and Rule	Yes	
District of Columbia				
Florida	Annually	Dues delinquent administrative suspension	No	No
Hawaii	Annually	Administrative Suspension from the Practice of Law	Yes	Yes
Idaho	Annually	Administrative	Yes	
Illinois	Annually	Removal from Master Roll	Yes	No
Indiana	Annually	none	No	No
Iowa	Annually	Suspension of License		
Kansas				
Kentucky	Annually	Suspension	No	No
Louisiana		N/A		

JURISDICTION	12e. How frequently are lawyers assessed for the Fund?	12f. What is the sanction for those who fail to pay?	12g.1 Exempt From Paying Assessment-Judicial:	12g.2 Exempt From Paying Assessment-Government:
Maine	Annually	Administrative	No	No
Maryland	Annually	Temporary suspension	Yes	No
Massachusetts	Annually	Administrative Suspension	No	
Michigan	Annually	Suspension		
Minnesota	Annually	Administrative Suspension		
Mississippi				
Missouri		N/A		
Montana	Annually	Suspension	Yes	No
Nebraska				
Nevada	Annually	Fees Suspension		
New Hampshire	Annually	License Suspension		
New Jersey	Annually	Court Ordered ineligibility to practice law in New Jersey. After seven consecutive years of publication on the Ineligible List, Administrative Revocation of license.		
New Mexico	Annually	Suspension from	Yes	
New York	Bi-Annually	Suspension		
North Carolina	Annually	Administrative		

JURISDICTION	12e. How frequently are lawyers assessed for the Fund?	12f. What is the sanction for those who fail to pay?	12g.1 Exempt From Paying Assessment-Judicial:	12g.2 Exempt From Paying Assessment-Government:
North Dakota	Annually	No License Renewal		
Ohio		Suspension		
Oregon	Annually	Suspension		
Pennsylvania	Annually	Administrative	Yes	No
Rhode Island	Annually	suspension	Yes	
South Carolina	Annually	Suspension	No	No
South Dakota	Other	Discipline	Yes	
Tennessee				
Texas				
Vermont	Annually	None		
Virginia	Annually	License Suspension	Yes	No
Washington	Annually	Suspension	Yes	No
West Virginia				
Wisconsin	Annually	License Suspension		
Alberta	Annually	Suspension	Yes	
		If the fees are not paid by November 30 the fees can still be paid in December but with a \$100 late fee. If the fees are not paid by Automatic		
British Columbia	Annually		Yes	No
Manitoba	Annually	Administrative suspension	Yes	No
New Foundland	Annually	Suspension	Yes	No
Nova Scotia	Annually	Automatic suspension		
PEI	Annually	Suspension	Yes	No

JURISDICTION	12g.3 Exempt From Paying Assessment- Inactive:	12g.4 Exempt From Paying Assessment- Retired:	12g.5 Exempt From Paying Assessment- Other:	12g.5 Other Details
Alabama		Yes		
Arizona		Yes	Yes	Suspended
Arkansas	No	No		
California	Yes	No	Yes	Inactive pays CSF \$10.00
Colorado	Yes	Yes		
Connecticut		Yes	Yes	Active Duty Military, Resigned, Disbarred
Delaware	Yes	Yes	Yes	Emeritus
District of Columbia				
Florida	No	Yes		
Hawaii	Yes		Yes	Affiliate, Foreign Law Consultant, Pro Hac Vice
Idaho				
Illinois	No	Yes	Yes	Military, Over age 75
Indiana	No	No		
Iowa	Yes	Yes		Active Military, Emeritus
Kansas				
Kentucky	No	No	Yes	Honorary Members appointed by Board of Governors; Senior Retired Inactive Members
Louisiana				N/A

JURISDICTION	12g.3 Exempt From Paying Assessment- Inactive:	12g.4 Exempt From Paying Assessment- Retired:	12g.5 Exempt From Paying Assessment- Other:	12g.5 Other Details
Maine	Yes	No		
Maryland	Yes	Yes	Yes	law clerks
Massachusetts		No		
Michigan			Yes	Emeritus, \$7.50 for inactive
Minnesota		Yes	Yes	active license on full time military duty; inactive permanently disabled
Mississippi				
Missouri				
Montana	Yes	Yes	Yes	Emeritus, new admittee inactive
Nebraska				
Nevada			Yes	Licensed attorney 70 years' of age or older
New Hampshire				
New Jersey		Yes	Yes	Active military/AmeriCorps/Pe ace Corps; admitted in current year; in the 50th or greater calendar year of admission
New Mexico	Yes			
New York	Yes	Yes		
North Carolina	Yes			

JURISDICTION	12g.3 Exempt From Paying Assessment- Inactive:	12g.4 Exempt From Paying Assessment- Retired:	12g.5 Exempt From Paying Assessment- Other:	12g.5 Other Details
North Dakota				
Ohio				
Oregon				
Pennsylvania	Yes	Yes	No	
Rhode Island				inactive out of state
South Carolina	No	Yes		
South Dakota	Yes			
Tennessee				
Texas				
Vermont				
Virginia	Yes	Yes		
Washington	Yes	No		
West Virginia				
Wisconsin	Yes			
Alberta	Yes	Yes		
British Columbia	Yes	Yes		
Manitoba	Yes	Yes		
New Foundland	No	Yes	Yes	Non-practising
Nova Scotia		Yes		
PEI	No	Yes		

JURISDICTION	13. Does your Fund have a payment cap per claimant?	13b. What is the current maximum limit?	14. Does your Fund have a payment cap per lawyer?	14b. What is the current cumulative limit?
Alabama	Yes	\$75,000	Yes	\$200,000
Arizona	Yes	\$100,000	Yes	\$250,000
Arkansas	Yes	\$40,000	No	
California	Yes	\$100,000	No	
Colorado	Yes	\$50,000	Yes	\$100,000
Connecticut	No		No	
Delaware	No		No	
District of Columbia	Yes	\$75,000	No	
Florida	No		No	
Hawaii	Yes	\$100,000	Yes	\$300,000
Idaho	Yes	\$20,000	No	
Illinois	Yes	Pending	Yes	Pending
Indiana	Yes	\$15,000	Yes	\$50,000
Iowa	Yes	\$100,000	Yes	\$300,000
Kansas	Yes	\$125,000	Yes	\$350,000
Kentucky	Yes	\$50,000	Yes	\$150,000
Louisiana	Yes	\$25,000	No	

JURISDICTION	13. Does your Fund have a payment cap per claimant?	13b. What is the current maximum limit?	14. Does your Fund have a payment cap per lawyer?	14b. What is the current cumulative limit?
Maine			Yes	\$100,000
Maryland	Yes	5% of fund balance at end of prior month of meeting	No	
Massachusetts	No		No	
Michigan	Yes	\$75,000/ With February 2014 proposal to raise maximum to \$150,000	Yes	2014 proposal to raise
Minnesota	Yes	\$150,000	No	
Mississippi	Yes		Yes	
Missouri	Yes	\$50,000	No	
Montana	No		No	
Nebraska	No		Yes	\$100,000
Nevada	Yes	\$50,000	No	
New Hampshire	Yes		Yes	
New Jersey	Yes	\$400,000 / claimant	Yes	\$1.5 Million
New Mexico	Yes	\$20,000	No	
New York	Yes	\$300,000	No	
North Carolina	Yes	\$100,000	No	

JURISDICTION	13. Does your Fund have a payment cap per claimant?	13b. What is the current maximum limit?	14. Does your Fund have a payment cap per lawyer?	14b. What is the current cumulative limit?
North Dakota	Yes		Yes	
Ohio	Yes	\$75,000	No	
Oregon	Yes	\$50,000	Yes	
Pennsylvania	Yes	\$100,000	Yes	\$1,000,000
Rhode Island	Yes	\$50,000	Yes	\$250,000
South Carolina	Yes	\$40,000	Yes	\$200,000
South Dakota	Yes	\$20,000	Yes	\$75,000
Tennessee				
Texas	Yes	\$40,000	No	
Vermont	Yes		Yes	
Virginia	No	\$50,000	Yes	rth of the fund whe
Washington	Yes	\$75,000	No	
West Virginia	Yes	\$10,000	Yes	\$20,000
Wisconsin	Yes	\$150,000	No	
Alberta	No		No	
British Columbia	Yes	\$300,000 per claim	No	has a profession wi
Manitoba	Yes	\$10,000,000	Yes	\$10,000,000
New Foundland	Yes	\$50,000	No	
Nova Scotia	No		No	
PEI	Yes	50% of total fund	Yes	50% of total fund

JURISDICTION	15. Does your Fund have a special limit for a specific kind of loss, such as unearned retainers?	Type of losses and limits	16. Does your Fund reimburse claimants for consequential damages (e.g., costs of a lawsuit, interest, perty fees, attorneys fees, etc.)?	16a. Additional Details (If Q16 = Yes)
Alabama	No		No	
Arizona	No		No	
Arkansas	No		No	
California	No		No	
Colorado	No		No	
Connecticut	No		No	
Delaware	No		Yes	The Fund
District of Columbia	No		No	
Florida	Yes	\$5,000 cap on fee claims. \$250,000 cap on	No	
Hawaii	No		No	
Idaho	No		No	
Illinois	No		No	
Indiana	No		Yes	case-by-case
Iowa	No		No	
Kansas	No		No	
Kentucky	No		No	
Louisiana	No		No	

JURISDICTION	15. Does your Fund have a special limit for a specific kind of loss, such as unearned retainers?	Type of losses and limits	16. Does your Fund reimburse claimants for consequential damages (e.g., costs of a lawsuit, interest, peltly fees, attorneys fees, etc.)?	16a. Additional Details (If Q16 = Yes)
Maine	No		No	
Maryland	No		No	
Massachusetts	No		No	
Michigan	No		Yes	May reimburse legal fees under some situations.
Minnesota	No		No	
Mississippi	No		No	
Missouri	No		No	
Montana	No		No	
Nebraska	No		No	
Nevada	No		No	
New Hampshire	No		No	
New Jersey	No		No	
New Mexico	No		No	
New York	No		Yes	Not consequential.
North Carolina	No		No	But, interest and

JURISDICTION	15. Does your Fund have a special limit for a specific kind of loss, such as unearned retainers?	Type of losses and limits	16. Does your Fund reimburse claimants for consequential damages (e.g., costs of a lawsuit, interest, perty fees, attorneys fees, etc.)?	16a. Additional Details (If Q16 = Yes)
North Dakota	No		No	
Ohio	No		No	
Oregon	No		Yes	attorney fees if
Pennsylvania	No		No	
Rhode Island	No		No	
South Carolina	No		No	
South Dakota	No		No	
Tennessee				
Texas	No		No	
Vermont	No		No	
Virginia	No		No	
Washington	No		No	
West Virginia	No		No	
Wisconsin	No		No	
Alberta	No		No	
British Columbia	No		Yes	Pre and post-judgment interest and costs
Manitoba	Yes	there are no limits on the number of claims that may be made by a person	No	
New Foundland	No		No	
Nova Scotia	No		No	
PEI	Yes	Only type of claims allowed: misappropriation	No	

JURISDICTION	17a 2011. Assessments	17a 2012. Assessments	17a 2013. Assessments	17b 2011. Appropriations	17b 2012. Appropriations	17b 2013. Appropriations
Alabama	\$49,030	\$424,700	\$435,588	\$0	\$0	\$0
Arizona	\$204,512	\$197,334	\$203,235	\$0	\$0	\$0
Arkansas	\$170,426	\$174,421	\$178,770			
California	\$7,122,097	\$7,298,953	\$7,440,360			
Colorado	\$940,561	\$979,303	\$988,853	\$0	\$0	\$0
Connecticut	\$3,116,476	\$3,254,621	\$2,344,609	\$0	\$0	
Delaware	\$106,715	\$109,460	\$112,990			
District of Columbia				\$376,368	\$618,295	\$183,001
Florida	\$0	\$0	\$0	\$2,167,075	\$2,220,525	\$2,280,900
Hawaii	\$0	\$0	\$113,520	\$0	\$0	\$0
Idaho	\$106,890	\$112,670	\$117,345	\$0	\$0	\$0
Illinois	\$1,641,275	\$1,682,400	\$1,713,265	\$0	\$0	\$0
Indiana						
Iowa	\$592,456	\$108,225	\$101,725	\$0	\$0	\$0
Kansas	\$0	\$1,000,000	\$0	\$0	\$0	\$0
Kentucky	\$110,282	\$112,877	\$114,744			
Louisiana	\$0	\$0	\$0	\$0	\$0	\$0
Maine	\$104,244	\$126,740	\$90,220			
Maryland		\$752,565	\$756,072			
Massachusetts	\$2,100,000	\$1,900,000	\$1,900,000			
Michigan	\$599,066	\$608,100	\$615,301			
Minnesota	\$262,356	\$350,000	\$338,304	\$0	\$0	\$0
Mississippi						
Missouri	\$0	\$0	\$0	\$204,000	\$214,000	\$225,000
Montana	\$78,360	\$81,085	\$78,860	\$0	\$0	\$0
Nebraska	\$20,000	\$20,000	\$20	\$0	\$0	\$0
Nevada	\$195,525	\$197,400	\$194,750	\$78,000	\$100,950	\$75,800
New Hampshire						
New Jersey	\$3,185,150	\$3,093,698	\$3,283,074	\$0	\$0	\$0
New Mexico	\$103,428	\$102,459	\$103,275	\$0	\$0	\$0
New York	\$5,709,568	\$9,445,006	\$6,673,672	\$0	\$0	\$0

JURISDICTION	17a 2011. Assessments	17a 2012. Assessments	17a 2013. Assessments	17b 2011. Appropriations	17b 2012. Appropriations	17b 2013. Appropriations
North Carolina	\$600,071	\$616,760	\$631,135			
North Dakota	\$35,520	\$40,288	\$43,632			
Ohio				\$1,404,838	\$1,798,181	\$1,786,112
Oregon	\$221,907	\$220,257	\$671,115			
Pennsylvania	\$2,598,250	\$2,231,405	\$2,888,266	\$0	\$0	\$0
Rhode Island						
South Carolina	\$223,480	\$227,995	\$229,217			
South Dakota	\$0	\$0	\$0	\$0	\$0	\$0
Tennessee						
Texas				\$300,000	\$300,000	\$550,000
Vermont	\$20,290	\$20,170	\$22,000			
Virginia	\$721,050	\$742,225	\$755,850	\$0	\$100,000	\$0
Washington	\$882,436	\$893,220	\$914,008	\$0	\$0	\$0
West Virginia				\$80,000	\$80,000	\$80,000
Wisconsin	\$491,714	\$499,166	\$405,450	\$0	\$0	\$0
Alberta						
British Columbia						
Manitoba	\$189,073	\$192,255	\$439,148			
New Foundland	\$37,275	\$36,112	\$36,380	\$0	\$0	\$0
Nova Scotia						
PEI	\$25,500	\$27,300	\$27,450			
Average US	\$807,828	\$940,588	\$861,481	\$121,323	\$142,946	\$136,337
Median US	\$200,019	\$220,257	\$203,235	\$0	\$0	\$0
Average Canadian	\$185,891	\$188,708	\$227,107	\$0	\$0	\$0
Median Canadian	\$37,275	\$36,112	\$36,380	\$0	\$0	\$0
Average NA	\$757,325	\$880,911	\$813,074	\$115,257	\$135,799	\$129,520
Median NA	\$189,073	\$197,367	\$198,993	\$0	\$0	\$0

JURISDICTION	17c 2011. Investments	17c 2012. Investments	17c 2013. Investments	17d 2011. Restitution and Subrogation	17d 2012. Restitution and Subrogation	17d 2013. Restitution and Subrogation
Alabama	\$12,833	\$10,715	\$13,483	\$24,770	\$10,482	\$7,439
Arizona	\$23,008	\$13,052	\$4,475	\$7,850	\$2,902	\$54,459
Arkansas	\$6,482	\$4,883	\$4,486	\$0	\$0	\$0
California	\$54,633	\$34,415	\$28,596	\$461,631	\$493,437	\$294,991
Colorado	\$9,361	\$4,513	\$3,337	\$145,660	\$129,119	\$23,916
Connecticut	\$0	\$0		\$137,750	\$25,344	\$60,188
Delaware				\$3,150	\$2,781	\$1,759
District of Columbi				\$270	\$90	\$1,830
Florida	\$406,841	-\$35,557	\$184,695	\$32,774	\$18,479	\$26,153
Hawaii	\$962	\$482	\$362	\$1,307	\$1,499	\$244
Idaho	\$1,804	\$1,455	\$1,097	\$375	\$0	\$0
Illinois	\$30,042	\$13,000	\$11,500	\$8,145	\$276,608	\$95,000
Indiana						
Iowa	\$3,697	\$2,111	\$1,415	\$0	\$17,905	\$0
Kansas	\$16,454	\$2,656	\$4,716	\$5,241	\$1,722	\$50,247
Kentucky	\$105,854	\$81,280	\$80,731	\$2,109	\$3,871	\$2,964
Louisiana	\$57,933	\$49,602	\$56,754	\$4,250	\$17,400	\$4,224
Maine	\$17,381	\$20,531	\$17,137	\$1,063	\$0	\$0
Maryland		\$17,485	\$32,515		\$5,594	\$25,885
Massachusetts				\$138,764	\$30,320	\$18,583
Michigan	\$23,579	\$17,098	\$13,818	\$16,073	\$15,700	\$21,640
Minnesota	\$38,400	\$15,300	\$20,818	\$19,300	\$34,129	\$31,560
Mississippi						
Missouri	\$3,353	\$1,892	\$1,743	\$3,500	\$2,389	\$18,725
Montana	\$49,478	\$11,356	\$61,264	\$3,977	\$8,678	\$1,048
Nebraska						
Nevada	\$0	\$0	\$0	\$2,100	\$18,570	\$59,470
New Hampshire						
New Jersey	\$226,109	\$183,050	\$95,591	\$767,472	\$984,320	\$1,237,348
New Mexico	\$2,556	\$2,653	\$1,881	\$100	\$0	\$1,071
New York	\$7,256	\$8,478	\$12,384	\$1,145,892	\$1,161,757	\$885,862

JURISDICTION	17c 2011. Investments	17c 2012. Investments	17c 2013. Investments	17d 2011. Restitution and Subrogation	17d 2012. Restitution and Subrogation	17d 2013. Restitution and Subrogation
North Carolina	\$8,353	\$3,098	\$1,370	\$62,368	\$125,379	\$96,458
North Dakota	\$3,322	\$3,055	\$3,411	\$14	\$31	\$111
Ohio	\$2,372	\$678	\$2,394	\$35,287	\$105,215	\$55,963
Oregon	\$2,948	\$3,148	\$1,869			
Pennsylvania	\$1,576,318	-\$122,971	\$986,186	\$322,823	\$127,034	\$128,916
Rhode Island						
South Carolina						
South Dakota	\$500	\$500	\$500	\$0	\$0	\$0
Tennessee						
Texas	\$22,312	\$14,011	\$10,088	\$54,372	\$12,769	\$17,424
Vermont	\$43,193	\$55,427	\$60,269			
Virginia	\$152,556	\$192,471	\$126,798	\$19,383	\$57,461	\$15,742
Washington	\$717	\$268	\$539	\$11,625	\$5,942	\$10,674
West Virginia						
Wisconsin	\$1,223	\$1,063	\$1,138	\$9,117	\$33,250	\$11,092
Alberta						
British Columbia						
Manitoba	\$50,207	\$14,837	\$16,342	\$11,802	\$10,300	
New Foundland	\$37,056	\$35,812	\$63,282	\$0	\$0	\$0
Nova Scotia						
PEI	\$18,798	\$23,869	\$24,000			
Average US	\$76,627	\$16,084	\$48,615	\$84,110	\$90,980	\$79,536
Median US	\$11,097	\$4,513	\$7,402	\$8,145	\$14,235	\$18,004
Average Canada	\$26,821	\$18,895	\$26,191	\$5,230	\$10,887	\$3,697
Median Canada	\$37,056	\$23,869	\$24,000	\$5,901	\$5,150	\$0
Average NA	\$73,607	\$16,725	\$47,585	\$78,644	\$85,011	\$75,837
Median NA	\$16,454	\$6,681	\$11,500	\$8,145	\$11,626	\$17,424

JURISDICTION	17e 2011. Gifts	17e 2012. Gifts	17e 2013. Gifts	17f 2011. Miscellaneous	17f 2012. Miscellaneous	17f 2013. Miscellaneous
Alabama	\$0	\$0	\$0	\$0	\$0	\$0
Arizona	\$0	\$0	\$0	\$0	\$0	\$0
Arkansas	\$0	\$0	\$0	\$0	\$0	\$0
California				\$25,870	\$19,739	\$20,288
Colorado	\$100	\$7,873	\$0	\$0	\$1,323	\$0
Connecticut	\$0	\$0	\$0	\$49,450	\$24,472	\$68,257
Delaware				\$500,000	\$0	\$0
District of Columbi						
Florida	\$0	\$0	\$0	\$350,000	\$781,951	\$60,210
Hawaii	\$0	\$0	\$0	\$0	\$0	\$0
Idaho	\$0	\$0	\$0	\$0	\$0	\$0
Illinois	\$0	\$0	\$0	\$0	\$0	\$0
Indiana						
Iowa	\$0	\$0	\$0	\$1,506	\$125	\$300,135
Kansas	\$0	\$0	\$0	\$0	\$0	\$0
Kentucky	\$102			MISC REFUND		
Louisiana	\$0	\$0	\$0	\$300,000	\$412,500	\$450,000
Maine	\$4,000	\$0	\$0			
Maryland					\$258,680	\$274,256
Massachusetts						
Michigan	\$11,897	\$13,973	\$4,423	\$8,610	\$12,450	\$13,350
Minnesota	\$0	\$0	\$0	\$0	\$0	\$0
Mississippi						
Missouri						
Montana	\$0	\$0	\$0	\$0	\$0	\$0
Nebraska						
Nevada	\$6,600	\$6,000	\$4,600			
New Hampshire						
New Jersey	\$630	\$876	\$477	\$415,991	\$338,892	\$334,449
New Mexico	\$1,350	\$6,718	\$10,000	\$100	\$1,225,000	\$56,800
New York	\$2,275	\$2,975	\$1,400	\$84,862	\$79,535	\$108,529

JURISDICTION	17e 2011. Gifts	17e 2012. Gifts	17e 2013. Gifts	17f 2011. Miscellaneous	17f 2012. Miscellaneous	17f 2013. Miscellaneous
North Carolina						
North Dakota						
Ohio						
Oregon				\$11,269	\$22,928	\$17,781
Pennsylvania	\$0	\$0	\$0	\$67,893	\$56,272	\$232,713
Rhode Island						
South Carolina						
South Dakota	\$0	\$0	\$0	\$0	\$0	\$0
Tennessee						
Texas						
Vermont						
Virginia	\$0	\$0	\$0			
Washington	\$0	\$0	\$0			
West Virginia						
Wisconsin	\$0	\$0	\$0	\$0	\$0	
Alberta						
British Columbia						
Manitoba						
New Foundland	\$0	\$0	\$0	\$5,474	\$58	\$191
Nova Scotia						
PEI						
Average US	\$709	\$1,038	\$565	\$47,778	\$85,102	\$52,345
Median US	\$0	\$0	\$0	\$50	\$125	\$6,675
Average Canadian	\$0	\$0	\$0	\$1,825	\$19	\$64
Median Canadian	\$0	\$0	\$0	\$5,474	\$58	\$191
Average NA	\$674	\$985	\$536	\$45,526	\$80,848	\$49,666
Median NA	\$0	\$0	\$0	\$100	\$92	\$191

JURISDICTION	17f.1 2011 Misc. Details	17f.1 2012 Misc. Details	17f.1 2013 Misc. Details	17g 2011. Total Amount of Awards Paid	17g 2012. Total Amount of Awards Paid	17g 2013. Total Amount of Awards Paid
Alabama				\$203,695	\$166,537	\$151,171
Arizona				\$189,804	\$208,196	\$308,581
Arkansas				\$45,672	\$80,000	\$150
California	civil sanctions	civil sanctions	civil sanctions	\$7,819,854	\$6,870,643	\$11,054,352
Colorado		COLTAF proceeds		\$123,757	\$507,298	\$145,908
Connecticut	interest/court ordered payments	interest/court ordered payments	interest/court ordered payments	\$606,367	\$286,641	\$896,490
Delaware	Pro Hac Vice			\$9,045	\$0	\$1,717
District of Columbi				\$568,470	\$183,092	\$296,243
Florida	Court Ordered non restitution	Court Orderd non restitution	Court Ordered non restitution	\$2,730,119	\$2,462,167	\$2,280,900
Hawaii				\$77,555	\$16,500	\$147,318
Idaho				\$121,475	\$33,520	\$20,500
Illinois				\$1,006,013	\$986,770	\$2,016,669
Indiana						
Iowa			Includes \$300,000 reallocation from CLE	\$153,972	\$114,844	\$229,581
Kansas				\$5,965	\$230,535	\$372,251
Kentucky				\$180,657	\$381,553	\$234,661
Louisiana	LOCHEF	LOCHEF	LOCHEF	\$224,372	\$141,695	\$109,178
Maine				\$0	\$0	\$15,500
Maryland		late fees	late fees		\$437,935	\$571,467
Massachusetts				\$2,059,194	\$2,111,791	\$2,801,665
Michigan	Pro Hac Vice Fees	Pro Hac Vice Fees	Pro Hac Vice Fees	\$459,175	\$283,870	\$407,392
Minnesota				\$58,156	\$117,620	\$385,749
Mississippi						
Missouri				\$272,270	\$285,087	\$193,723
Montana				\$79,379	\$18,027	\$21,203
Nebraska				\$6,100	\$32,745	\$2,500
Nevada				\$176,773	\$272,112	\$372,544
New Hampshire						
New Jersey	late & reinstatement fees	late & reinstatement fees	late & reinstatement fees	\$2,612,344	\$2,236,109	\$2,838,036
New Mexico	Restitution	MCLE Excess Fund and Restitution	MCLE Excess Funds	\$24,927	\$69,560	\$117,337
New York	Judicial Sanctions	Judicial Sanctions	Judicial Sanctions	\$6,885,723	\$5,411,694	\$6,979,865

JURISDICTION	17f.1 2011 Misc. Details	17f.1 2012 Misc. Details	17f.1 2013 Misc. Details	17g 2011. Total Amount of Awards Paid	17g 2012. Total Amount of Awards Paid	17g 2013. Total Amount of Awards Paid
North Carolina				\$560,380	\$597,301	\$820,634
North Dakota					\$3,000	\$9,641
Ohio				\$1,259,463	\$929,994	\$1,142,572
Oregon				\$117,112	\$673,535	\$699,150
Pennsylvania	attorney escrow accounts where owners can not be determined			\$2,227,283	\$2,546,319	\$1,801,216
Rhode Island						
South Carolina						
South Dakota				\$0	\$0	\$0
Tennessee						
Texas				\$704,335	\$640,604	\$929,305
Vermont					\$6,964	
Virginia				\$228,140	\$648,902	\$325,078
Washington				\$1,002,683	\$326,800	\$416,870
West Virginia				\$14,570	\$22,300	not yet paid
Wisconsin				\$316,586	\$464,471	\$154,813
Alberta						
British Columbia				\$21,192	\$351,607	\$16,200
Manitoba				\$0	\$115,213	\$62,825
New Foundland	Bank Interest	Bank Interest	Bank Interest	\$0	\$0	\$0
Nova Scotia						
PEI				\$0	\$0	\$0
Average US				\$808,083	\$751,384	\$957,852
Median US				\$203,695	\$277,991	\$316,830
Average Canadian				\$67,556	\$186,258	\$46,768
Median Canadian				\$0	\$57,607	\$8,100
Average NA				\$736,724	\$694,968	\$874,466
Median NA				\$180,657	\$251,323	\$265,452

JURISDICTION	17h 2011. Fund Administration	17h 2012. Fund Administration	17h 2013. Fund Administration	17h.1 2011. Personnel Services	17h.1 2012. Personnel Services	17h.1 2013. Personnel Services
Alabama	\$0	\$0	\$0	\$31,777	\$91,682	\$111,880
Arizona	\$85,777	\$114,121	\$114,619			
Arkansas	\$0	\$0	\$0	\$0	\$0	\$0
California	\$1,850,983	\$1,785,058	\$2,304,197			
Colorado				\$72,069	\$71,279	\$74,622
Connecticut				\$443,554	\$395,097	\$470,625
Delaware						
District of Columbi						
Florida	\$287,461	\$242,216	\$219,030	\$50,527	\$53,722	\$61,414
Hawaii				\$75,000	\$75,000	\$75,000
Idaho	\$2,031	\$326	\$611	\$0	\$0	\$0
Illinois						
Indiana						
Iowa				\$192,698	\$204,392	\$210,315
Kansas	\$0	\$0	\$0	\$0	\$0	\$0
Kentucky				\$40,600	\$40,412	\$60,855
Louisiana						
Maine	\$5,450	\$5,197	\$5,185	\$4,628	\$2,118	\$3,780
Maryland		\$23,553	\$44,840		\$267,368	\$289,173
Massachusetts						
Michigan	\$178,823	\$180,439	\$179,686			
Minnesota				\$44,175	\$46,249	\$44,046
Mississippi						
Missouri						
Montana	\$25,600	\$23,952	\$26,422	\$17,850	\$17,850	\$18,792
Nebraska	\$4,892	\$4,892	\$4,969	\$1,800	\$1,800	\$1,800
Nevada	\$0	\$0	\$0	\$0	\$0	\$0
New Hampshire						
New Jersey	\$1,473,661	\$1,490,034	\$1,662,255	\$1,287,945	\$1,283,971	\$1,481,459
New Mexico	\$0	\$0	\$0	\$32,872	\$57,607	\$67,270
New York	\$831,256	\$792,684	\$923,827			

JURISDICTION	17h 2011. Fund Administration	17h 2012. Fund Administration	17h 2013. Fund Administration	17h.1 2011. Personnel Services	17h.1 2012. Personnel Services	17h.1 2013. Personnel Services
North Carolina				\$134,477	\$145,791	\$151,864
North Dakota						
Ohio	\$351,143	\$371,173	\$350,272			
Oregon				\$45,627	\$36,991	\$38,865
Pennsylvania	\$313,081	\$322,578	\$328,745	\$163,039	\$162,500	\$166,500
Rhode Island						
South Carolina						
South Dakota	\$0	\$0	\$0	\$0	\$0	\$0
Tennessee						
Texas						
Vermont						
Virginia	\$0	\$0	\$0	\$0	\$0	\$0
Washington	\$3,459	\$3,103	\$1,496	\$43,776	\$24,551	\$70,934
West Virginia	\$0			\$0		
Wisconsin	\$54,358	\$58,298	\$49,996			
Alberta						
British Columbia						
Manitoba						
New Foundland	\$0	\$0	\$0			
Nova Scotia						
PEI						
Average US	\$143,894	\$142,569	\$163,583	\$72,498	\$80,497	\$91,870
Median US	\$5,171	\$14,375	\$15,804	\$36,736	\$43,331	\$61,135
Average Canadian	\$18,119	\$19,433	\$16,665	\$0	\$0	\$0
Median Canadian	\$0	\$0	\$0			
Average NA	\$136,699	\$135,441	\$155,404	\$70,590	\$78,378	\$89,452
Median NA	\$4,892	\$5,197	\$5,185	\$36,736	\$43,331	\$61,135

JURISDICTION	17h.2 2011. Rent	17h.2 2012. Rent	17h.2 2013. Rent	17h.3 2011. Miscellaneous Administration	17h.3 2012. Miscellaneous Administration	17h.3 2013. Miscellaneous Administration
Alabama	\$0	\$0	\$0	\$0	\$0	\$0
Arizona						
Arkansas	\$0	\$0	\$0	\$3,200	\$2,363	\$3,612
California						
Colorado	\$0	\$0	\$0	\$1,951	\$1,013,976	\$6,471
Connecticut	\$0	\$0	\$0	\$118,977	\$123,234	\$109,655
Delaware						
District of Columbi						
Florida	\$14,734	\$14,915	\$14,865	\$222,200	\$173,579	\$142,751
Hawaii	\$0	\$0	\$0	\$15,735	\$12,874	\$16,722
Idaho	\$0	\$0	\$0	\$2,231	\$1,037	\$1,157
Illinois						
Indiana						
Iowa	\$7,344	\$7,344	\$7,344	\$76,441	\$65,570	\$46,153
Kansas	\$0	\$0	\$0	\$4,746	\$7,806	\$7,286
Kentucky				\$9,633	\$10,666	\$6,340
Louisiana						
Maine	\$0	\$0	\$0	\$4,339	\$4,049	\$5,136
Maryland					\$84,014	\$187,686
Massachusetts						
Michigan						
Minnesota	\$0	\$0	\$0	\$10,663	\$9,353	\$2,420
Mississippi						
Missouri						
Montana	\$2,315	\$1,507	\$2,879	\$5,435	\$4,595	\$4,751
Nebraska	\$1,042	\$1,042	\$1,042	\$2,050	\$2,050	\$2,127
Nevada	\$0	\$0	\$0	\$0	\$0	\$0
New Hampshire						
New Jersey	\$0	\$0	\$0	\$185,716	\$206,063	\$180,796
New Mexico	\$996	\$1,000	\$1,000	\$15,731	\$20,072	\$12,048
New York						

JURISDICTION	17h.2 2011. Rent	17h.2 2012. Rent	17h.2 2013. Rent	17h.3 2011. Miscellaneous Administration	17h.3 2012. Miscellaneous Administration	17h.3 2013. Miscellaneous Administration
North Carolina	\$15,620	\$16,400	\$17,220	\$14,395	\$18,557	\$16,875
North Dakota						
Ohio						
Oregon				\$17,943	\$19,446	\$25,442
Pennsylvania	\$37,107	\$37,528	\$37,326	\$368,417	\$326,560	\$317,927
Rhode Island						
South Carolina						
South Dakota	\$0	\$0	\$0	\$0	\$0	\$0
Tennessee						
Texas						
Vermont						
Virginia	\$0	\$0	\$0	\$0	\$0	\$0
Washington				\$14,288	\$14,894	\$13,719
West Virginia	\$0			\$0		
Wisconsin						
Alberta						
British Columbia						
Manitoba						
New Foundland				\$0	\$0	\$0
Nova Scotia						
PEI						
Average US	\$2,262	\$2,278	\$2,334	\$29,570	\$57,318	\$29,975
Median US	\$0	\$0	\$0	\$7,534	\$11,770	\$6,878
Average Canadian	\$0	\$0	\$0	\$0	\$0	\$0
Median Canadian				\$0	\$0	\$0
Average NA	\$2,199	\$2,215	\$2,269	\$28,054	\$54,378	\$28,438
Median NA	\$0	\$0	\$0	\$5,435	\$10,666	\$6,471

JURISDICTION	17h.4 2011. Total Administrative Costs	17h.4 2012. Total Administrative Costs	17h.4 2013. Total Administrative Costs	17i 2011. Miscellaneous Disbursements	17i 2012. Miscellaneous Disbursements	17i 2013. Miscellaneous Disbursements
Alabama	\$9,591	\$17,195	\$4,889	\$0	\$0	\$0
Arizona	\$85,777	\$114,121	\$114,619			
Arkansas	\$3,200	\$2,363	\$3,612	\$0	\$0	\$0
California						
Colorado	\$74,020	\$1,085,255	\$81,093			
Connecticut	\$562,531	\$518,331	\$580,279	\$205,002	\$210,000	\$210,000
Delaware						
District of Columbi						
Florida	\$287,461	\$242,216	\$219,030			
Hawaii	\$90,735	\$87,874	\$92	\$0	\$0	\$0
Idaho	\$4,262	\$1,363	\$1,768	\$0	\$0	\$0
Illinois	\$230,133	\$250,000	\$250,000			
Indiana						
Iowa	\$276,483	\$277,306	\$263,812	\$0	\$0	\$0
Kansas	\$4,746	\$7,806	\$7,286	\$0	\$0	\$0
Kentucky	\$50,233	\$51,078	\$67,195			
Louisiana				\$29,563	\$38,522	\$43,774
Maine	\$14,417	\$11,364	\$29,601	\$0	\$0	\$0
Maryland		\$933,739	\$1,093,437			
Massachusetts						
Michigan						
Minnesota	\$54,838	\$55,602	\$35,466	\$0	\$0	\$0
Mississippi						
Missouri						
Montana	\$25,600	\$23,952	\$26,422	\$0	\$0	\$0
Nebraska	\$4,892	\$4,892	\$4,969			
Nevada	\$0	\$0	\$0			
New Hampshire						
New Jersey	\$1,473,661	\$1,490,034	\$1,662,255	\$0	\$0	\$0
New Mexico	\$74,526	\$78,679	\$80,318	\$0	\$0	\$0
New York						

JURISDICTION	17h.4 2011. Total Administrative Costs	17h.4 2012. Total Administrative Costs	17h.4 2013. Total Administrative Costs	17i 2011. Miscellaneous Disbursements	17i 2012. Miscellaneous Disbursements	17i 2013. Miscellaneous Disbursements
North Carolina	\$164,492	\$180,748	\$185,958			
North Dakota	\$853	\$3,356	\$939			
Ohio	\$351,143	\$371,173	\$350,272			
Oregon						
Pennsylvania	\$568,563	\$526,588	\$521,753			
Rhode Island						
South Carolina						
South Dakota	\$0	\$0	\$0	\$0	\$0	\$0
Tennessee						
Texas						
Vermont						
Virginia	\$0	\$0	\$0	\$2,283	\$2,096	\$2,199
Washington	\$61,523	\$42,548	\$86,149			
West Virginia	\$0			\$0		
Wisconsin						
Alberta						
British Columbia						
Manitoba						
New Foundland	\$0	\$0	\$0	\$0	\$0	\$0
Nova Scotia						
PEI						
Average US	\$120,910	\$172,367	\$153,276	\$6,767	\$7,161	\$7,314
Median US	\$54,838	\$55,602	\$67,195	\$0	\$0	\$0
Average Canada	\$0	\$0	\$0	\$0	\$0	\$0
Median Canada	\$0	\$0	\$0	\$0	\$0	\$0
Average NA	\$114,710	\$163,528	\$145,416	\$6,401	\$6,773	\$6,918
Median NA	\$52,536	\$53,340	\$51,331	\$0	\$0	\$0

JURISDICTION	17j 2011. Ending Balance	17 .2012. Ending Balance	17j 2013. Ending Balance
Alabama	\$1,787,783	\$2,162,183	\$2,467,352
Arizona	\$3,190,193	\$3,081,164	\$2,920,132
Arkansas	\$538,039	\$634,981	\$815,117
California	\$11,921,822	\$11,105,023	\$5,575,541
Colorado	\$3,536,069	\$3,065,648	\$3,854,754
Connecticut	\$12,340,388	\$14,629,852	\$15,416,537
Delaware	\$5,182,583	\$5,190,825	\$4,958,707
District of Columbi	\$131,705	\$566,998	\$455,586
Florida	\$4,155,200	\$4,094,310	\$4,375,324
Hawaii	\$490,123	\$387,730	\$262,816
Idaho	\$538,715	\$617,957	\$714,131
Illinois	\$2,911,738	\$3,602,244	\$3,118,215
Indiana			
Iowa	\$808,504	\$544,720	\$454,602
Kansas	\$3,060,450	\$3,834,182	\$3,516,895
Kentucky	\$1,246,141	\$676,584	\$603,761
Louisiana	\$1,943,940	\$2,243,224	\$2,601,250
Maine	\$112,271	\$135,908	\$77,755
Maryland		\$9,658,877	\$9,723,023
Massachusetts	\$5,893,924	\$5,749,472	\$4,864,690
Michigan	\$2,168,624	\$2,337,719	\$2,419,173
Minnesota	\$3,477,125	\$3,715,292	\$3,649,400
Mississippi			
Missouri	\$211.721.16	\$145,614	\$200,191
Montana	\$806,764	\$874,532	\$972,298
Nebraska	\$544,431	\$540,527	\$542,281
Nevada			
New Hampshire			
New Jersey	\$923,446	\$1,309,543	\$874,898
New Mexico	\$409,553	\$483,927	\$461,900
New York	\$3,800,000	\$8,400,000	\$7,300,000

JURISDICTION	17j 2011. Ending Balance	17 .2012. Ending Balance	17j 2013. Ending Balance

North Carolina	\$1,701,181	\$1,668,369	\$1,390,739
North Dakota	\$255,705	\$292,724	\$328,298
Ohio	\$825,363	\$1,109,035	\$1,427,250
Oregon	\$607,132	\$123,493	\$50,801
Pennsylvania	\$11,140,223	\$10,036,416	\$11,539,573
Rhode Island			
South Carolina			
South Dakota	\$95,000	\$95,000	\$95,000
Tennessee			
Texas			
Vermont	\$447,010	\$514,204	\$563,386
Virginia	\$5,440,435	\$5,881,594	\$6,452,707
Washington	\$261,320	\$791,401	\$1,213,603
West Virginia	\$65,430	\$57,700	\$80,000
Wisconsin	\$125,798	\$136,509	\$349,381
Alberta			
British Columbia	\$17.5 million	\$17.5 million	\$17.5 million
Manitoba	\$1,461,461	\$695,190	\$789,134
New Foundland	\$982,604	\$1,004,397	\$1,073,710
Nova Scotia			
PEI	\$603,198	\$671,768	\$717,285
Average US	\$2,265,467	\$2,695,012	\$2,602,124
Median US	\$1,084,794	\$1,209,289	\$1,302,171
Average Canadian	\$634,612	\$501,573	\$585,902
Median Canadian	\$982,604	\$695,190	\$789,134
Average NA	\$2,131,809	\$2,508,152	\$2,428,160
Median NA	\$982,604	\$1,004,397	\$1,073,710

JURISDICTION	18. Claims Paid if Rules Require	19a Claimant / Lawyer Client Requirement	19b Any Claims or Claimants Not Paid

Alabama

Trustee discretion governs

Yes

Yes

Arizona

Trustee discretion governs

Yes

Yes

Arkansas

Trustee discretion governs

No

Yes

California

Trustee discretion governs

No

Yes

Colorado

Trustee discretion governs

No

Yes

Connecticut

No

Yes

Yes

Delaware

No

Yes

Yes

District of Columbia

No

No

Yes

JURISDICTION	18. Claims Paid if Rules Require	19a Claimant / Lawyer Client Requirement	19b Any Claims or Claimants Not Paid

Florida	No	Yes	Yes
Hawaii	Yes	Yes	Yes
Idaho	No	Yes	Yes
Illinois	Trustee discretion governs	No	Yes
Indiana	Yes	Yes	Yes
Iowa	Trustee discretion governs	Yes	No
Kansas	Trustee discretion governs	Yes	
Kentucky	Trustee discretion governs	Yes	Yes
Louisiana	Trustee discretion governs	Yes	Yes
Maine	No	Yes	
Maryland	No	No	Yes

JURISDICTION	18. Claims Paid if Rules Require	19a Claimant / Lawyer Client Requirement	19b Any Claims or Claimants Not Paid

Massachusetts	Yes	No	No
Michigan	Trustee discretion governs	No	Yes
Minnesota	No	No	No
Mississippi			
Missouri	No	No	Yes
Montana	Trustee discretion governs	No	Yes
Nebraska	Trustee discretion governs	Yes	No
Nevada	No	Yes	Yes
New Hampshire			
New Jersey	Trustee discretion governs	Yes	Yes
New Mexico	No	No	No
New York	Trustee discretion governs	Yes	Yes
North Carolina	Trustee discretion governs	No	Yes
North Dakota	No	Yes	

JURISDICTION	18. Claims Paid if Rules Require	19a Claimant / Lawyer Client Requirement	19b Any Claims or Claimants Not Paid
Ohio	No	Yes	Yes
Oregon	Trustee discretion governs	No	Yes
Pennsylvania	Trustee discretion governs	No	Yes
Rhode Island	Trustee discretion governs	Yes	
South Carolina	No	Yes	
South Dakota	No	Yes	Yes
Tennessee			
Texas	No	Yes	
Vermont	Trustee discretion governs	Yes	
Virginia	No	No	Yes
Washington	No	No	Yes
West Virginia	Trustee discretion governs	Yes	No
Wisconsin	Yes	No	
Alberta	No	Yes	
British Columbia	Yes	No	Yes
Manitoba	Trustee discretion governs	Yes	No

JURISDICTION	18. Claims Paid if Rules Require	19a Claimant / Lawyer Client Requirement	19b Any Claims or Claimants Not Paid

New Foundland	Yes	Yes	Yes

Nova Scotia	Yes	No	No

PEI	Trustee discretion governs	No	Yes

JURISDICTION**19c Other Claimant Standing / Types of Claims That Are Not Compensated.**

Alabama	losses of a family member, losses covered by any bond or insurance contract, certain losses of any financial institution, losses incurred by any business entity controlled by the lawyer, losses incurred by governmental agencies, losses arising from business or personal investments, losses that are recoverable from some other source, losses barred under any applicable statute of limitations
Arizona	The following losses shall not be reimbursable: 1) claims based solely upon negligence, incompetence or malpractice by a lawyer; 2) money lost by a claimant that was given to a lawyer for investment or any other purpose that did not arise from the client-lawyer relationship; 3) claims requesting compensation for interest, legal fees paid to other lawyers, damages, or other expenses; and 4) disputes regarding the reasonableness of a fee. The following claimants shall not be eligible for reimbursement: 1) the spouse (present or former), child, parent, grandchild, grandparent, or sibling of the lawyer, whether by blood or marriage; 2) partners, associates, co-shareholders, or employees of the lawyer; 3) any insurer, surety, or bonding agency or company which seeks reimbursement for payment made under an insurance or surety contract or bond covering the risk involved in the lawyer's dishonest conduct; 4) any business entity controlled by the lawyer or person described in paragraphs F.1 or 2 above; 5) any governmental entity or agency; 6) medical providers or other third parties with claims against the lawyer pursuant to law; or 7) any business entity.
Arkansas	The Fund is permitted to pay out to claimants who can establish a fiduciary relationship between the claimant and the lawyer.
California	19a. Lawyer acting in fiduciary capacity customary to the practice of law eg. holding funds for both parties in marital dissolution 19b Excluded apps include spouse/domestic partner/co-habitant, close family relations, current/former business partners/employees, employer, insurers/sureties, assignee/lienholder/creditor, governmental agency. Losses not compensated include malpractice/negligence, consequential losses, interest.
Colorado	19(a) - attorney client relation OR court-appointed fiduciary relationship. 19(b) - losses by spouses, children, parents, grandparents, siblings, partners, associates, and employees; losses covered by bond, surety or insurance contract to the extent of the coverage; losses recoverable under a "banker's blanket bond"; losses incurred by any biz entity controlled by the attorney: losses incurred by a govt. agency; losses arising from activities of an attorney not having an office in CO where those activities do not have substantial contacts with CO; interest on the loss or any type of consequential damages or punitive damages or costs.
Connecticut	close family members, partners, associates and employees of the lawyer causing the loss
Delaware	The spouse, law partner, attorney stockholder in a professional corporation, associate or conspirator of any defaulting attorney shall not have any right to file claims for reimbursement from the Fund.
District of Columbia	The following are ineligible to apply to the Fund for reimbursement of losses: 1. The spouse or other close relative, partner, associate or employee of the lawyer causing the loss, or; 2. An insurer, surety or bonding agency or company, or 3. Any business entity controlled by (a) the lawyer, (b) any person described in paragraph 1. hereof, or (c) any entity described in paragraph 2 hereof or in turn controlled by the lawyer or a person or entity described in paragraphs 1 or 2 hereof, or 4. A governmental entity or agency.

JURISDICTION**19c Other Claimant Standing / Types of Claims That Are Not Compensated.**

Florida	Family members, partners, publically traded companies, insurance companies, governmental agencies, institutiol lenders.
Hawaii	Losses of a spouse, child, parents, siblings, partners, associates, employers, employees, business entities or trusts owned by respondent; losses covered by a bond; and losses of any ficial institution.
Idaho	Family members, losses covered by a bond or surety, and a loss of ficial institution recoverable under"bankers blanket bond" or similar insurance
Illinois	a. Fiduciary relationship with lawyer, related to lawyer's practice of law. b. Spouses, children, parents, grandparents, siblings, partners, associates, and employees of lawyer.
Indiana	
Iowa	
Kansas	
Kentucky	As outlined in Kentucky Supreme Court Rule 3.820-(10)(d): Negligent act of malpractice; losses incurred by family members, partners, associates, and employees; losses covered by bond, surety agreement, or insurance; losses incurred by any ficial institution recoverable under a 'banker's blanket bond' or similar contract; losses incurred by any business entity controlled by the lawyer; losses incurred by any governmental entity or agency.
Louisiana	The Fund only reimburses for dishonest acts and does not make awards for malpractice. Spouses, relatives, partners,associates, and employees of the lawyers are excluded.
Maine	Exclusions. Except as provided by subdivision (e) of this rule, the following losses shall not be reimbursable: 1. Losses incurred by spouses, children, parents, grandparents, siblings, partners, associates and employees of the lawyer or lawyers causing the losses; 2. Losses covered by any bond, surety agreement, or insurance contract to the extent covered thereby; 3. Losses to which any bonding agent, surety or insurer is subrogated, to the extent of that subrogated interest; 4. Losses incurred by any ficial institution or insurance company; 5. Losses incurred by any business entity controlled by the lawyer or by any person or entity described in paragraphs (1), (2), or (3) of this subdivision; 6. Losses incurred by any governmental entity or agency; 7. Losses arising from the activities of a lawyer not having an office or residence in Maine, where those activities do not have substantial contacts with Maine. 8. Allowance of Excluded Claims for Hardship or Unusual Circumstances. In cases of hardship or special and unusual circumstances, the Trustees may, in their discretion, recognize or accelerate payment of a claim which would otherwise be excluded under these Rules. 9. Denial of Claims for Unjust Enrichment or Similar Circumstances. In cases where it appears that claimant will be unjustly enriched, or the claimant is deemed to have suffered no hardship, or the claimant has unreasonably or knowingly contributed to the loss, the Trustees may deny all or any part of the claim.
Maryland	no entity that has over 10 employees

JURISDICTION**19c Other Claimant Standing / Types of Claims That Are Not Compensated.**

Massachusetts	Claimant must be either a law client of lawyer or one to whom the laweyr owed a fiduciary duty. Ex. lawyer holds real estate proceeds in escrow pending the completion of a condition. The escrow funds may be due in part to client but also a third party or the other part to the transaction.
Michigan	Claimant can be the payee of the retainer; however, the client must participate and sign the subroation agreement as well as payee. Additiolly, individuals such as Persol Representatives, Guardians, and Trustees can file on behalf of wards/estates.
Minnesota	
Mississippi	
Missouri	A claimant may not include a surety, indemnitor, subrogee, or creditor of the injured client. Also, a claim may not be recognized if the claimant is the spouse, ancestor or lineal descendant, partner, associate, attorney employer or attorney employee of the attorney against whom the complaint was made.
Montana	19a: the loss must have occurred in connection with the lawyer's practice of law or while the lawyer was acting as a fiduciary in a matter related to the lawyer's practice of law. Most claimants were clients, but it is not required. 19b: excluded losses include those incurred by spouses, children, parents, grandparents, siblings, partners, associates, employees, business entities controlled by the lawyer, and governmental entities; losses covered by a bond or insurance; losses arising from a lawyer's business or persol investments not arising in the course of a client-lawyer relationship; consequential or incidental damages such as lost interest and lawyer's fees/costs incurred in seeking recovery of the loss; and claims that would result in unjust enrichment of the applicant, or where it appears that the applicant unreasonably/nowingly contributed to the loss.
Nebraska	
Nevada	Third party lien holders may not file claims; however, the Fund may make reimbursement requested by the client to lien holders.
New Hampshire	
New Jersey	Claims involving fee disputes, unfortute or ill-advised investments placed through attorneys, and professiol negligence or malpractice are not compensable. Claimants must show dishonest conduct: that the respondent attorney received funds and misappropriated them in the course of an attorney/client relationship or took and kept a retainer despite knowing that services could not or would not be performed.
New Mexico	
New York	Fincial Institutions or Business Organizations with 20 or more employees; Other governmental entities; Bankrupt claimants/estates where award will not benefit debtor.
North Carolina	19a. Fiduciary relationship between attorney and applicant customary to the practice of law 19b. (A) Losses of spouses, parents, grandparents, children and siblings; partners, associates or employees (B) losses covered by bond or insurance (C)losses of business entities in which the attorney is a principal (D) losses, reimbursement for which has been received from or paid by or on behalf of the attorney (E) losses arising in investment transactions in which no contemporaraneous attorney-client relationship
North Dakota	

JURISDICTION**19c Other Claimant Standing / Types of Claims That Are Not Compensated.**

Ohio	Claims made by certain family members, employees or employers of the attorney, or a business entity controlled by the attorney; claims by governmental units.
Oregon	19a. at the time of the loss, the lawyer was acting as a fiduciary in connection with the lawyer's practice of law 19b. •losses that are the result of lawyer negligence or malpractice; •losses from business or investment relationships between lawyer and client, including loans to the lawyer; •damages resulting from the lawyer's dishonesty (other than the value of the lost money or property), ; •Interest on the misappropriated money or property; •attorney fees or costs incurred to obtain a judgment from the lawyer or otherwise attempt recovery of the misappropriated money or property; •losses covered by an insurance or surety contract or bond.
Pennsylvania	spouse, other close relative, partner, associate, employee, employer, insurer, surety or bonding agency, government unit, financial institution, business organization with 20 or more employees, investment not arising from an attorney-client relationship
Rhode Island	
South Carolina	1031 exchanges, lawyer suspended for administrative reasons, no misconduct on attorney's part, if client has "unclean hands", lawyers was not actively practicing law at time of misconduct
South Dakota	we treat the fund as a "widows and orphans" fund. Thus, corporate entities or wealthy charities are not compensated.
Tennessee	
Texas	
Vermont	
Virginia	losses claimed by spouses, other close relatives, partners, associates and employees of lawyers causing loss; losses covered by insurance or bonds; losses of financial institutions covered by insurance or surety contract; losses by business entity controlled by lawyer causing loss; losses incurred by government entity; losses caused by loan or investment with lawyer; losses for cause of action that never resulted in a settlement or judgment; and claims for interest, late fees, penalties, surcharges or consequential damages
Washington	19(a) loss must arise from lawyer-client relationship or fiduciary relationship in matter directly related to lawyer's practice of law. May include investments. 19(b) related persons, law partners, associated lawyers, losses covered by bonds, surety agreements, losses by financial institutions covered by bonds or insurance, losses by business entities controlled by the lawyer, losses by governmental agencies, consequential damages.
West Virginia	
Wisconsin	
Alberta	
British Columbia	19a. The claimant does not have to provide specific capacity, but the lawyer must have received the money or other property in his or her capacity as a lawyer. 19b. Family members and any claim arising from or in connection with an investment (except for any part of the investment funds that are diverted by the lawyer to any party, including the lawyer, not contemplated by the terms of the investment).
Manitoba	beneficiary of an estate, client whose funds are sent in trust to opposing counsel and then misappropriated are possible payees

JURISDICTION**19c Other Claimant Standing / Types of Claims That Are Not Compensated.**

New Foundland	Exclusions: A loss sustained by a person who committed, participated in committing, consenting to expressly or implicitly, acquiesced in the misappropriation or wrongful conversion or was reckless or willfully blind to the misappropriation, wrongful conversion or dishonesty of the member; A Loss sustained by a person, or persons who had unlawfully obtained the money, securities or property that were the subject of the occurrence.
Nova Scotia	fiduciary
PEI	a. Former members, partners, or associates in the member's firm should be absolutely barred from recovery from the fund. Except in the most unusual circumstances, the Council should not recommend payment of claims to a spouse or the children of a member. b. The Council of the Law Society should not recommend compensation to a corporation, estate or trust, but should accept applications from individuals who have suffered a loss and who are beneficially entitled to a claim against or interest in the corporation, estate or trust. The principal purpose for the fund is to reimburse those in need of assistance.

JURISDICTION	20a. Fund Covered By Insurance	20a.1 Amount of Premium	20b. Fund Covered By Reinsurance	20b.1 Amount of Premium	20c. Fund Covered By Fidelity Bond	20c.1 Amount of Premium
Alabama	No		No		No	
Arizona	Yes	\$3,141				
Arkansas	No		No		No	
California	No		No		No	
Colorado	No		No		No	
Connecticut	No		No		No	
Delaware	No		No		No	
District of Columbia	No		No		No	

JURISDICTION	20a. Fund Covered By Insurance	20a.1 Amount of Premium	20b. Fund Covered By Reinsurance	20b.1 Amount of Premium	20c. Fund Covered By Fidelity Bond	20c.1 Amount of Premium
Florida	No		No		No	
Hawaii	No		No		Yes	\$458
Idaho	No		No		No	
Illinois	No		No		No	
Indiana						
Iowa					Yes	Included in general liability policy
Kansas	No		No		No	
Kentucky	No		No		No	
Louisiana	No		No		No	
Maine	Yes					
Maryland	No		No		No	

JURISDICTION	20a. Fund Covered By Insurance	20a.1 Amount of Premium	20b. Fund Covered By Reinsurance	20b.1 Amount of Premium	20c. Fund Covered By Fidelity Bond	20c.1 Amount of Premium
Massachusetts	No		No		No	
Michigan	No		No		No	
Minnesota	No		No		No	
Mississippi						
Missouri	No		No		No	
Montana	No		No		No	
Nebraska					Yes	\$756
Nevada	No		No		No	
New Hampshire						
New Jersey	No		No		Yes	for directors and officers
New Mexico	No		No		No	
New York	No		No		No	
North Carolina	No		No		No	
North Dakota	No		No		No	

JURISDICTION	20a. Fund Covered By Insurance	20a.1 Amount of Premium	20b. Fund Covered By Reinsurance	20b.1 Amount of Premium	20c. Fund Covered By Fidelity Bond	20c.1 Amount of Premium
Ohio	No		No		Yes	\$500,000
Oregon	No		No		No	
Pennsylvania	No		No		No	
Rhode Island	No		No		No	
South Carolina	No		No		No	
South Dakota	No		No		No	
Tennessee						
Texas	No		No		No	
Vermont	No		No		No	
Virginia	No		No		No	
Washington	No		No		No	
West Virginia	No		No		No	
Wisconsin	No		No		No	
Alberta	Yes		Yes		No	
British Columbia	Yes		No		No	
Manitoba	Yes	\$139,314				

JURISDICTION	20a. Fund Covered By Insurance	20a.1 Amount of Premium	20b. Fund Covered By Reinsurance	20b.1 Amount of Premium	20c. Fund Covered By Fidelity Bond	20c.1 Amount of Premium
New Foundland	Yes	\$52,425				
Nova Scotia	Yes					
PEI	Yes	\$100				

JURISDICTION	21a 2011 Number of Claims Pending at Beginning of Year	21a 2012 Number of Claims Pending at Beginning of Year	21a 2013 Number of Claims Pending at Beginning of Year	21b 2011 Number of new claims received	21b 2012 Number of new claims received	21b 2013 Number of new claims received
Alabama	355	325	319	132	132	148
Arizona				69	111	92
Arkansas	8	6	1	23	11	6
California	6112	7345	7801	3411	2767	2228
Colorado				554		
Connecticut	61	48	57	63	79	55
Delaware	1	2	3	5	3	7
District of Colum	28	10	6	92	42	37
Florida	1207	427	322	372	172	186
Hawaii	64	28	24	15	10	9
Idaho	11	12	7	27	20	19
Illinois				184	350	256
Indiana						
Iowa	29	20	12	33	15	44
Kansas	23	66	8	66	33	36
Kentucky	46	58	40	78	83	57
Louisiana	69	53	47	82	69	92
Maine						
Maryland	133	114	152	90	115	121
Massachusetts	82	91	63	106	85	108
Michigan	138	209	174	141	91	87
Minnesota	73	103	94	39	66	34
Mississippi						
Missouri				91	102	75
Montana	14	4	6	26	12	12
Nebraska	0	0	6	11	17	14
Nevada	108	34	33	82	93	71
New Hampshire						
New Jersey	105	135	185	181	175	162
New Mexico	0	4	31	19	70	49
New York	852	883	827	601	469	542
North Carolina				154	156	84
North Dakota					1	5
Ohio	398	415	353	329	237	284
Oregon				29	116	52
Pennsylvania	144	141	210	193	245	312
Rhode Island	7	4	0	11	4	2
South Carolina	9			136		
South Dakota	0	0	0	0	0	0
Tennessee						
Texas				208	150	165
Vermont	3	2	0			
Virginia	179	35	25	73	66	79
Washington	120	141	124	192	137	130
West Virginia	0	0	0	28	23	9
Wisconsin	9	12	10	70	49	71

JURISDICTION	21a 2011 Number of Claims Pending at Beginning of Year	21a 2012 Number of Claims Pending at Beginning of Year	21a 2013 Number of Claims Pending at Beginning of Year	21b 2011 Number of new claims received	21b 2012 Number of new claims received	21b 2013 Number of new claims received
Alberta	196	189	195	23	83	50
British Columbia	40	39	36	13	12	5
Manitoba	0	12	12	0	0	12
New Foundland	0	0	0	0	0	0
Nova Scotia						
PEI	0	0	0	0	0	0

Average US	253	262	267	196	156	140
Median US	61	42	32	82	81	71
Average Canada	47	48	49	7	19	13
Median Canada	0	12	12	0	0	5
Average NA	231	238	243	175	141	126
Median NA	43	35	31	72	70	55

JURISDICTION	21c 2011 Number of claims approved	21c 2012 Number of claims approved	21c 2013 Number of claims approved	21c.1 2011 Number of lawyers involved in claims approved	21c.1 2012 Number of lawyers involved in claims approved	21c.1 2013 Number of lawyers involved in claims approved
Alabama	84	94	110	24	18	20
Arizona	18	35	60	15	17	25
Arkansas	5	2	1	5	2	1
California	1534	1466	1999	156	171	273
Colorado						
Connecticut	23	23	15	14	12	11
Delaware	1	0	2	1	0	1
District of Colum	96	31	29	12	12	12
Florida	1044	120	49	54	40	31
Hawaii	35	3	10	16	11	6
Idaho	15	14	2	4	7	1
Illinois	69	70	247	38	34	38
Indiana						
Iowa	18	1	17	9	1	4
Kansas	8	63	22			
Kentucky	34	60	31	13	12	13
Louisiana	39	30	21	22	13	21
Maine						
Maryland	97	64	36	16	19	31
Massachusetts	63	86	69	33	43	40
Michigan	53	85	56	21	20	32
Minnesota	22	21	27	7	10	8
Mississippi						
Missouri	60	59	59	21	24	26
Montana	31	8	5	6	2	4
Nebraska	4	11	1	4	1	
Nevada	104	67	59	18	15	10
New Hampshire						
New Jersey	118	80	106			43
New Mexico	13	27	30	6	6	11
New York	253	187	218	46	60	68
North Carolina	29	50	80			
North Dakota		1	5		1	1
Ohio	136	175	168	71	60	55
Oregon	14	93	24	9	10	9
Pennsylvania	131	92	100	56	48	41
Rhode Island	8	5	1	6	3	1
South Carolina						
South Dakota	0	0	0	0	0	0
Tennessee						
Texas	91	98	109			66
Vermont		1	2		1	1
Virginia	168	47	34	11	25	17
Washington	72	39	45	14	17	18
West Virginia	6	8	pending	6	5	pending
Wisconsin	40	31	48	15	16	17

JURISDICTION	21c 2011 Number of claims approved	21c 2012 Number of claims approved	21c 2013 Number of claims approved	21c.1 2011 Number of lawyers involved in claims approved	21c.1 2012 Number of lawyers involved in claims approved	21c.1 2013 Number of lawyers involved in claims approved
Alberta	9	8	14	7	6	11
British Columbia	4	8	5	3	4	2
Manitoba	6	7	0	3	1	0
New Foundland	0	0	0			
Nova Scotia						
PEI	0	0	0	0	0	0

Average US	111	82	95	19	18	23
Median US	39	39	33	14	12	17
Average Canada	4	5	4	3	2	3
Median Canada	4	7	0	3	3	1
Average NA	99	73	85	17	17	21
Median NA	33	31	29	13	12	12

JURISDICTION	21d 2011 Number of claims disapproved	21d 2012 Number of claims disapproved	21d 2013 Number of claims disapproved	21d.1 2011 Outside statute of limitations	21d.1 2012 Outside statute of limitations	21d.1 2013 Outside statute of limitations
Alabama	67	60	105	0	2	10
Arizona	43	54	49	0	2	1
Arkansas	20	14	5			
California	644	845	1688			
Colorado						
Connecticut	53	47	56			
Delaware	3	2	5	0	0	0
District of Colum	14	15	9			
Florida	108	157	71			
Hawaii	16	11	4	0	0	0
Idaho	11	9	12	0	2	0
Illinois	96	124	91			
Indiana						
Iowa	24	22	23	3	2	2
Kansas	15	28	12			
Kentucky	30	34	24	3	8	5
Louisiana	30	32	26			
Maine						
Maryland	126	13	67			
Massachusetts	34	27	14			
Michigan	28	26	31	4	4	1
Minnesota	14	22	25			
Mississippi						
Missouri	24	26	21			
Montana	5	2	8	0	0	2
Nebraska	6	4	4			
Nevada	52	27	13			
New Hampshire						
New Jersey						
New Mexico	13	39	33	0	0	0
New York	310	338	337			
North Carolina	47	39	73			
North Dakota						
Ohio	61	45	45		1	
Oregon	10	23	19			
Pennsylvania	47	68	48	0	0	0
Rhode Island	7	3	0			
South Carolina						
South Dakota	0	0	0	0	0	0
Tennessee						
Texas	38	33	23			
Vermont						
Virginia	26	22	13			
Washington	129	74	115			
West Virginia	22	17	pending			
Wisconsin	45	28	28	0	0	0

JURISDICTION	21d 2011 Number of claims disapproved	21d 2012 Number of claims disapproved	21d 2013 Number of claims disapproved	21d.1 2011 Outside statute of limitations	21d.1 2012 Outside statute of limitations	21d.1 2013 Outside statute of limitations
Alberta	20	69	39	0	0	0
British Columbia	8	3	9			
Manitoba	18	2	0	0	0	0
New Foundland	0	0	0			
Nova Scotia						
PEI	0	0	0	0	0	0

Average US	55	58	77	0	1	1
Median US	29	27	24	0	1	0
Average Canada	9	15	10	0	0	0
Median Canada	8	2	0	0	0	0
Average NA	50	53	70	0	1	1
Median NA	24	26	23	0	0	0

JURISDICTION	21d.2 2011 Not compensable	21d.2 2012 Not compensable	21d.2 2013 Not compensable	21d.3 2011 Other	21d.3 2012 Other	21d.3 2013 Other
Alabama	10	4	22	57	54	73
Arizona	43	52	48			
Arkansas	20	14	5			
California						
Colorado						
Connecticut						
Delaware	3	2	5	0	0	0
District of Colum	14	15	9			
Florida						
Hawaii	15	11	4	1	1	1
Idaho	11	7	13	0	0	0
Illinois						
Indiana						
Iowa	12	6	11	13	3	6
Kansas	15	28	12			
Kentucky	25	26	19	2	0	0
Louisiana						
Maine						
Maryland	122	10	60	4	3	7
Massachusetts				34	27	14
Michigan	24	32	30			
Minnesota						
Mississippi						
Missouri						
Montana	5	1	5	0	1	1
Nebraska	6	4	4	1		
Nevada						
New Hampshire						
New Jersey						
New Mexico	11	16	26	2	23	7
New York						
North Carolina						
North Dakota						
Ohio	29	28	27	32	16	18
Oregon	5	12	3	5	17	16
Pennsylvania	47	68	48	0	0	0
Rhode Island	7	3	1			
South Carolina						
South Dakota	0	0	0	0	0	0
Tennessee						
Texas						
Vermont						
Virginia						
Washington						
West Virginia						
Wisconsin	45	28	28	0	0	

JURISDICTION	21d.2 2011 Not compensable	21d.2 2012 Not compensable	21d.2 2013 Not compensable	21d.3 2011 Other	21d.3 2012 Other	21d.3 2013 Other
Alberta	13	65	37	7	4	2
British Columbia	8	3	9			
Manitoba	0	1	0	18	1	0
New Foundland						
Nova Scotia						
PEI	0	0	0	0	0	0

Average US	12	10	10	4	4	4
Median US	14	12	12	2	1	4
Average Canada	4	14	9	5	1	0
Median Canada	4	2	5	7	1	0
Average NA	11	10	10	4	3	3
Median NA	12	11	11	2	1	1

JURISDICTION	21e 2011 Number of claims pending at end of year	21e 2012 Number of claims pending at end of year	21e 2013Number of claims pending at end of year	22a 2011 Total amount of reimbursement requested in new claims received	22a 2012 Total amount of reimbursement requested in new claims received	22a 2013 Total amount of reimbursement requested in new claims received
Alabama	336	303	252	\$1,052,416	\$1,165,267	\$2,518,785
Arizona				\$263,845	\$317,587	\$394,339
Arkansas	6	1	1	\$504,553	\$147,598	\$189,422
California	7345	7801	6342	\$26,086,578	\$25,112,748	\$24,205,413
Colorado						
Connecticut	48	57	41	\$3,106,874	\$3,045,210	\$4,957,920
Delaware	2	3	3	\$32,650	\$14,403	\$124,561
District of Colum	10	6	5	\$1,197,494	\$787,146	\$1,247,536
Florida	427	322	388	\$28,597,512	\$17,998,958	\$11,113,512
Hawaii	28	24	19	\$1,052,621	\$255,684	\$208,795
Idaho	12	7	12	\$464,966	\$73,409	\$242,712
Illinois						
Indiana						
Iowa	20	12	16	\$367,058	\$1,376,517	\$1,714,424
Kansas	66	8	10	\$233,213	\$789,208	\$674,556
Kentucky	58	40	42	\$791,459	\$1,074,160	\$2,290,627
Louisiana	53	47	60	\$2,428,563	\$1,200,293	\$1,082,765
Maine						
Maryland	114	153	170			
Massachusetts	91	63	100	\$2,059,194	\$2,111,791	\$2,801,665
Michigan	209	174	140	\$1,635,064	\$732,783	\$2,532,847
Minnesota	37	60	42			
Mississippi						
Missouri						
Montana	4	6	5	\$72,394	\$777,270	\$155,939
Nebraska	0	6	5	\$20,500	\$214,250	\$319,901
Nevada	34	33	33	\$1,520,000	\$1,320,000	\$1,345,000
New Hampshire						
New Jersey	135	185	144	\$19,177,128	\$51,396,806	\$15,827,543
New Mexico	4	31	4	\$49,911	\$368,431	\$184,445
New York	883	827	814	51.8M	\$41,800,000	\$53,200,000
North Carolina			39			
North Dakota						
Ohio	415	353	344	\$4,390,666	\$2,696,912	\$4,444,464
Oregon				\$557,696	\$1,984,151	\$339,835
Pennsylvania	141	210	350	\$7,261,024	\$17,738,854	\$36,551,547
Rhode Island	4	0	1			
South Carolina						
South Dakota	0	0	0	\$0	\$0	\$0
Tennessee						
Texas						
Vermont						
Virginia	35	25	40	\$570,755	\$1,772,821	\$802,151
Washington	89	141	124			
West Virginia	0	0	9	\$390,375	\$65,505	\$61,156
Wisconsin	7	5	5			

JURISDICTION	21e 2011 Number of claims pending at end of year	21e 2012 Number of claims pending at end of year	21e 2013Number of claims pending at end of year	22a 2011 Total amount of reimbursement requested in new claims received	22a 2012 Total amount of reimbursement requested in new claims received	22a 2013 Total amount of reimbursement requested in new claims received
Alberta	189	195	193			
British Columbia	38	35	20	\$450,185	\$68,283	\$652,394
Manitoba	0	12	12	\$1,300,000		
New Foundland	0	0	0	\$0	\$0	\$0
Nova Scotia						
PEI	0	0	0	\$0	\$0	\$0

Average US	259	266	233	\$2,968,129	\$5,038,222	\$4,843,767
Median US	37	33	40	\$791,459	\$1,119,714	\$1,165,150
Average Canada	45	48	45	\$350,037	\$13,657	\$130,479
Median Canada	0	12	12	\$225,093	\$0	\$0
Average NA	236	242	213	\$2,640,867	\$4,410,151	\$4,254,606
Median NA	36	32	33	\$570,755	\$789,208	\$802,151

JURISDICTION	22b 2011 Total amount of awards approved during year	22b 2012 Total amount of awards approved during year	22b 2013 Total amount of awards approved during year	23a 2011 # of claims affected	23a 2012 # of claims affected	23a 2013 # of claims affected
Alabama	\$212,656	\$171,480	\$196,811			
Arizona	\$189,804	\$208,196	\$308,328	0	0	0
Arkansas	\$45,672	\$80,000	\$150	1	0	
California	\$7,819,854	\$6,870,643	\$11,054,352	23	17	18
Colorado	\$123,757	\$422,301	\$242,718	0	0	0
Connecticut	\$571,066	\$288,198	\$1,066,933	0	0	0
Delaware	\$9,045	\$0	\$1,717			
District of Colum	\$568,470	\$183,092	\$296,243	1	0	1
Florida	\$2,730,119	\$2,462,167	\$2,280,900	946	139	57
Hawaii	\$77,555	\$16,500	\$147,318	0	0	0
Idaho	\$121,475	\$33,520	\$20,500	11	3	2
Illinois	\$1,006,013	\$986,770	\$2,016,669	6	6	184
Indiana						
Iowa	\$187,837	\$2,500	\$153,381	1	0	14
Kansas	\$5,965	\$230,735	\$372,251	0	0	10
Kentucky	\$221,131	\$380,653	\$232,011	0	13	1
Louisiana	\$231,271	\$145,345	\$109,178	2	2	0
Maine						
Maryland	\$1,300,052	\$437,935	\$571,467	0	0	1
Massachusetts	\$63	\$86	\$69			
Michigan	\$128,211	\$544,293	\$323,561	0	1	1
Minnesota	\$57,556	\$117,620	\$373,854	0	0	0
Mississippi						
Missouri	\$272,270	\$285,087.37	\$193,723			
Montana	\$79,380	\$18,027	\$21,203	0	0	0
Nebraska	\$6,100	\$32,745	\$2,500			
Nevada	\$190,448	\$364,330	\$517,264	0	2	0
New Hampshire						
New Jersey	\$3,119,798	\$1,869,462	\$2,817,062			
New Mexico	\$24,927	\$69,560	\$117,338	0	0	0
New York	\$6,900,000	\$5,400,000	\$6,900,000	5	0	5
North Carolina				1	1	1
North Dakota						
Ohio	\$1,259,463	\$929,994	\$1,142,572	5	3	5
Oregon	\$125,645	\$1,054,460	139.425.04	1	6	0
Pennsylvania	\$2,250,472	\$2,272,570	\$3,464,580	0	0	0
Rhode Island	\$124,175	\$58,603	\$7,115	0	0	0
South Carolina						
South Dakota	\$0	\$0	\$0	0	0	0
Tennessee						
Texas	\$704,335	\$640,604	\$929,306			
Vermont		\$6,964	\$12,000			
Virginia				1	0	0
Washington	\$1,440,088	\$378,574	\$423,508			
West Virginia	\$14,570	\$22,300	pending			
Wisconsin	\$316,586	\$464,471	\$154,813	0	0	0

JURISDICTION	22b 2011 Total amount of awards approved during year	22b 2012 Total amount of awards approved during year	22b 2013 Total amount of awards approved during year	23a 2011 # of claims affected	23a 2012 # of claims affected	23a 2013 # of claims affected
Alberta	\$228,990	\$737,894	\$2,051,714			
British Columbia	\$21,200	\$351,600	\$16,200	none	none	none
Manitoba	\$62			0	0	0
New Foundland	\$0	\$0	\$0	0	0	0
Nova Scotia						
PEI	\$0	\$0	\$0	0	0	0

Average US	\$853,574	\$714,860	\$959,774	\$33	\$6	\$10
Median US	\$190,126	\$219,465	\$242,718	\$0	\$0	\$0
Average Canada	\$50,050	\$217,899	\$413,583	\$0	\$0	\$0
Median Canada	\$62	\$175,800	\$8,100	\$0	\$0	\$0
Average NA	\$760,141	\$657,074	\$896,263	\$30	\$6	\$9
Median NA	\$187,837	\$219,465	\$232,011	\$0	\$0	\$0

JURISDICTION	23a.1 2011 Amount of \$\$ not paid	23a.1 2012 Amount of \$\$ not paid	23a.1 2013 Amount of \$\$ not paid	23b 2011 # of claims affected	23b 2012 # of claims affected	23b 2013 # of claims affected
Alabama						
Arizona	\$0	\$0	\$0	0	0	0
Arkansas	\$258,000	\$0	\$0	0	0	0
California	\$1,303,000	\$1,798,000	\$1,375,000			
Colorado	\$0	\$0	\$0	0	0	0
Connecticut						
Delaware						
District of Colum	\$425,000	\$0	\$610,000			
Florida	\$1	\$1	\$0	Same as a.	Same as a.	Same as a.
Hawaii	\$0	\$0	\$0	0	0	0
Idaho	\$155,355	\$3,248	\$10,438	0	0	0
Illinois	\$342,000	\$676,972	\$3,834,147	0	0	0
Indiana						
Iowa	\$53,320	\$0	\$687,011	0	0	0
Kansas	\$0	\$0	\$211,740	0	0	0
Kentucky	\$0	\$53,401	\$9,755	0	0	0
Louisiana	\$64,958	\$60,000	\$0	0	0	0
Maine						
Maryland	\$0	\$0	\$62,000	0	0	0
Massachusetts						
Michigan	\$0	\$75,000	\$36,700	0	0	0
Minnesota	\$0	\$0	\$0	0	0	0
Mississippi						
Missouri						
Montana	\$0	\$0	\$0	0	0	0
Nebraska						
Nevada		\$81,919		0	0	25
New Hampshire						
New Jersey				0	0	0
New Mexico	\$0	\$0	\$0	0	0	0
New York				0	0	0
North Carolina	\$799,117	\$21,500	\$43,187			
North Dakota						
Ohio				0	0	0
Oregon	\$305,000	\$246,693	\$0	0	0	0
Pennsylvania	\$0	\$0	\$0	0	0	0
Rhode Island	\$0	\$0	\$0	0	0	0
South Carolina						
South Dakota	\$0	\$0	\$0	0	0	0
Tennessee						
Texas						
Vermont						
Virginia	\$25,000	\$0	\$0	0	0	0
Washington						
West Virginia						
Wisconsin	\$0	\$0	\$0	0	0	0

JURISDICTION	23a.1 2011 Amount of \$\$ not paid	23a.1 2012 Amount of \$\$ not paid	23a.1 2013 Amount of \$\$ not paid	23b 2011 # of claims affected	23b 2012 # of claims affected	23b 2013 # of claims affected

Alberta						
British Columbia				none	none	none
Manitoba	\$0	\$0	\$0	0	0	0
New Foundland				0	0	0
Nova Scotia						
PEI	\$0	\$0	\$0	0	0	0

Average US	\$124,358	\$100,558	\$229,333	\$0	\$0	\$1
Median US	\$0	\$0	\$0	\$0	\$0	\$0
Average Canada	\$0	\$0	\$0	\$0	\$0	\$0
Median Canada	\$0	\$0	\$0	\$0	\$0	\$0
Average NA	\$113,053	\$91,416	\$208,484	\$0	\$0	\$1
Median NA	\$0	\$0	\$0	\$0	\$0	\$0

JURISDICTION	23b.1 2011 Amount of \$\$ not paid	23b.1 2012 Amount of \$\$ not paid	23b.1 2013 Amount of \$\$ not paid	23c 2011 # of claims	23c 2012 # of claims	23c 2013 # of claims
Alabama						
Arizona	\$0	\$0	\$0	0	0	0
Arkansas	\$0	\$0	\$0	0	0	0
California						
Colorado	\$0	\$0	\$0	0	0	0
Connecticut	\$0	\$0	\$0			
Delaware						
District of Colum						
Florida	Same as a.	Same as a.	Same as a.			
Hawaii	\$0	\$0	\$0	0	0	0
Idaho	\$0	\$0	\$0	2	0	5
Illinois	\$0	\$0	\$0	0	0	0
Indiana						
Iowa	\$0	\$0	\$0	0	0	0
Kansas	\$0	\$0	\$0	8	28	12
Kentucky	\$0	\$0	\$0	0	0	0
Louisiana	\$0	\$0	\$0	0	0	0
Maine						
Maryland	\$0	\$0	\$0	0	0	0
Massachusetts						
Michigan	\$0	\$0	\$0	0	0	0
Minnesota	\$0	\$0	\$0	0	0	0
Mississippi						
Missouri						
Montana	\$0	\$0	\$0	0	0	0
Nebraska				7	6	13
Nevada			\$328,197	0	0	
New Hampshire						
New Jersey	\$0	\$0	\$0			
New Mexico	\$0	\$0	\$0	0	0	0
New York	\$0	\$0	\$0	0	0	0
North Carolina						
North Dakota						
Ohio	\$0	\$0	\$0	0	0	0
Oregon	\$0	\$0	\$0	0	0	0
Pennsylvania	\$0	\$0	\$0	0	0	0
Rhode Island	\$0	\$0	\$0	0	0	0
South Carolina						
South Dakota	\$0	\$0	\$0	0	0	0
Tennessee						
Texas						
Vermont						
Virginia	\$0	\$0	\$0	0	0	0
Washington						
West Virginia						
Wisconsin						

JURISDICTION	23b.1 2011 Amount of \$\$ not paid	23b.1 2012 Amount of \$\$ not paid	23b.1 2013 Amount of \$\$ not paid	23c 2011 # of claims	23c 2012 # of claims	23c 2013 # of claims

Alberta						
British Columbia				none	none	none
Manitoba	\$0	\$0	\$0	0	0	0
New Foundland				0	0	0
Nova Scotia						
PEI	\$0	\$0	\$0	0	0	0

Average US	\$0	\$0	\$11,317	\$1	\$1	\$1
Median US	\$0	\$0	\$0	\$0	\$0	\$0
Average Canada	\$0	\$0	\$0	\$0	\$0	\$0
Median Canada	\$0	\$0	\$0	\$0	\$0	\$0
Average NA	\$0	\$0	\$10,256	\$1	\$1	\$1
Median NA	\$0	\$0	\$0	\$0	\$0	\$0

JURISDICTION	23c.1 2011 Amount of \$\$ not paid	23c.1 2012 Amount of \$\$ not paid	23c.1 2013 Amount of \$\$ not paid
Alabama			
Arizona	\$0	\$0	\$0
Arkansas	\$0	\$0	\$0
California			
Colorado	\$0	\$0	\$0
Connecticut	\$0	\$0	\$0
Delaware			
District of Colum			
Florida			
Hawaii	\$0	\$0	\$0
Idaho	\$22,999	\$0	\$4,200
Illinois	\$0	\$0	\$0
Indiana			
Iowa	\$0	\$0	\$0
Kansas	\$242,550	\$100,856	\$379,196
Kentucky	\$0	\$0	\$0
Louisiana	\$0	\$0	\$0
Maine			
Maryland	\$0	\$0	\$0
Massachusetts			
Michigan	\$0	\$0	\$0
Minnesota	\$0	\$0	\$0
Mississippi			
Missouri			
Montana	\$0	\$0	\$0
Nebraska	\$14,400	\$181,505	\$317,401
Nevada			
New Hampshire			
New Jersey			
New Mexico	\$0	\$0	\$0
New York	\$0	\$0	\$0
North Carolina			
North Dakota			
Ohio	\$0	\$0	\$0
Oregon	\$0	\$0	\$0
Pennsylvania	\$0	\$0	\$0
Rhode Island	\$0	\$0	\$0
South Carolina			
South Dakota	\$0	\$0	\$0
Tennessee			
Texas			
Vermont			
Virginia	\$0	\$0	\$0
Washington			
West Virginia			
Wisconsin			

JURISDICTION	23c.1 2011 Amount of \$\$ not paid	23c.1 2012 Amount of \$\$ not paid	23c.1 2013 Amount of \$\$ not paid
Alberta			
British Columbia			
Manitoba	\$0	\$0	\$0
New Foundland			
Nova Scotia			
PEI	\$0	\$0	\$0

Average US	\$10,368	\$10,458	\$25,955
Median US	\$0	\$0	\$0
Average Canada	\$0	\$0	\$0
Median Canada	\$0	\$0	\$0
Average NA	\$9,332	\$9,412	\$23,360
Median NA	\$0	\$0	\$0

JURISDICTION	24a 2011 Unearned legal fees	24a 2012 Unearned legal fees	24a 2013 Unearned legal fees	24b 2011 Theft / Conversion	24b 2012 Theft / Conversion	24b 2013 Theft / Conversion

Alabama						
Arizona	14	31	56	4	4	4
Arkansas				2	2	
California	1177	1392	1870	352	67	129
Colorado						
Connecticut	10	17	11	4	2	2
Delaware	1	0	2	0	0	0
District of Colu	80	37	34	1	1	0
Florida	98	140	87	946	139	56
Hawaii	36	3	10	1	0	0
Idaho	10	10	2	5	3	0
Illinois	43	35	50	46	34	17
Indiana						
Iowa	9	2	3	4	0	14
Kansas	8	62	12	0	1	10
Kentucky	20	18	23	11	37	7
Louisiana	26	20	20	13	10	1
Maine						
Maryland						
Massachusetts	36	46	35	10	16	13
Michigan	16	69	50	1	7	2
Minnesota						
Mississippi						
Missouri						
Montana	29	8	5	2	0	0
Nebraska						
Nevada						
New Hampshire						
New Jersey						
New Mexico	13	27	24			6
New York	101	90	103	19	15	8
North Carolina						
North Dakota						
Ohio	100	149	139	28	21	25

JURISDICTION	24a 2011 Unearned legal fees	24a 2012 Unearned legal fees	24a 2013 Unearned legal fees	24b 2011 Theft / Conversion	24b 2012 Theft / Conversion	24b 2013 Theft / Conversion

Oregon						
Pennsylvania	74	49	33	27	14	17
Rhode Island	2	2	1	5	8	0
South Carolina						
South Dakota	0	0	0	0	0	0
Tennessee						
Texas	63	84	81	28	15	28
Vermont		1	2			
Virginia						
Washington			20			25
West Virginia						
Wisconsin	27	24	41	9	3	3
Alberta						
British Columb	1	3	3	3	4	2
Manitoba	62	0	0	0	7	0
New Foundlan	0	0	0	0	0	0
Nova Scotia						
PEI	0	0	0	0	0	0

JURISDICTION	24c 2011 Investments and Loans With Lawyers	24c 2012 Investments and Loans With Lawyers	24c 2013 Investments and Loans With Lawyers	24d 2011 Estates	24d 2012 Estates	24d 2013 Estates
Alabama						
Arizona	0	0	0	0	0	0
Arkansas						
California	5	5				
Colorado						
Connecticut	0	0	0	1	2	1
Delaware	0	0	0	0	0	0
District of Colu	1	0	2	2	0	1
Florida	0	0	0			
Hawaii	0	0	0	0	0	0
Idaho	0	0	0	0	1	0
Illinois	0	1	179			
Indiana						
Iowa	0	0	0	0	0	11
Kansas	0	0	0	0	0	0
Kentucky	0	0	0	2	2	1
Louisiana	0	0	0	0	0	0
Maine						
Maryland						
Massachusetts	0	2	0	7	12	6
Michigan	2	1	0	0	5	2
Minnesota						
Mississippi						
Missouri						
Montana	0	0	0	0	0	0
Nebraska						
Nevada						
New Hampshir						
New Jersey						
New Mexico						
New York	11	6	3	6	8	25
North Carolina						
North Dakota						
Ohio	6	4	3	2	1	1

JURISDICTION	24c 2011 Investments and Loans With Lawyers	24c 2012 Investments and Loans With Lawyers	24c 2013 Investments and Loans With Lawyers	24d 2011 Estates	24d 2012 Estates	24d 2013 Estates
Oregon						
Pennsylvania	1	7	0	28	30	47
Rhode Island			0			0
South Carolina						
South Dakota	0	0	0	0	0	0
Tennessee						
Texas						
Vermont						
Virginia						
Washington			0			
West Virginia						
Wisconsin	0	0	0	2	3	4
Alberta						
British Columb	none	none	none	none	1	none
Manitoba	0	0	0	0	0	0
New Foundlan	0	0	0	0	0	0
Nova Scotia						
PEI	0	0	0	0	0	0

JURISDICTION	24e 2011 Real Estate Mortgage Theft	24e 2012 Real Estate Mortgage Theft	24e 2013 Real Estate Mortgage Theft	24e.1 Real Estate Mortgage Theft Details

Alabama				
Arizona	0	0	0	
Arkansas				
California				
Colorado				
Connecticut	8	2	2	
Delaware	0	0	0	
District of Colu				
Florida				
Hawaii	0	0	0	
Idaho	0	0	0	
Illinois				
Indiana				
Iowa	3	0	0	
Kansas	0	0	0	
Kentucky	1	3	0	
Louisiana	0	0	0	
Maine				
Maryland	0	0	0	
Massachusetts	10	10	15	
Michigan	0	0	0	
Minnesota				
Mississippi				
Missouri				
Montana	0	0	0	
Nebraska				
Nevada				
New Hampshir				
New Jersey				
New Mexico				
New York	95	60	54	
North Carolina				
North Dakota				
Ohio				

JURISDICTION	24e 2011 Real Estate Mortgage Theft	24e 2012 Real Estate Mortgage Theft	24e 2013 Real Estate Mortgage Theft	24e.1 Real Estate Mortgage Theft Details

Oregon				
Pennsylvania	1	0	3	
Rhode Island	2		0	
South Carolina				
South Dakota	0	0	0	
Tennessee				
Texas				
Vermont				
Virginia				
Washington				
West Virginia				
Wisconsin	0	0	0	
Alberta				
British Columb	none	none	none	
Manitoba	0	0	0	
New Foundlan	0	0	0	
Nova Scotia				
PEI	0	0	0	

Alabama				
Arizona	0	0	0	
Arkansas	3		1	There were filing fees which were received by the attorney but not used for the purpose in which they were accepted.
California		2		
Colorado				
Connecticut	0	0	0	e. Includes all real property escrow/mortgage claims
Delaware	0	0	0	
District of Colu	8	4	0	24f covers Personal Injury Settlements
Florida				Our claims are classified as either theft/misappropriation or fee.
Hawaii	0	0	0	
Idaho	0	0	0	
Illinois				
Indiana				
Iowa	0	0	0	
Kansas	0	0	0	
Kentucky	0	0	0	
Louisiana	0	0	0	
Maine				
Maryland	0	0	0	
Massachusetts				In 2011, approved 10 real estate claims; in 2012 10 real estate claims; and 2013, 15 real estate claims. The majority of these were for conversion of settlement funds. Only a small number also included conversion of funds that were to be used to pay off the old mortgage in a re-finance situation.
Michigan	0	4	3	Embezzlement of Settlement Funds
Minnesota				
Mississippi				
Missouri				
Montana	0	0	0	
Nebraska				we do not have this information
Nevada				We do not track this data.
New Hampshir				
New Jersey				
New Mexico				
New York	20	8	25	b. Other Escrow f. Settlement Losses g. Debt Collection 2011:1, 2012:1, 2013:0
North Carolina				
North Dakota				
Ohio				

Oregon				we do not track these stats at this level of detail
Pennsylvania	0	0	0	PA groups estates and trusts in one category - fiduciary funds
Rhode Island			0	
South Carolina				
South Dakota	0	0	0	
Tennessee				
Texas				
Vermont				
Virginia				
Washington				
West Virginia				The Bar does not keep these types of statistics.
Wisconsin	2	1	0	misappropriation of funds
Alberta				We don't track our data this way
British Columb	none	none	none	
Manitoba	0	0	0	
New Foundlan	0	0	0	
Nova Scotia				
PEI	0	0	0	

JURISDICTION	25a 2011 Amount Awarded- Unearned Legal Fees	25a 2012 Amount Awarded- Unearned Legal Fees	25a 2013 Amount Awarded- Unearned Legal Fees	25b 2011 Amount Awarded- Theft / Conversion	25b 2012 Amount Awarded- Theft / Conversion	25b 2013 Amount Awarded- Theft / Conversion
Alabama						
Arizona	\$147,335	\$106,963	\$231,519	\$42,469	\$101,233	\$76,809
Arkansas				\$45,000	\$80,000	
California	\$4,842,101	\$4,862,278	\$8,531,682	\$2,673,053	\$1,800,390	\$2,522,670
Colorado						
Connecticut	\$24,025	\$171,617	\$35,977	\$80,848	\$37,676	\$711,000
Delaware	\$9,045	\$0	\$1,717	\$0	\$0	\$0
District of Colu	\$406,004	\$132,533	\$143,275	\$0	\$0	\$73,235
Florida	\$199,089	\$261,891	\$230,550	\$2,518,018	\$2,200,276	\$2,050,350
Hawaii	\$63,075	\$16,500	\$147,318	\$14,480	\$0	\$0
Idaho	\$86,375	\$27,600	\$20,500	\$35,100	\$5,080	\$0
Illinois	\$119,654	\$47,852	\$119,755	\$886,359	\$924,918	\$1,146,914
Indiana						
Iowa	\$24,015	\$3,000	\$3,381	\$41,400	\$0	\$150,000
Kansas	\$5,964	\$172,494	\$22,251	\$0	\$58,041	\$350,000
Kentucky	\$38,525	\$53,100	\$74,186	\$111,921	\$274,297	\$156,584
Louisiana	\$79,925	\$54,704	\$100,055	\$151,346	\$90,654	\$9,123
Maine						
Maryland	\$100,052	\$37,935	\$69,000	\$90,000	\$30,000	\$50,000
Massachusetts	\$125,685	\$167,760	\$212,095	\$413,585	\$345,855	\$344,762
Michigan	\$33,892	\$172,482	\$157,333	\$17,993	\$32,779	\$9,709
Minnesota						
Mississippi						
Missouri						
Montana	\$56,871	\$18,027	\$21,203	\$22,509	\$0	\$0
Nebraska						
Nevada						
New Hampshir						
New Jersey						
New Mexico	\$24,927	\$69,560	\$73,660			\$43,678
New York	\$151,383	\$837,693	\$404,523	\$410,546	\$279,604	\$349,480
North Carolina						
North Dakota						
Ohio	\$296,302	\$320,924	\$338,804	\$827,466	\$451,929	\$713,268

JURISDICTION	25a 2011 Amount Awarded- Unearned Legal Fees	25a 2012 Amount Awarded- Unearned Legal Fees	25a 2013 Amount Awarded- Unearned Legal Fees	25b 2011 Amount Awarded- Theft / Conversion	25b 2012 Amount Awarded- Theft / Conversion	25b 2013 Amount Awarded- Theft / Conversion
Oregon						
Pennsylvania	\$205,813	\$146,307	\$124,430	\$572,931	\$324,006	\$122,410
Rhode Island						
South Carolina						
South Dakota	\$0	\$0	\$0	\$0	\$0	\$0
Tennessee						
Texas	\$231,461	\$404,807	\$455,629	\$472,873	\$250,279	\$473,677
Vermont		\$6,964	\$12,000			
Virginia						
Washington						
West Virginia						
Wisconsin	\$100,600	\$78,977	\$67,506	\$109,042	\$98,900	\$40,283
Alberta						
British Columb	\$3,420	\$6,674	\$11,450	\$17,772	\$10,741	\$4,750
Manitoba	\$1,300,000	\$0	\$0	\$0	\$49,155	\$0
New Foundlan	\$0	\$0	\$0	\$0	\$0	\$0
Nova Scotia						
PEI	\$0	\$0	\$0	\$0	\$0	\$0

JURISDICTION	25c 2011 Amount Awarded- Investments and Loans With Lawyers	25c 2012 Amount Awarded- Investments and Loans With Lawyers	25c 2013 Amount Awarded- Investments and Loans With Lawyers	25d 2011 Amount Awarded- Estates	25d 2012 Amount Awarded- Estates	25d 2013 Amount Awarded- Estates
Alabama						
Arizona	\$0	\$0	\$0	\$0	\$0	\$0
Arkansas						
California	\$294,700	\$199,305	\$0			
Colorado						
Connecticut	\$0	\$0	\$0	\$79,737	\$76,699	\$287,719
Delaware	\$0	\$0	\$0	\$0	\$0	\$0
District of Colu	\$0	\$1,000	\$0	\$75,000	\$0	\$75,000
Florida						
Hawaii	\$0	\$0	\$0	\$0	\$0	\$0
Idaho	\$0	\$0	\$0	\$0	\$840	\$0
Illinois	\$0	\$14,000	\$750,000			
Indiana						
Iowa	\$0	\$0	\$0	\$0	\$0	\$131,471
Kansas	\$0	\$0	\$0	\$0	\$0	\$0
Kentucky	\$0	\$0	\$0	\$65,000	\$42,090	\$1,240
Louisiana	\$0	\$0	\$0	\$0	\$0	\$0
Maine						
Maryland	\$0	\$0	\$0	\$300,000	\$100,000	\$21,467
Massachusetts	\$0	\$109,800	\$0	\$891,005	\$1,032,360	\$974,220
Michigan	\$76,326	\$12,910	\$0	\$0	\$165,934	\$63,333
Minnesota						
Mississippi						
Missouri						
Montana	\$0	\$0	\$0	\$0	\$0	\$0
Nebraska						
Nevada						
New Hampshir						
New Jersey						
New Mexico						
New York	\$1,396,361	\$565,667	\$725,000	\$876,160	\$750,730	\$2,739,972
North Carolina						
North Dakota						
Ohio	\$92,270	\$82,140	\$90,500	\$43,425	\$75,000	\$20,000

JURISDICTION	25c 2011 Amount Awarded- Investments and Loans With Lawyers	25c 2012 Amount Awarded- Investments and Loans With Lawyers	25c 2013 Amount Awarded- Investments and Loans With Lawyers	25d 2011 Amount Awarded- Estates	25d 2012 Amount Awarded- Estates	25d 2013 Amount Awarded- Estates
Oregon						
Pennsylvania	\$50,000	\$263,512	\$0	\$1,321,728	\$1,538,745	\$3,067,922
Rhode Island						
South Carolina						
South Dakota	\$0	\$0	\$0	\$0	\$0	\$0
Tennessee						
Texas						
Vermont						
Virginia						
Washington						
West Virginia						
Wisconsin	\$0	\$0	\$0	\$89,434	\$285,253	\$47,524
Alberta						
British Columb	none	none	none	none	\$334,191	none
Manitoba	\$0	\$0	\$0	\$0	\$0	\$0
New Foundlan	\$0	\$0	\$0	\$0	\$0	\$0
Nova Scotia						
PEI	\$0	\$0	\$0	\$0	\$0	\$0

JURISDICTION	25e 2011 Amount Awarded- Real Estate Mortgage Theft	25e 2012 Amount Awarded- Real Estate Mortgage Theft	25e 2013 Amount Awarded- Real Estate Mortgage Theft	25f 2011 Amount Awarded- Other	25f 2012 Amount Awarded- Other	25f 2013 Amount Awarded- Other
Alabama						
Arizona	\$0	\$0	\$0	\$0	\$0	\$0
Arkansas				\$672		\$150
California					\$8,670	
Colorado						
Connecticut	\$386,455	\$5,206	\$32,237	\$0	\$0	\$0
Delaware	\$0	\$0	\$0	\$0	\$0	\$0
District of Colu				\$87,466	\$49,559	\$4,733
Florida						
Hawaii	\$0	\$0	\$0	\$0	\$0	
Idaho	\$0	\$0	\$0	\$0	\$0	\$0
Illinois						
Indiana						
Iowa	\$111,162	\$0	\$0	\$0	\$0	\$0
Kansas	\$0	\$0	\$0	\$0	\$0	\$0
Kentucky	\$5,685	\$11,166	\$0	\$0	\$0	\$0
Louisiana	\$0	\$0	\$0	\$0	\$0	\$0
Maine						
Maryland	\$0	\$0	\$0	\$0	\$0	\$0
Massachusetts	\$628,917	\$456,016	\$1,270,588			
Michigan	\$0	\$0	\$0	\$0	\$160,188	\$93,186
Minnesota						
Mississippi						
Missouri						
Montana	\$0	\$0	\$0	\$0	\$0	\$0
Nebraska						
Nevada						
New Hampshir						
New Jersey						
New Mexico						
New York	\$3,567,617	\$2,579,251	\$2,054,539	\$466,820	\$397,349	\$706,351
North Carolina						
North Dakota						
Ohio						

JURISDICTION	25e 2011 Amount Awarded- Real Estate Mortgage Theft	25e 2012 Amount Awarded- Real Estate Mortgage Theft	25e 2013 Amount Awarded- Real Estate Mortgage Theft	25f 2011 Amount Awarded- Other	25f 2012 Amount Awarded- Other	25f 2013 Amount Awarded- Other
Oregon						
Pennsylvania	\$100,000	\$0	\$149,818	\$0	\$0	\$0
Rhode Island						
South Carolina						
South Dakota	\$0	\$0	\$0	\$0	\$0	\$0
Tennessee						
Texas						
Vermont						
Virginia						
Washington						
West Virginia						
Wisconsin	\$0	\$0	\$0	\$9,419	\$290	\$0
Alberta						
British Columb	none	none	none	none	none	none
Manitoba	\$0	\$0	\$0	\$0	\$0	\$0
New Foundlan	\$0	\$0	\$0	\$0	\$0	\$0
Nova Scotia						
PEI	\$0	\$0	\$0	\$0	\$0	\$0

JURISDICTION**25f.1 Other Awards Details**

Alabama	
Arizona	
Arkansas	Filing fees received by attorney but not used for the purpose
California	
Colorado	
Connecticut	
Delaware	
District of Colu	Personal Injury Settlements
Florida	
Hawaii	
Idaho	
Illinois	
Indiana	
Iowa	
Kansas	
Kentucky	
Louisiana	
Maine	
Maryland	
Massachusetts	
Michigan	Embezzlement of Settlement Funds
Minnesota	
Mississippi	
Missouri	
Montana	
Nebraska	we do not have this information
Nevada	We do not track this data.
New Hampshir	
New Jersey	
New Mexico	
New York	b. Other Escrow f. Settlement Losses g. Debt Collection 2011:\$16,836 2012:\$1,400, 2013:\$0
North Carolina	
North Dakota	
Ohio	

JURISDICTION**25f.1 Other Awards Details**

Oregon	we do not track these stats at this level of detail
Pennsylvania	
Rhode Island	
South Carolina	
South Dakota	
Tennessee	
Texas	
Vermont	
Virginia	
Washington	
West Virginia	The Bar does not keep these types of statistics.
Wisconsin	misappropriation of funds
Alberta	same as above
British Columbia	
Manitoba	We approved awards, but the member paid the claimants in full . This is throwing off my answers. Sorry.
Newfoundland	
Nova Scotia	
PEI	

JURISDICTION	26 Standard Claim Form or Application	26a Available Languages other than English	26b Other Languages	27 Fund Provided Information by Lawyer Disciplinary Agency	27a Additional Details
Alabama	Yes	No		Yes	the Office of General Counsel will make available disciplinary findings.
Arizona	Yes	Yes	Spanish	No	
Arkansas	Yes	No		Yes	The attorney who works with the Client Security Fund is also a disciplinary counsel
California	Yes	Yes	Spanish	Yes	We have access to investigation and discipline files.
Colorado	Yes	Yes	Spanish	Yes	The Office of Attorney Regulation acts as the central office for receipt of claims, investigates the
Connecticut	Yes	No		Yes	
Delaware	Yes	Yes	Spanish	Yes	Reports of investigations and any information obtained by ODC. ODC uses information obtained by
District of Columbia	Yes	No		Yes	
Florida	Yes	No		Yes	We have access to the entire disciplinary file.
Hawaii	Yes	Yes	Filipino	Yes	Via information sharing agreement.

JURISDICTION	26 Standard Claim Form or Application	26a Available Languages other than English	26b Other Languages	27 Fund Provided Information by Lawyer Disciplinary Agency	27a Additional Details
Idaho	Yes	No		Yes	
Illinois	Yes	Yes	Spanish	Yes	Fund is administered by disciplinary agency.
Indiana					
Iowa	Yes	No		Yes	Client Security Commission is part of the Office of Professional Regulation
Kansas	Yes	No		Yes	Provides investigation reports
Kentucky	Yes	No		Yes	Complete disciplinary file is made to Clients' Security Fund Trustees.
Louisiana	Yes	No		Yes	
Maine	Yes			Yes	All relevant information.
Maryland	Yes	No		Yes	
Massachusetts	Yes	Yes	Vietnamese	Yes	The Fund receives copies of the entire file developed by the Office of Bar Counsel and records of formal

JURISDICTION	26 Standard Claim Form or Application	26a Available Languages other than English	26b Other Languages	27 Fund Provided Information by Lawyer Disciplinary Agency	27a Additional Details
Michigan	Yes	No		Yes	There are at least five documents which are regularly provided to the Fund from the disciplinary
Minnesota	Yes	Yes	Spanish	Yes	We are able to access the disciplinary files pursuant to the rules of both agencies.
Mississippi					
Missouri	Yes	No		Yes	
Montana	Yes	No		Yes	Disciplinary agency provides information to Fund on request and as allowed by confidentiality
Nebraska	Yes	No		No	
Nevada	Yes	No		Yes	Upon request, Office of Bar Counsel provides information such as formal complaint, bank records,
New Hampshire					
New Jersey	Yes	No		Yes	Provides copies of disciplinary reports and recommendations.
New Mexico	Yes	Yes		Yes	

JURISDICTION	26 Standard Claim Form or Application	26a Available Languages other than English	26b Other Languages	27 Fund Provided Information by Lawyer Disciplinary Agency	27a Additional Details
New York	Yes	No		Yes	
North Carolina	Yes	No		Yes	
North Dakota	Yes	No		No	
Ohio	Yes	No		Yes	Rules governing both the CSF and the disciplinary counsel permit the exchange of information.
Oregon	Yes	No		Yes	investigation reports, disciplinary status
Pennsylvania	Yes	No		Yes	A request must be made for the information, but the information is permitted to be shared.
Rhode Island	Yes	No		Yes	
South Carolina					
South Dakota	Yes	No		Yes	
Tennessee					

JURISDICTION	26 Standard Claim Form or Application	26a Available Languages other than English	26b Other Languages	27 Fund Provided Information by Lawyer Disciplinary Agency	27a Additional Details
Texas	Yes	Yes	Spanish	Yes	All disciplinary information is available.
Vermont	Yes	No		Yes	
Virginia	Yes	No		Yes	CPF board and staff have access to disciplinary investigation and other records
Washington	Yes	Yes	Spanish	Yes	Investigation and formal proceeding records available.
West Virginia	Yes	No		No	
Wisconsin	Yes	No		Yes	
Alberta	Yes	No		Yes	
British Columbia	Yes	No		Yes	The Lawyers Insurance Fund has access to the entire disciplinary and investigation file.
Manitoba	Yes	No		Yes	
New Foundland	Yes	No		No	

JURISDICTION	26 Standard Claim Form or Application	26a Available Languages other than English	26b Other Languages	27 Fund Provided Information by Lawyer Disciplinary Agency	27a Additional Details
Nova Scotia	Yes	No		Yes	
PEI	No			Yes	Same staff administers both

JURISDICTION	28 Does Lawyer Disciplinary Agency Notify Claimants of Funds Existence	29 Fund Informed by Lawyer Disciplinary Actions	30a Civil Litigation Against Lawyer	30b Disciplinary Complaint	30c Criminal Charges
Alabama	Yes	Yes	No	Yes	No
Arizona	Yes	Yes	No	Yes	No
Arkansas	Yes	Yes	No	No	No
California	Yes	Yes	No	Yes	No
Colorado	Yes	Yes	No	Yes	No
Connecticut	Yes	Yes	Yes	Yes	Yes
Delaware	Yes	Yes	No	No	No
District of Columbia	Yes	Yes	No	No	No
Florida	Yes	Yes	No	Yes	Yes
Hawaii	Yes	Yes	No	Yes	No

JURISDICTION	28 Does Lawyer Disciplinary Agency Notify Claimants of Funds Existence	29 Fund Informed by Lawyer Disciplinary Actions	30a Civil Litigation Against Lawyer	30b Disciplinary Complaint	30c Criminal Charges
Idaho	Yes	Yes	No	No	No
Illinois	Yes	Yes	No	Yes	No
Indiana					
Iowa	Yes	Yes	Yes	Yes	
Kansas	Yes	Yes	No	Yes	No
Kentucky	Yes	Yes	No	No	No
Louisiana	Yes	Yes	Yes	Yes	No
Maine	Yes		No	No	No
Maryland	Yes	Yes	Yes	Yes	No
Massachusetts	Yes	Yes	Yes		Yes

JURISDICTION	28 Does Lawyer Disciplinary Agency Notify Claimants of Funds Existence	29 Fund Informed by Lawyer Disciplinary Actions	30a Civil Litigation Against Lawyer	30b Disciplinary Complaint	30c Criminal Charges
Michigan	Yes	Yes	No	Yes	No
Minnesota	Yes	Yes	No	Yes	No
Mississippi					
Missouri	Yes	Yes	No		
Montana	Yes	No	No	No	No
Nebraska	Yes	No	Yes	Yes	No
Nevada	Yes	Yes		Yes	
New Hampshire					
New Jersey	Yes	Yes	Yes	Yes	No
New Mexico	Yes	Yes	No	No	No

JURISDICTION	28 Does Lawyer Disciplinary Agency Notify Claimants of Funds Existence	29 Fund Informed by Lawyer Disciplinary Actions	30a Civil Litigation Against Lawyer	30b Disciplinary Complaint	30c Criminal Charges
New York	Yes	Yes	No	Yes	Yes
North Carolina	Yes	Yes	No	No	No
North Dakota	Yes	Yes		Yes	
Ohio	Yes	Yes	No	Yes	No
Oregon	Yes	Yes	Yes	No	No
Pennsylvania	Yes	Yes	No	Yes	No
Rhode Island	Yes	Yes	No	Yes	No
South Carolina					
South Dakota	Yes	Yes	No	No	No
Tennessee					

JURISDICTION	28 Does Lawyer Disciplinary Agency Notify Claimants of Funds Existence	29 Fund Informed by Lawyer Disciplinary Actions	30a Civil Litigation Against Lawyer	30b Disciplinary Complaint	30c Criminal Charges
Texas	Yes	Yes	No	Yes	No
Vermont	Yes	Yes	Yes	Yes	Yes
Virginia	Yes	Yes	No	No	No
Washington	Yes	Yes	No	Yes	No
West Virginia	Yes	No	Yes	No	No
Wisconsin	Yes	Yes	No	No	No
Alberta	Yes	Yes	No	Yes	No
British Columbia	Yes	Yes	No	No	No
Manitoba	Yes	Yes	No	No	No
New Foundland	No	No	Yes	No	No

JURISDICTION	28 Does Lawyer Disciplinary Agency Notify Claimants of Funds Existence	29 Fund Informed by Lawyer Disciplinary Actions	30a Civil Litigation Against Lawyer	30b Disciplinary Complaint	30c Criminal Charges
Nova Scotia	Yes	Yes	No	No	No
PEI	Yes	Yes	Yes	Yes	Yes

JURISDICTION	31 Disciplinary Action Required Before Award	32 Does Fund Hold Hearing for Claimants and Lawyers Accused of Dishonest Conduct	32a Funds That Hold Hearings Details	32a.1 Other Details
Alabama	No	No	Other	
Arizona	Yes	No		
Arkansas	No	Sometimes	Other	Only those whose presence have been
California	Yes	Yes	Written submissions only	
Colorado	No	No	Other	
Connecticut	Yes	No		
Delaware	No	No		
District of Columbia	Yes	No		
Florida	Yes	No		
Hawaii	Yes	Sometimes	Other	Party requesting reconsiderat ion normally

JURISDICTION	31 Disciplinary Action Required Before Award	32 Does Fund Hold Hearing for Claimants and Lawyers Accused of Dishonest Conduct	32a Funds That Hold Hearings Details	32a.1 Other Details
Idaho	No	Yes	Other	
Illinois	Yes	Sometimes	Other	One requesting hearing must
Indiana				
Iowa		No		
Kansas	No	No		
Kentucky	Yes	Yes	Other	Parties may attend, but it is not required.
Louisiana	No	No	Other	Respondent s shall be given the opportunity
Maine		No		
Maryland	Yes	No		
Massachusetts	Yes	Yes	Other	claimant must attend. Former

JURISDICTION	31 Disciplinary Action Required Before Award	32 Does Fund Hold Hearing for Claimants and Lawyers Accused of Dishonest Conduct	32a Funds That Hold Hearings Details	32a.1 Other Details
Michigan	Yes	No		
Minnesota	No	Sometimes	Both must attend	
Mississippi				
Missouri	Yes	Yes	Other	Hearings by telephone with client only. Lawyer
Montana	No	No	Other	
Nebraska	Yes	No		
Nevada	Yes	No		
New Hampshire				
New Jersey	Yes	Sometimes	Other	Claimant must, Resp. is invited
New Mexico	No	No		

JURISDICTION	31 Disciplinary Action Required Before Award	32 Does Fund Hold Hearing for Claimants and Lawyers Accused of Dishonest Conduct	32a Funds That Hold Hearings Details	32a.1 Other Details
New York	Yes	Sometimes	Other	At the Trustees' discretion
North Carolina	No	No		
North Dakota	Yes	No		
Ohio	Yes	Sometimes	Both must attend	
Oregon	No	No		
Pennsylvania	No	Sometimes	Both must attend	
Rhode Island	Yes	Sometimes	Both must attend	
South Carolina				
South Dakota	No	Sometimes	Other	depends
Tennessee				

JURISDICTION	31 Disciplinary Action Required Before Award	32 Does Fund Hold Hearing for Claimants and Lawyers Accused of Dishonest Conduct	32a Funds That Hold Hearings Details	32a.1 Other Details
Texas	Yes	No		
Vermont	Yes	No		
Virginia	Yes	No		
Washington	No	No		
West Virginia	No	No		
Wisconsin	No	No		
Alberta	No	Sometimes	Other	
British Columbia	No	No		
Manitoba	No	No		
New Foundland	No	Sometimes	Other	Depends on claim

JURISDICTION	31 Disciplinary Action Required Before Award	32 Does Fund Hold Hearing for Claimants and Lawyers Accused of Dishonest Conduct	32a Funds That Hold Hearings Details	32a.1 Other Details
Nova Scotia	No	Sometimes	Other	
PEI	Yes	No		

JURISDICTION	33 Average Number of Hearings Per Year	34 Average Time to Process Claim (months)	35 Rehearing or Reconsideration of Claim Determination	35a Body That Conducts Hearing
Alabama	quarterly	12	No	
Arizona		11	Yes	Board of Trustees
Arkansas	Rarely	12	No	
California	Hearings on written submissions 6 times per	12	Yes	Objections to Tentative Decisions are heard by the Client Security Fund
Colorado		7	No	n/a
Connecticut		18	No	
Delaware		6	Yes	Trustees
District of Columbia			No	
Florida		12	No	
Hawaii	Quarterly (if requested)	0	Yes	Trustees

JURISDICTION	33 Average Number of Hearings Per Year	34 Average Time to Process Claim (months)	35 Rehearing or Reconsideration of Claim Determination	35a Body That Conducts Hearing
Idaho	as needed	5	Yes	Board of Commissioners, Idaho Supreme Court
Illinois	Seldom, and only on claims under reconsiderat	6	Yes	Review Panel appointed by Commission
Indiana				
Iowa	Seldom		No	
Kansas		3	Yes	Client Protection Fund Commission
Kentucky	Semi-annually	6	Yes	Clients' Security Fund Board Trustees (re 35b.: Can be requested by both
Louisiana	The Fund does not require hearings but	9	Yes	The full Committee
Maine		6	No	
Maryland		12	Yes	board of trustees
Massachusetts	monthly	12	Yes	The same body that heard the claim.

JURISDICTION	33 Average Number of Hearings Per Year	34 Average Time to Process Claim (months)	35 Rehearing or Reconsideration of Claim Determination	35a Body That Conducts Hearing
Michigan	At discretion of Committee - none held in	16	Yes	Reconsideration of a committee recommendations is handled by a
Minnesota	rarely	8	Yes	The Board conducts the reconsideration but it is usually does not require a hearing.
Mississippi				
Missouri	As needed	12	No	
Montana		4	Yes	State Bar of Montana Board of Trustees
Nebraska		3	Yes	Client Assistance Fund Committee
Nevada		6	Yes	If a claim is denied without prejudice, claimant may file a request for
New Hampshire				
New Jersey	monthly	3	No	No "rule", but there is a general policy to rehear an item at the request of ANY party if
New Mexico		3	Yes	Commissioner

JURISDICTION	33 Average Number of Hearings Per Year	34 Average Time to Process Claim (months)	35 Rehearing or Reconsideration of Claim Determination	35a Body That Conducts Hearing
New York	At the discretion of the Board of Trustees.	9	Yes	The Board of Trustees
North Carolina	Applicants & lawyers invited to board's	5	Yes	Denied claims can be reconsidered upon discovery of new evidence tht could
North Dakota		3	No	
Ohio	rarely	14	Yes	Board of Commissioners
Oregon		6	Yes	Board of Governors
Pennsylvania	1-2 times per year	15	Yes	The Board will review the request for reconsideration.
Rhode Island	very rarely	6	Yes	
South Carolina				
South Dakota	as needed	4	No	
Tennessee				

JURISDICTION	33 Average Number of Hearings Per Year	34 Average Time to Process Claim (months)	35 Rehearing or Reconsideration of Claim Determination	35a Body That Conducts Hearing
Texas			Yes	Board committee (no hearing)
Vermont		24	Yes	
Virginia		4	Yes	same body reconsiders based on informal administrative review
Washington		5	No	
West Virginia	Fund meetings are held quarterly.	12	Yes	The Fund Committee members
Wisconsin		7	Yes	committee
Alberta	As needed		Yes	
British Columbia		8	No	
Manitoba		2	No	
New Foundland		12	No	

JURISDICTION	33 Average Number of Hearings Per Year	34 Average Time to Process Claim (months)	35 Rehearing or Reconsideration of Claim Determination	35a Body That Conducts Hearing
Nova Scotia	ad hoc , rarely	3	Yes	
PEI		12	No	

JURISDICTION	35b.1 Claimant Rehearing Request	35b.2 Lawyer Rehearing Request	36 Appeal Of A Claim Determination To Court	36b.1 Claimant Appeal	36b.2 Lawyer Appeal
Alabama			No		
Arizona	Yes	No	No		
Arkansas			Yes	Yes	No
California	Yes	Yes	Yes	Yes	Yes
Colorado			No	No	No
Connecticut			No		
Delaware	Yes	No	No		
District of Columbia			No		
Florida			No		
Hawaii	Yes	Yes	No		

JURISDICTION	35b.1 Claimant Rehearing Request	35b.2 Lawyer Rehearing Request	36 Appeal Of A Claim Determination To Court	36b.1 Claimant Appeal	36b.2 Lawyer Appeal
Idaho	Yes	Yes	Yes	Yes	Yes
Illinois	Yes	Yes	No		
Indiana					
Iowa			No		
Kansas	Yes	No	No		
Kentucky	Yes	No	No		
Louisiana	Yes	Yes	No		
Maine			No		
Maryland	Yes	No	Yes	Yes	No
Massachusetts	Yes	Yes	No		

JURISDICTION	35b.1 Claimant Rehearing Request	35b.2 Lawyer Rehearing Request	36 Appeal Of A Claim Determination To Court	36b.1 Claimant Appeal	36b.2 Lawyer Appeal
Michigan	Yes	Yes	No		
Minnesota	Yes	No	No		
Mississippi					
Missouri			No		
Montana			No	Yes	Yes
Nebraska	Yes	No	No		
Nevada	Yes	No	No		
New Hampshire					
New Jersey			No		
New Mexico	Yes	Yes	No		

JURISDICTION	35b.1 Claimant Rehearing Request	35b.2 Lawyer Rehearing Request	36 Appeal Of A Claim Determination To Court	36b.1 Claimant Appeal	36b.2 Lawyer Appeal
New York	Yes	No	Yes	Yes	Yes
North Carolina	Yes	No	No		
North Dakota			No		
Ohio	Yes	No	No		
Oregon	Yes	Yes	No		
Pennsylvania	Yes	Yes	No		
Rhode Island	Yes	No	No		
South Carolina					
South Dakota			No		
Tennessee					

JURISDICTION	35b.1 Claimant Rehearing Request	35b.2 Lawyer Rehearing Request	36 Appeal Of A Claim Determination To Court	36b.1 Claimant Appeal	36b.2 Lawyer Appeal
Texas	Yes	No	No		
Vermont	Yes	No	No		
Virginia	Yes	Yes	No		
Washington			No		
West Virginia	Yes	Yes	No		
Wisconsin	Yes	No	No		
Alberta	Yes	Yes	No		
British Columbia			Yes	Yes	No
Manitoba			No		
New Foundland			No		

JURISDICTION	35b.1 Claimant Rehearing Request	35b.2 Lawyer Rehearing Request	36 Appeal Of A Claim Determination To Court	36b.1 Claimant Appeal	36b.2 Lawyer Appeal
Nova Scotia	Yes	No	No		
PEI					

JURISDICTION	36b.3 Other Appeal / Authority Details	37 Claimant of Lawyer Sought Judicial Review of Claim	37a Case Name, Citation/ Number And Court Details
Alabama		No	
Arizona		No	
Arkansas	No	No	
California	No	Yes	
Colorado	Yes	No	
Connecticut		Yes	Delcastillo v. Client Security Fund Committee, HHD-CV12-6035005, Superior Court, Judicial District of Hartford
Delaware		No	
District of Columbia		No	
Florida		No	
Hawaii		No	

JURISDICTION	36b.3 Other Appeal / Authority Details	37 Claimant of Lawyer Sought Judicial Review of Claim	37a Case Name, Citation/ Number And Court Details
Idaho	No	Yes	
Illinois		No	
Indiana			
Iowa		No	
Kansas		No	
Kentucky		No	Jones, Robert Jr v. Forbush-Moss, Bethanni E., et al., Jefferson Circuit Court, Case Number: 11-CI-001430 (Claimant filed Civil Action and named Clients' Security Fund as a Respondent after Claim
Louisiana		No	
Maine			
Maryland	No	Yes	American asset finance v client protection fund
Massachusetts		No	

JURISDICTION	36b.3 Other Appeal / Authority Details	37 Claimant of Lawyer Sought Judicial Review of Claim	37a Case Name, Citation/ Number And Court Details
Michigan		No	
Minnesota		No	
Mississippi			
Missouri		No	
Montana	No	No	
Nebraska		No	
Nevada		No	
New Hampshire			
New Jersey		No	
New Mexico		No	

JURISDICTION	36b.3 Other Appeal / Authority Details	37 Claimant of Lawyer Sought Judicial Review of Claim	37a Case Name, Citation/ Number And Court Details
New York	No	Yes	Matter of Scala, No. 1486-2011 (Sup. Ct., Albany Co. 2011) Matter of Carbone, No. 6589-12 (Sup. Ct. Albany Co. 2013) Matter of Cho, No. 4890-2013 (Sup. Ct. Albany Co. 2014)
North Carolina		No	
North Dakota		No	
Ohio		No	
Oregon		No	
Pennsylvania		No	
Rhode Island		No	
South Carolina			
South Dakota			
Tennessee			

JURISDICTION	36b.3 Other Appeal / Authority Details	37 Claimant of Lawyer Sought Judicial Review of Claim	37a Case Name, Citation/ Number And Court Details
Texas		No	
Vermont		No	
Virginia		No	
Washington		No	
West Virginia		No	
Wisconsin		Yes	
Alberta		No	
British Columbia	No	No	
Manitoba		No	
New Foundland		No	

JURISDICTION	36b.3 Other Appeal / Authority Details	37 Claimant of Lawyer Sought Judicial Review of Claim	37a Case Name, Citation/ Number And Court Details
Nova Scotia		No	
PEI		No	

JURISDICTION**38 Fund Publish
Annual Report****38a Who is Annual Report Sent To / Online Address**

Alabama	No	
Arizona	Yes	Arizona Supreme Court and the State Bar Board of Governors http://www.azbar.org/media/752431/2012_cpf_annual_report_final.pdf
Arkansas	Yes	courts.arkansas.gov
California	Yes	
Colorado	Yes	http://www.coloradosupremecourt.com/pdfs/Regulation/CPF%20Annual%20Report.PDF
Connecticut	Yes	http://www.jud.ct.gov/CSF/default.htm#Reports Report is sent to Chief Justice of the Connecticut Supreme Court and Executive Committee of the Superior Court
Delaware	Yes	Justices; Delaware State Bar Association courts.delaware.gov/lfc
District of Columbia	Yes	Board of Governors
Florida	Yes	The annual report is published in the Florida Bar Journal in its June edition which is available on line each month at http://www.floridabar.org/DIVCOM/JN/JNJournal01.nsf
Hawaii	Yes	Hawaii Supreme Court, Hawaii Bar Journal, on-line at lawyersfundhawaii.com
Idaho	No	
Illinois	Yes	Sent on-line to all IL attorneys as part of disciplinary agency's report. www.iardc.org .
Indiana		
Iowa	Yes	Supreme Court of Iowa, http://www.iowacourts.gov/For_Attorneys/Professional_Regulation/OPR_Announcements__Reports/
Kansas	Yes	Supreme Court Justices; Commission members
Kentucky	Yes	Kentucky Supreme Court Rule 3.820-(7)(d) requires an annual report be provided to the Supreme Court. The annual report is also circulated to the Kentucky Board of Governors and the Kentucky Bar Association's Inquiry Commission. It is not available online.
Louisiana	No	
Maine	Yes	Court, Trustees, Legal Organizations. http://www.mebaroverseers.org/complaint/annual_reports.html
Maryland	Yes	court of appeals

JURISDICTION**38 Fund Publish
Annual Report****38a Who is Annual Report Sent To / Online Address**

Massachusetts	Yes	The Mass. Supreme Judicial Court, media, former Board members. http://www.mass.gov/ClientsSecurity Board
Michigan	Yes	In the Bar Journal http://www.michbar.org/generalinfo/clientprotectionfund.cfm
Minnesota	Yes	The Annual Report is sent to the Minnesota Supreme Court. http://csb.mncourts.gov/csbreports/Pages/AnnualReports.aspx
Mississippi		
Missouri	Yes	http://www.mobar.org/forthepublic/clientsecurityfund/
Montana	No	
Nebraska	Yes	Nebraska Supreme Court
Nevada	Yes	http://www.nvbar.org/csf/other-resources
New Hampshire		
New Jersey	Yes	Supreme Court; Board of Trustees; Other Client Protection Funds www.njcourts.com/cpf
New Mexico	Yes	Supreme Court and bar members
New York	Yes	The Court of Appeals The Governor's Office The NYS Legislature The State Comptroller www.nylawfund.org A mini "Highlights" version is distributed via legal news publications, libraries, Offices of the Aging, and offices of the State Attorney General across the State.
North Carolina	Yes	Provided to the Supreme Court. It is not online.
North Dakota	No	
Ohio	Yes	The annual report is required by the Court and is sent to a general distribution list including bar associations, prosecutors, news media, former board members, disciplinary agencies, etc. www.supremecourt.ohio.gov/Boards/clientSecurity
Oregon	Yes	the committee
Pennsylvania	Yes	www.palawfund.com
Rhode Island	Yes	
South Carolina		
South Dakota	Yes	
Tennessee		
Texas	Yes	Bi-annual newsletter www.texasbar.com
Vermont	No	

JURISDICTION	38 Fund Publish Annual Report	38a Who is Annual Report Sent To / Online Address
Virginia	No	
Washington	Yes	http://www.wsba.org/~media/Files/Legal%20Community/Committees_Boards_Panels/Lawyers%20Fund%20for%20Client%20Protection/2013%20Annual%20Report.ashx
West Virginia	No	
Wisconsin	Yes	Wisconsin Supreme Court http://www.wisbar.org/forPublic/IHaveaDisputeWithMyLawyer/Pages/Client-Protection-Fund.aspx
Alberta	Yes	http://www.lawsociety.ab.ca/publications/annual.aspx our financial data is available on-line
British Columbia	Yes	Law Society members www.lawsociety.bc.ca
Manitoba	No	
New Foundland	No	
Nova Scotia	Yes	www.nsbs.org
PEI	Yes	members

JURISDICTION	39 Funds With Public Announcements / Disclosure Details	39a Funds With No Public Announcements / Disclosure Details
Alabama		Rules do not provide for such.
Arizona	Fund Awards Announced Publicly	
Arkansas	Fund Awards Announced Publicly	
California		If reimbursement is made, lawyer name, applicant name and amount paid is public if requested
Colorado	Fund Awards Announced Publicly	
Connecticut		
Delaware		No, claims are confidential.
District of Columbia		Confidentiality
Florida		All Clients Security Fund matters are confidential unless we receive a waiver from the client/claimant to release the claim details. We can release the name of the lawyer and total fund payments but no claimant details without permission.
Hawaii	Fund Awards Announced Publicly	
Idaho		Not mandatory
Illinois	Fund Awards Announced Publicly	
Indiana		
Iowa	Fund Awards Announced Publicly	
Kansas	Fund Awards Announced Publicly	
Kentucky	Fund Awards Announced Publicly	
Louisiana	Fund Awards Announced Publicly	Awards and the lawyer's name and gist of claim are placed in the Bar Journal. The Complainant's name is not.
Maine	Lawyer's name disclosed	
Maryland	Fund Awards Announced Publicly	

JURISDICTION	39 Funds With Public Announcements / Disclosure Details	39a Funds With No Public Announcements / Disclosure Details

Massachusetts	Lawyer's name disclosed	
Michigan		No public announcements are made based on State Bar policy.
Minnesota	Lawyer's name disclosed	
Mississippi		
Missouri		
Montana		Confidentiality
Nebraska		We treat these claims as confidential
Nevada	Fund Awards Announced Publicly	Awards are announced publicly and lawyer's name is disclosed.
New Hampshire		
New Jersey	Fund Awards Announced Publicly	Lawyer's names are disclosed with amount paid.
New Mexico	Fund Awards Announced Publicly	Lawyer's name is disclosed but not claimant's without claimant's permission.
New York	Fund Awards Announced Publicly	Yes - to all three (unable to select them all)
North Carolina	Lawyer's name disclosed	
North Dakota		
Ohio	Fund Awards Announced Publicly	Awards from the fund are announced publicly in a press release. The lawyer's name is disclosed but the client's name is not.
Oregon		All of our records are available through a public records request.
Pennsylvania	Lawyer's name disclosed	
Rhode Island		
South Carolina		
South Dakota		counter productive
Tennessee		
Texas		Don't do it.
Vermont		

JURISDICTION	39 Funds With Public Announcements / Disclosure Details	39a Funds With No Public Announcements / Disclosure Details
Virginia		awards are announced publicly lawyer's name is disclosed client's name is not disclosed
Washington		Lawyer's name included in the annual report. Claimants names are not included.
West Virginia	Lawyer's name disclosed	
Wisconsin	Fund Awards Announced Publicly	lawyer's name is disclosed
Alberta		not required
British Columbia	Fund Awards Announced Publicly	Yes, awards from the Fund are announced publicly. The lawyer's name is not disclosed The client's name is not disclosed
Manitoba	Fund Awards Announced Publicly	no public announcement but if the info about the lawyer (not the claimant) would be provided upon request
New Foundland	Lawyer's name disclosed	So few claims that never considered public announcements of awards
Nova Scotia	Lawyer's name disclosed	
PEI		n/a

JURISDICTION	40 Fund Produced Public Service Announcements	40a Announcemen ts in Other Languages	40a.1 Language Details	41 Printed Brochures	41a Brochures in Other Languages	41a.1 Language Details
Alabama	No	No		Yes	No	
Arizona	No	No		Yes	Yes	Spanish
Arkansas	No	No		Yes	No	
California	Yes	Yes	Spanish	Yes	Yes	panish, Chinese
Colorado	Yes	No		Yes	Yes	Spanish
Connecticut	No	No		Yes	Yes	
Delaware	No	No		Yes	Yes	Spanish
District of Columbia	No	No		Yes	No	
Florida	No	No		Yes	Yes	Spanish
Hawaii	No			Yes	Yes	Filipino
Idaho	No	No		No	No	
Illinois	No			Yes	Yes	Spanish
Indiana						
Iowa	No	No		Yes	No	
Kansas	No			Yes	No	
Kentucky	No	No		Yes	No	
Louisiana	No	No		Yes	No	
Maine	No					
Maryland	No	No		Yes	No	

JURISDICTION	40 Fund Produced Public Service Announcements	40a Announcemen ts in Other Languages	40a.1 Language Details	41 Printed Brochures	41a Brochures in Other Languages	41a.1 Language Details
Massachusetts	No	No	Vietnamese	Yes	Yes	Vietnamese
Michigan	No	No		Yes	No	
Minnesota	No	No		Yes	Yes	Spanish
Mississippi						
Missouri	No	No		No	No	
Montana	No	No		Yes	No	
Nebraska	No	No		No	No	
Nevada	No	No		Yes	Yes	Spanish
New Hampshire						
New Jersey	Yes	No		Yes	No	
New Mexico	No	No		Yes	Yes	Spanish
New York	Yes	No		Yes	Yes	Spanish
North Carolina	No	No		Yes	No	
North Dakota		No		Yes	No	
Ohio	No			Yes	No	
Oregon	No	No		No	No	
Pennsylvania	No	No		Yes	No	
Rhode Island	No	No		No	No	
South Carolina						
South Dakota	No	No		No	No	
Tennessee						
Texas	No			Yes	Yes	Spanish
Vermont	No	No		No	No	

JURISDICTION	40 Fund Produced Public Service Announcements	40a Announcemen ts in Other Languages	40a.1 Language Details	41 Printed Brochures	41a Brochures in Other Languages	41a.1 Language Details
Virginia	No	No		Yes	No	
Washington	No	No		Yes	Yes	
West Virginia	No	No		No	No	
Wisconsin	No			Yes	No	
Alberta	No			No	No	
British Columbia	No	No		No	No	
Manitoba	No	No		No	No	
New Foundland	No			No		
Nova Scotia	Yes	No		Yes	No	
PEI	No	No		No	No	

JURISDICTION	42 Confidentiality Rules	43a Information on Website	43b Information- Court Website	43c Information- Fund Website	44 Electronic Claims Forms	45 Fund Home Page
Alabama	Yes	Yes	No	No	No	No
Arizona	Yes	Yes		Yes	No	Yes
Arkansas	No	Yes	Yes	No	No	No
California	Yes	Yes	No	Yes	No	Yes
Colorado	Yes	Yes	Yes	No	No	No
Connecticut	Yes	Yes	Yes	Yes	No	Yes
Delaware	Yes	Yes	Yes	Yes	No	Yes
District of Columbia	Yes	Yes	No	Yes	No	Yes
Florida	Yes	Yes	No	Yes	No	Yes
Hawaii	Yes	Yes	Yes	Yes	No	Yes
Idaho	Yes	Yes			No	No
Illinois	Yes	Yes	No	Yes	No	Yes
Indiana						
Iowa	Yes	Yes	Yes	Yes	No	Yes
Kansas	Yes	Yes	Yes	No	No	Yes
Kentucky	Yes	Yes	No	Yes	No	Yes
Louisiana	Yes	Yes	No	Yes	No	Yes
Maine	Yes	Yes	Yes	Yes	No	Yes
Maryland	Yes	Yes	Yes	Yes	No	Yes

JURISDICTION	42 Confidentiality Rules	43a Information on Website	43b Information- Court Website	43c Information- Fund Website	44 Electronic Claims Forms	45 Fund Home Page
Massachusetts	Yes	Yes		Yes	Yes	Yes
Michigan	Yes			Yes	No	Yes
Minnesota	Yes	Yes	Yes	Yes	No	Yes
Mississippi						
Missouri	No	Yes			No	No
Montana	Yes	Yes	No	Yes	No	Yes
Nebraska	No	Yes	No	No	No	No
Nevada	Yes	Yes	No	Yes	Yes	Yes
New Hampshire						
New Jersey	Yes	Yes	Yes	Yes	No	Yes
New Mexico	Yes	Yes	Yes	Yes	No	Yes
New York	Yes	Yes	Yes	Yes	No	Yes
North Carolina	Yes	Yes	No	No	No	No
North Dakota	No	Yes			No	No
Ohio	Yes	Yes	Yes	Yes	No	Yes
Oregon	No	Yes		Yes	No	Yes
Pennsylvania	Yes	Yes	No	Yes	No	Yes
Rhode Island	Yes	Yes		Yes	No	Yes
South Carolina						
South Dakota	No	No	No	No	No	No
Tennessee						
Texas	Yes	Yes	No	No	Yes	Yes
Vermont	No	Yes			No	No

JURISDICTION	42 Confidentiality Rules	43a Information on Website	43b Information- Court Website	43c Information- Fund Website	44 Electronic Claims Forms	45 Fund Home Page
Virginia	No	Yes	No	Yes	No	Yes
Washington	Yes	Yes	No	Yes	No	Yes
West Virginia	Yes	Yes	Yes	Yes	No	No
Wisconsin	Yes	Yes	Yes	Yes	No	Yes
Alberta	Yes	Yes			Yes	Yes
British Columbia	Yes	Yes	No	Yes	No	Yes
Manitoba	No	Yes	No		No	No
New Foundland	No	Yes	No	No	No	No
Nova Scotia	Yes	Yes			No	No
PEI	No	Yes			No	No

JURISDICTION	45a Fund Home Page Address	46 Fund Social Media	46a Fund Social Media Details
Alabama		No	
Arizona	http://www.azbar.org/cpf/	No	
Arkansas		No	
California	http://www.calbar.ca.gov/Attorneys/LawyerRegulation/ClientSecurityFund.aspx	No	
Colorado		No	
Connecticut	http://www.jud.ct.gov/CSF/default.htm	No	
Delaware	courts.delaware.gov/lfcf	No	
District of Columbia	http://www.dcbar.org/for-the-public/clients-security-fund/	No	
Florida	www.floridabar.org/csf	No	
Hawaii	hawaiiattorneysfund.com	No	
Idaho		No	
Illinois	www.iardc.org	No	
Indiana			
Iowa	http://www.iowacourts.gov/For_Attorneys/Professional_Regulation/Client_Security/	No	
Kansas	Appears as a subdivision of the Appellate Clerk's Office at www.kscourts.org	No	
Kentucky	http://kybar.org/236	No	
Louisiana	http://www.lsba.org/Public/ClientAssistance.aspx	No	
Maine	http://www.mebareseers.org/complaint/about_fund.html	No	
Maryland		No	

JURISDICTION	45a Fund Home Page Address	46 Fund Social Media	46a Fund Social Media Details
Massachusetts	http://www.mass.gov/Clients/SecurityBoard	No	
Michigan		No	
Minnesota	http://csb.mncourts.gov/Pages/default.aspx	No	
Mississippi			
Missouri	www.mobar.org	No	
Montana	http://www.montanabar.org/displaycommon.cfm?an=1&subarticlenbr=216	No	
Nebraska		No	
Nevada	www.nvbar.org/csf	No	
New Hampshire			
New Jersey	www.njcourts.com/cpf	Yes	Director has twitter. The Judiciary Public Affair' Office alo has Twitter
New Mexico	http://www.nmbar.org/Public/clientprotectionfund.html	No	
New York	www.nylawfund.org	Yes	Facebook Twitter
North Carolina		No	
North Dakota		No	
Ohio	www.supremecourt.ohio.gov/Boards/clientSecurity	No	
Oregon	http://www.osbar.org/csf	No	
Pennsylvania	www.palawfund.com	No	
Rhode Island	https://www.ribar.com/For%20the%20Public/ClientReimbursementFund.aspx	No	
South Carolina			
South Dakota		No	
Tennessee			
Texas	www.texasbar.com	No	
Vermont		No	

JURISDICTION	45a Fund Home Page Address	46 Fund Social Media	46a Fund Social Media Details
Virginia	http://www.vsb.org/site/public/clients-protection-fund/	No	
Washington	http://www.wsba.org/Legal-Community/Committees-Boards-and-Other-Groups/Lawyers-Fund-for-Client-Protection-Board	No	
West Virginia		No	
Wisconsin	http://www.wisbar.org/forPublic/IHaveaDisputeWithMyLawyer/Pages/Client-Protection-Fund.aspx	No	
Alberta	http://www.lawsociety.ab.ca/lawyer_regulation/financial_claim/financial_claim_assurance.aspx	No	
British Columbia	www.lawsociety.bc.ca As part of the Law Society's website there are FAQ's on Trust Protection Coverage for claimants. The policy is also available on the website, and sets out the terms and conditions of coverage.	No	
Manitoba		No	
Newfoundland		No	
Nova Scotia		Yes	Twitter, Facebook
PEI		No	

JURISDICTION	47a Trust account overdraft notification:	47b Trust Account record-keeping rules:	47c Certification of compliance with record-keeping rules:	47d Random audits:
Alabama	Enacted	Enacted	Never Considered	Never Considered
Arizona	Enacted	Enacted	Never Considered	Never Considered
Arkansas	Enacted	Enacted	Never Considered	Never Considered
California	Enacted	Enacted	Under Study	Under Study
Colorado	Enacted	Enacted	Enacted	Rejected
Connecticut	Enacted	Enacted	Enacted	Enacted
Delaware	Enacted	Enacted	Enacted	Enacted
District of Columbia	Enacted	Enacted	Never Considered	Never Considered
Florida	Enacted	Enacted	Enacted	Rejected
Hawaii	Enacted	Enacted	Enacted	Enacted
Idaho	Enacted	Enacted	Enacted	Under Study
Illinois	Enacted	Enacted	Under Study	Never Considered
Indiana				
Iowa	Enacted	Enacted	Enacted	Enacted
Kansas	Enacted	Enacted	Enacted	Enacted
Kentucky	Enacted	Enacted	Never Considered	Never Considered
Louisiana	Enacted	Enacted	Never Considered	Under Study
Maine	Under Study	Never Considered	Never Considered	Under Study
Maryland	Enacted	Never Considered	Never Considered	Never Considered
Massachusetts	Enacted	Enacted		
Michigan	Enacted	Never Considered	Never Considered	Never Considered
Minnesota	Enacted	Enacted	Enacted	Rejected
Mississippi				
Missouri	Enacted	Enacted	Enacted	Never Considered
Montana	Enacted	Enacted	Enacted	Rejected
Nebraska	Enacted	Enacted		Enacted
Nevada	Enacted	Never Considered	Never Considered	Under Study
New Hampshire				
New Jersey	Enacted	Enacted	Enacted	Enacted
New Mexico	Enacted	Enacted	Enacted	Under Study
New York	Enacted	Enacted	Enacted	Never Considered
North Carolina	Enacted	Enacted	Never Considered	Enacted
North Dakota	Enacted	Enacted	Enacted	Under Study
Ohio	Enacted	Never Considered	Never Considered	Never Considered
Oregon	Enacted	Never Considered	Never Considered	Rejected
Pennsylvania	Enacted	Enacted	Never Considered	Never Considered
Rhode Island	Enacted	Enacted	Never Considered	Rejected
South Carolina				
South Dakota	Under Study	Enacted	Enacted	Rejected
Tennessee				
Texas	Enacted			
Vermont	Enacted	Enacted	Never Considered	Enacted
Virginia	Enacted	Enacted		Rejected
Washington	Enacted	Enacted	Enacted	Enacted
West Virginia	Under Study	Under Study	Under Study	Under Study
Wisconsin	Enacted	Enacted	Enacted	Rejected

JURISDICTION	47a Trust account overdraft notification:	47b Trust Account record-keeping rules:	47c Certification of compliance with record-keeping rules:	47d Random audits:
Alberta				
British Columbia	Enacted	Enacted	Enacted	Enacted
Manitoba	Never Considered	Enacted	Never Considered	Enacted
New Foundland	Enacted	Enacted	Enacted	Enacted
Nova Scotia	Enacted	Enacted	Enacted	Enacted
PEI	Never Considered	Enacted	Never Considered	Enacted

JURISDICTION	47e Restitution as a disciplinary sanction:	47f Insurance payee notification:	47g Mortgage payee notification:	47h Mandatory arbitration of fee disputes:
Alabama	Enacted	Never Considered	Never Considered	Never Considered
Arizona	Enacted	Under Study	Never Considered	Under Study
Arkansas	Enacted	Enacted	Never Considered	Never Considered
California	Enacted	Enacted	Never Considered	Enacted
Colorado	Enacted	Never Considered	Never Considered	Never Considered
Connecticut	Enacted	Enacted	Enacted	Never Considered
Delaware	Enacted	Enacted		
District of Columbia	Enacted	Never Considered	Never Considered	Enacted
Florida	Enacted	Rejected	Never Considered	Never Considered
Hawaii	Enacted	Enacted	Never Considered	Never Considered
Idaho	Enacted	Under Study	Never Considered	Rejected
Illinois	Enacted	Rejected	Never Considered	Under Study
Indiana				
Iowa	Enacted			
Kansas	Enacted	Under Study	Never Considered	Never Considered
Kentucky	Enacted	Never Considered	Never Considered	Never Considered
Louisiana	Enacted	Under Study	Never Considered	Rejected
Maine	Never Considered	Under Study	Never Considered	Enacted
Maryland	Enacted	Enacted	Never Considered	Never Considered
Massachusetts	Enacted	Enacted		
Michigan	Enacted	Rejected	Never Considered	Rejected
Minnesota	Enacted	Never Considered	Never Considered	Rejected
Mississippi				
Missouri		Rejected	Never Considered	Never Considered
Montana	Rejected	Rejected	Rejected	Enacted
Nebraska	Enacted			
Nevada	Enacted	Enacted	Never Considered	Rejected
New Hampshire				
New Jersey	Enacted	Enacted	Under Study	Enacted
New Mexico	Enacted	Under Study	Never Considered	Under Study
New York	Enacted	Enacted	Never Considered	Enacted
North Carolina	Enacted	Never Considered	Never Considered	Rejected
North Dakota	Enacted	Never Considered	Never Considered	Never Considered
Ohio	Enacted	Rejected	Never Considered	Never Considered
Oregon	Enacted	Rejected	Never Considered	Rejected
Pennsylvania	Never Considered	Enacted	Never Considered	Never Considered
Rhode Island	Enacted	Enacted		Rejected
South Carolina				
South Dakota	Never Considered	Never Considered	Never Considered	Rejected
Tennessee				
Texas	Enacted	Enacted		
Vermont	Never Considered	Never Considered	Never Considered	Never Considered
Virginia	Enacted	Enacted		Rejected
Washington	Enacted	Enacted	Never Considered	Rejected
West Virginia	Enacted	Under Study	Under Study	Under Study
Wisconsin	Enacted	Never Considered	Never Considered	Rejected

JURISDICTION	47e Restitution as a disciplinary sanction:	47f Insurance payee notification:	47g Mortgage payee notification:	47h Mandatory arbitration of fee disputes:
Alberta				
British Columbia	Never Considered	Rejected	Never Considered	Never Considered
Manitoba	Enacted	Never Considered	Never Considered	Never Considered
New Foundland	Enacted	Never Considered	Never Considered	Never Considered
Nova Scotia	Enacted	Rejected	Rejected	Never Considered
PEI	Never Considered	Never Considered	Never Considered	Never Considered

JURISDICTION	47i Voluntary arbitration of fee disputes	47j Mediation of client-lawyer disputes:	47k Mandatory legal malpractice insurance:	47l Disclosure of lack of malpractice insurance:
Alabama	Never Considered	Never Considered	Never Considered	Never Considered
Arizona	Enacted	Enacted	Rejected	Enacted
Arkansas	Never Considered	Never Considered	Never Considered	Never Considered
California	Enacted	Enacted	Rejected	Enacted
Colorado	Enacted	Never Considered	Rejected	Enacted
Connecticut	Enacted	Never Considered	Rejected	Rejected
Delaware	Enacted	Enacted		Enacted
District of Columbia	Enacted	Enacted	Never Considered	Never Considered
Florida	Enacted	Enacted	Rejected	Never Considered
Hawaii	Enacted	Never Considered	Rejected	Under Study
Idaho	Enacted	Enacted	Rejected	Enacted
Illinois	Enacted	Never Considered	Never Considered	Enacted
Indiana				
Iowa	Enacted			
Kansas	Enacted	Never Considered	Rejected	Enacted
Kentucky	Enacted	Enacted	Rejected	Rejected
Louisiana	Enacted	Enacted	Never Considered	Under Study
Maine	Never Considered	Never Considered	Never Considered	Enacted
Maryland	Enacted	Never Considered	Never Considered	Never Considered
Massachusetts	Enacted			Enacted
Michigan	Enacted	Rejected	Rejected	Enacted
Minnesota	Enacted	Rejected	Never Considered	Enacted
Mississippi				
Missouri	Enacted	Enacted	Never Considered	Never Considered
Montana	Rejected	Rejected	Rejected	Rejected
Nebraska	Enacted	Enacted		
Nevada	Enacted	Enacted	Never Considered	Never Considered
New Hampshire				
New Jersey	Rejected	Never Considered	Rejected	Rejected
New Mexico	Enacted	Under Study	Never Considered	Enacted
New York	Never Considered	Enacted	Never Considered	Under Study
North Carolina	Never Considered	Enacted	Never Considered	Rejected
North Dakota	Enacted	Never Considered	Under Study	Enacted
Ohio	Enacted	Never Considered	Never Considered	Enacted
Oregon	Enacted	Enacted	Enacted	Never Considered
Pennsylvania	Never Considered	Never Considered	Rejected	Enacted
Rhode Island	Enacted		Rejected	Rejected
South Carolina				
South Dakota	Rejected	Rejected	Rejected	Enacted
Tennessee				
Texas	Enacted	Enacted		
Vermont	Enacted	Never Considered	Never Considered	Never Considered
Virginia	Enacted	Never Considered	Rejected	Enacted
Washington		Rejected	Rejected	Enacted
West Virginia	Enacted	Under Study	Rejected	Enacted
Wisconsin	Enacted	Never Considered	Under Study	Under Study

JURISDICTION	47i Voluntary arbitration of fee disputes	47j Mediation of client-lawyer disputes:	47k Mandatory legal malpractice insurance:	47l Disclosure of lack of malpractice insurance:
Alberta				
British Columbia	Enacted	Enacted	Enacted	
Manitoba	Enacted	Enacted	Enacted	Never Considered
New Foundland	Never Considered	Never Considered	Enacted	Rejected
Nova Scotia	Never Considered	Enacted	Enacted	Never Considered
PEI	Never Considered	Enacted	Enacted	

JURISDICTION	47m Written fee agreements	47m.1 If written fee arrangements are limited to certain matters, please specify:

Alabama	Enacted	Contingency fee agreements only.
Arizona	Enacted	
Arkansas	Enacted	
California	Enacted	
Colorado	Enacted	
Connecticut	Enacted	
Delaware	Enacted	
District of Columbia	Enacted	
Florida	Enacted	
Hawaii	Enacted	
Idaho	Enacted	
Illinois	Enacted	
Indiana		
Iowa	Enacted	Contingent fee agreements
Kansas	Enacted	Contingency fee contracts only
Kentucky	Enacted	
Louisiana	Enacted	
Maine	Never Considered	
Maryland	Enacted	
Massachusetts	Enacted	
Michigan	Enacted	Contingent fee agreements
Minnesota	Enacted	
Mississippi		
Missouri	Enacted	
Montana	Rejected	
Nebraska	Enacted	
Nevada	Never Considered	
New Hampshire		
New Jersey	Enacted	
New Mexico	Never Considered	
New York	Enacted	
North Carolina	Enacted	Contingent fee agreements
North Dakota	Enacted	
Ohio	Enacted	Written fee agreements are limited to contingent fee agreements.
Oregon	Enacted	
Pennsylvania	Enacted	
Rhode Island	Enacted	
South Carolina		
South Dakota	Enacted	
Tennessee		
Texas		
Vermont	Enacted	
Virginia	Rejected	
Washington	Enacted	
West Virginia	Enacted	
Wisconsin	Enacted	

JURISDICTION	47m Written fee agreements	47m.1 If written fee arrangements are limited to certain matters, please specify:
Alberta		Please refer to the LSA website - Trust Safety Program
British Columbia	Enacted	Contingency only
Manitoba	Never Considered	
New Foundland	Never Considered	
Nova Scotia	Enacted	
PEI	Enacted	

JURISDICTION

47n Others

47n.1 Other Details

Alabama	Never Considered	
Arizona		
Arkansas		
California	Enacted	Loan modification and Immigration reform protection statutes
Colorado		
Connecticut		
Delaware		
District of Columbia		
Florida		
Hawaii		
Idaho		
Illinois		
Indiana		
Iowa	Enacted	
Kansas	Enacted	
Kentucky		
Louisiana		
Maine		
Maryland		
Massachusetts		
Michigan		
Minnesota		
Mississippi		
Missouri		
Montana		
Nebraska		
Nevada		
New Hampshire		
New Jersey		
New Mexico		
New York		
North Carolina		
North Dakota		
Ohio		
Oregon		
Pennsylvania		
Rhode Island		
South Carolina		
South Dakota		
Tennessee		
Texas		
Vermont		
Virginia		
Washington		
West Virginia		
Wisconsin		

JURISDICTION

47n Others

47n.1 Other Details

Alberta	
British Columbia	
Manitoba	
New Foundland	
Nova Scotia	
PEI	

JURISDICTION**What is the most common problem experienced by your Fund?**

Alabama	limited availability of funds to pay out.
Arizona	
Arkansas	
California	Increase in volume of applications due to loan modification fraud
Colorado	
Connecticut	
Delaware	
District of Columbia	Unknown
Florida	The Fund has not been able to pay approved theft losses at 100% for the past several years.
Hawaii	Unearned Fees.
Idaho	
Illinois	
Indiana	
Iowa	
Kansas	Multiple small claims files against attorneys with less than 5 years of practice.
Kentucky	Restitution efforts remain the most difficult problem the Fund faces. Often times a lawyer is either missing/unreachable or the lawyer is deceased with an insolvent Estate. The Clients' Security Fund is continuing to explore its options in recovering awards paid to the victims of dishonesty attorneys.
Louisiana	
Maine	
Maryland	
Massachusetts	Too long of a delay between the receipt of a claim and the adjudication of the claim.
Michigan	
Minnesota	
Mississippi	

JURISDICTION**What is the most common problem experienced by your Fund?**

Missouri	
Montana	The question is not specific enough that I am able to answer. What kind of problem do you mean - the most common issue resulting in Fund applications, the most common problem in researching/deciding applications, the most common administrative problem?
Nebraska	
Nevada	Theft related to home loan modifications and bankruptcy petitions made on behalf of Nevada's Spanish-speaking population.
New Hampshire	
New Jersey	Unearned Retainer Claims. NJ does not require fees to be placed in trust account when paid even when paid for future work.
New Mexico	
New York	Escrow losses in residential real estate transactions Losses in Loan Modification scams
North Carolina	
North Dakota	
Ohio	The most common problem experience by the CSF of Ohio is unearned fee claims where the lawyer has provided a portion of the services.
Oregon	
Pennsylvania	We are seeing an increase of claims being made by clients whose lawyer has advised them to establish a trust, with the lawyer to serve as the trustee.
Rhode Island	
South Carolina	
South Dakota	
Tennessee	
Texas	
Vermont	misappropriation of client funds.
Virginia	
Washington	
West Virginia	lack of staff to investigate claims
Wisconsin	Lawyers who commit suicide/die of natural causes and trust and business accounts are empty

JURISDICTION	What is the most common problem experienced by your Fund?
Alberta	
British Columbia	Theft of funds taken as a retainer or for disbursements, with no work done or disbursements incurred.
Manitoba	theft from estates
New Foundland	So few files so hard to identify
Nova Scotia	theft by incapacitated lawyers, and unearned fees
PEI	misappropriation

JURISDICTION	Survey Completed By	Title and Name of Fund Administrator	Fund Contact E-mail
Alabama	Laurie Blazer	Client Security Fund Coordinator	laurie.blazer@alabar.org
Arizona	Karen Weigand	Karen Weigand, Client Protection Fund Administrator	karen.weigand@staff.azbar.org
Arkansas	Michael Harmon	Deputy Director	Michael.Harmon@arkansas.gov
California	Lori Meloch	Lori Meloch, Director	lori.meloch@calbar.ca.gov
Colorado	James C. Coyle	Attorney Regulation Counsel	j.coyle@csc.state.co.us
Connecticut	Christopher G. Blanchard	Staff Attorney	Christopher.Blanchard@jud.ct.gov
Delaware	Bunny J. Christopher	Lawyers' Fund for Client Protection, Bunny J. Christopher, Executive Director	bunny.christopher@state.de.us
District of Columbia	Kathleen Lewis	Kathleen Lewis	csfinfo@dcbar.org
Florida	P.J. Osborne	P.J. Osborne, Coordinator	posborne@flabar.org
Hawaii		Charlene M. Norris	charlenen@odchawaii.com
Idaho	Julia Crossland, Deputy Bar Counsel	Diane Minnich, Executive Director	dminnich@isb.idaho.gov.
Illinois	Eileen Donahue	Director, Client Protection Program; Eileen Donahue	edonahue@iardc.org
Indiana			
Iowa	Trinity M. Braun-Arana	Client Security Commission	client.security@iowacourts.gov
Kansas	Sally M. Brown	Carol G. Green, Clerk of the Appellate Courts	greenc@kscourts.org
Kentucky	Mindi Beal, KBA OBC Paralegal Analyst	William G. Crabtree, Esq., Clients' Security Fund Chair	mbeal@kybar.org
Louisiana	William King	Cheri C. Grodsky, Associate Executive Director LSBA	cgrodsky@lsba.org
Maine	Jacqueline Rogers	Executive Director	jrogers@mebaroverseers.org
Maryland	janet c moss	executive director	janet.moss@mdcourts.gov
Massachusetts	Karen O'Toole	Assistant Board Counsel of the Massachusetts Clients' Security Board	k.otoole@massbbo.org
Michigan	Robin Lawnichak	Robin Lawnichak, Paralegal	rlawnichak@mail.michbar.org
Minnesota	Julie Bennett	Martin A. Cole	Marty.Cole@courts.state.mn.us
Mississippi			

JURISDICTION	Survey Completed By	Title and Name of Fund Administrator	Fund Contact E-mail
Missouri	Christopher C. Janku	Director of Programs	cjanku@mobar.org
Montana	Sarah Moeller	Sarah Moeller, Program Coordinator, State Bar of Montana	smoeller@montanabar.org
Nebraska	Jean McNeil	Counsel for the Client Assistance Fund	jmcneil@nebar.com
Nevada	Lisa Dreitzer	Program Director	lisad@nvbar.org
New Hampshire			
New Jersey	Daniel R Hendi	Daniel R Hendi, Director & Counsel	Daniel.Hendi@judiciary.state.nj.us
New Mexico	William Slease	Richard Spinello, Fund Administrator	wds@nmdisboard.org
New York	Michael J. Knight, Sr., Deputy Counsel	Timothy J. O'Sullivan, Executive Director and Counsel	tos@nylawfund.org
North Carolina	A. Root Edmonson	Counsel to the Board	redmonson@ncbar.gov
North Dakota	Jeanne Schlittenhard	State Bar Association of North Dakota Board of Governors	info@sband.org
Ohio	Janet Green Marbley	Fund Administrator	janet.greenmarbley@sc.ohio.gov
Oregon	Camille Greene, Executive Assistant	Sylvia Stevens, Executive Director	sstevens@osbar.org
Pennsylvania	Kathryn J. Peifer, Esq.	Kathryn J. Peifer, Executive Director	kpeifer@palawfund.com
Rhode Island	Helen McDonald	Rhode Island Bar Association Client Reimbursement Fund	info@ribar.com
South Carolina			
South Dakota	tom barnett	executive director	thomas.barnett@sdbar.net
Tennessee			
Texas	Maureen Ray	Special Administrative Counsel	mray@texasbar.com
Vermont	Lisa Maxfield	Robert M. Paolini, Executive Director	bpaolini@vtbar.org
Virginia	Mary Yancey Spencer	Mary Yancey Spencer, Deputy Executive Director	spencer@vsb.org
Washington	Julie Shankland	Assistant General Counsel-Manager	julies@wsba.org
West Virginia	Anita R. Casey	Executive Director of State Bar	caseya@wvbar.org
Wisconsin	Wisconsin Lawyers' Fund for Client Protection	Kris Wenzel, Administrator	kwenzel@wisbar.org

JURISDICTION	Survey Completed By	Title and Name of Fund Administrator	Fund Contact E-mail
Alberta	Veronica D'Souza	Lisa M. Sabo, Director of Insurance (ALIA)	veronica.dsouza@lawsociety.ab.ca
British Columbia	Margrett George	The program is managed by Margrett M.S. George, Deputy Director of Insurance, Lawyers Insurance Fund, under the oversight of Susan I. Forbes QC, Director of Insurance Fund	mgeorge@LSBC.org
Manitoba	Tana Christianson	Tana Christianson Director of Insurance Law Society of Manitoba	tchristianson@lawsociety.mb.ca
New Foundland	Janice K Ringrose	Insurance Administrator	janice.ringrose@lawsociety.nf.ca
Nova Scotia	Victoria Rees	Director, Professional Responsibility	vrees@nsbs.org
PEI	Susan Robinson	Secretary-Treasurer	srobinson@lspei.pe.ca

JURISDICTION	Fund Contact Address	Fund Contact Telephone
Alabama	P.O. Box 671, Montgomery, Alabama, 36101	334-517-2200
Arizona	4201 N 24th St Ste 100, Phoenix, AZ 85016-6266	602-340-7286
Arkansas	2100 Riverfront Drive, Suite 200, Little Rock, AR 72202	501.376.0313
California	845 Figueroa Street, Los Angeles, CA 90017	213 765-1140
Colorado	1300 Broadway, Suite 500	(303) 928-7780
Connecticut	Client Security Fund, 2nd Floor, 287 Main Street, East Hartford, CT 06118	860-568-3450
Delaware	820 N. French Street, 11th Floor, Wilmington, DE 19801	302 577-7034
District of Columbia	1101 K Street, NW Suite 200 Washington, D.C. 20005	202-737-4700 ext. 3216
Florida	651 E. Jefferson Street	850-561-5677
Hawaii	201 Merchant Street, Suite 1600	808-599-2483
Idaho	P.O. Box 895, Boise, ID 83701	208-334-4500
Illinois	130 E. Randolph Dr. Suite 1500 Chicago, IL 60601	312-565-2600
Indiana		
Iowa	1111 E. Court Avenue, Des Moines, Iowa 50319	515-725-8029
Kansas	301 SW 10th Ave., Room 374	785-296-8409
Kentucky	514 W. Main St., Frankfort, KY 40601	502-564-3795, ext. 282
Louisiana	601 St. Charles Ave.	504-619-0107
Maine	P O Box 5084, Augusta, ME 04332	207-623-7801
Maryland	2011 commerce park drive	410-260-3635
Massachusetts	99 High St. Boston, MA 02110	(617) 728-8718
Michigan	306 Townsend St., Lansing, MI 48933-2012	517-346-6379
Minnesota	345 St Peter Street, 1500 Landmark Towers, St. Paul, MN 55102	651-296-3952
Mississippi		

JURISDICTION	Fund Contact Address	Fund Contact Telephone
Missouri	P.O. Box 119, Jefferson City, Mo 65102	573-635-4128
Montana	PO Box 577, Helena, MT 59624	406-447-2202
Nebraska	635 S. 14 St, PO Box 81809, Lincoln NE 68501	402-475-7091
Nevada	600 East Charleston, Las Vegas NV 89104	702-317-1448
New Hampshire		
New Jersey	PO Box 961, Trenton, NJ 08625-0961	855-533-FUND
New Mexico	P.O. Box 1809, Albuquerque, NM 87103	505-842-5781
New York	119 Washington Avenue, Albany, NY 12210	(518) 434-1935; (800) 442-3863
North Carolina	PO Box 25908, Raleigh, NC 27611-5908	(919) 719-9229
North Dakota	PO Box 2136, Bismarck ND 58502-2136	70-255-1404
Ohio	65 S. Front St.	614-387-9390
Oregon	16037 SW Upper Boones Ferry Road	503.431.6359
Pennsylvania	P.O. Box 62585, Harrisburg, PA 17106	717-231-9510
Rhode Island	115 Cedar St. Providence RI 02903	401-421-5740
South Carolina		
South Dakota	222 e. capitol, pierre, sd 57501	605-224-7554
Tennessee		
Texas	PO Box 12487, Austin, TX 78711	512/427-1350
Vermont	PO Box 100, Montpelier, VT 05601	802-223-2020
Virginia	1111 E. Main Street, Suite 700, Richmond, VA 23219-3565	(804) 775-0500
Washington	2101 Fourth Ave-Suite 600 Seattle, WA 98121	206-727-8280
West Virginia	2000 Deitrick Blvd.	304-553-7228
Wisconsin	5302 Eastpark Blvd.	608-250-6185

JURISDICTION	Fund Contact Address	Fund Contact Telephone
Alberta	Suite 500, 919 - 11th Ave. SW, Calgary, AB T2R1P3	(403) 229-4717
British Columbia	8th fl - 845 Cambie Street, Vancouver, BC Canada V6B 4Z9	604-443-5761
Manitoba	219 Kennedy St. Winnipeg Manitoba R3C 1S8	204-926-2011
New Foundland	PO Box 1028, St. John's, NL Canada	709 722-4740
Nova Scotia	800 - 2000 Barrington St., Halifax, NS Canada B3J 3K1	902-422-1491
PEI	49 Water Street, Charlottetown, PEI	902-892-3628

JURISDICTION	Fund Contact Fax
Alabama	334-261-6311
Arizona	602-416-7486
Arkansas	501.376.3438
California	213 765-1158
Colorado	(303) 501-1141
Connecticut	860-568-4953
Delaware	302 577-1006
District of Columbia	1-866-926-2585
Florida	850-561-9407
Hawaii	
Idaho	208-334-2764
Illinois	312-540-1243
Indiana	
Iowa	515-725-8032
Kansas	785-296-1028
Kentucky	502-564-3225
Louisiana	504-598-6753
Maine	207-623-4175
Maryland	410-260-3636
Massachusetts	(617) 482-8000
Michigan	517-482-6248
Minnesota	651-297-5801
Mississippi	

JURISDICTION	Fund Contact Fax
Missouri	573-635-2811
Montana	406-442-7763
Nebraska	402-475-7098
Nevada	
New Hampshire	
New Jersey	609-394-3637
New Mexico	505-766-6833
New York	(518) 434-5641
North Carolina	(919) 719-9314
North Dakota	701-224-1621
Ohio	614-387-9399
Oregon	503.598.6959
Pennsylvania	717-231-9511
Rhode Island	401-521-2703
South Carolina	
South Dakota	
Tennessee	
Texas	512/427-4421
Vermont	802-223-1573
Virginia	
Washington	
West Virginia	304-558-2467
Wisconsin	n/a

JURISDICTION	Fund Contact Fax
Alberta	(403) 244-3072
British Columbia	604-682-5842
Manitoba	204-956-0624
New Foundland	709 722 7008
Nova Scotia	
PEI	902-368-7557